Patent Pending

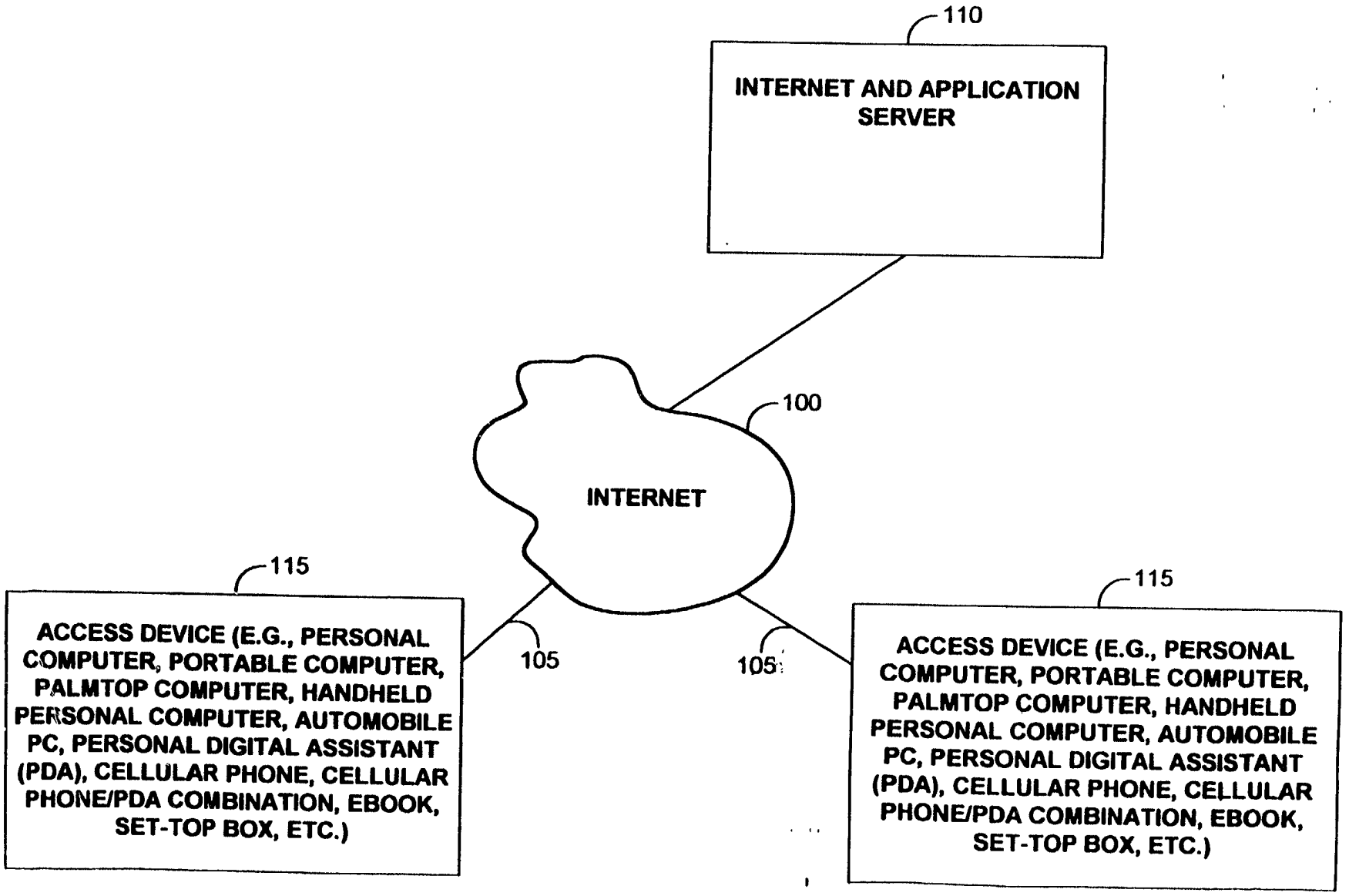


FIG. 1

Express Mail No. EL254557035US

PATENT-2826P260

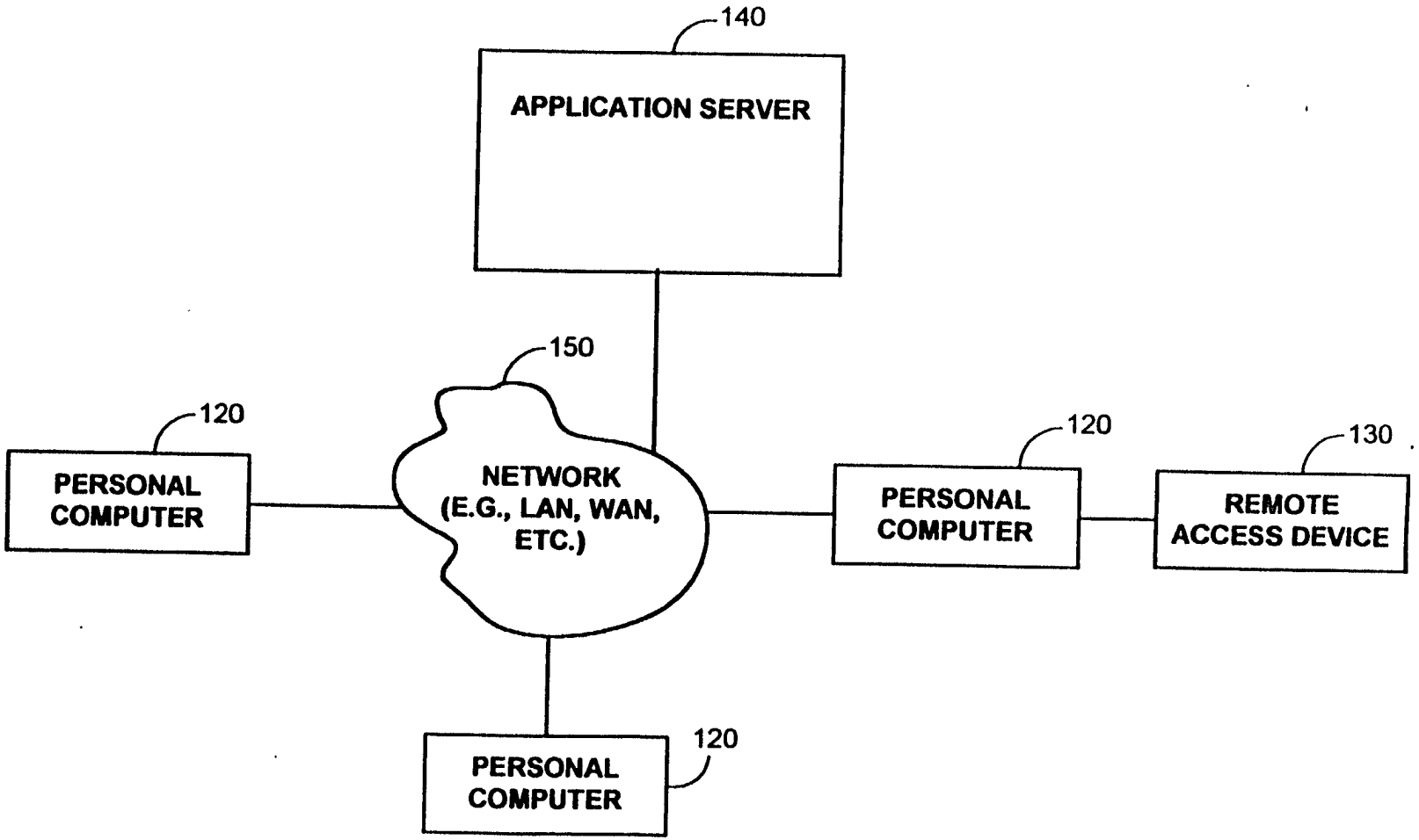


FIG. 2

PATENT PENDING

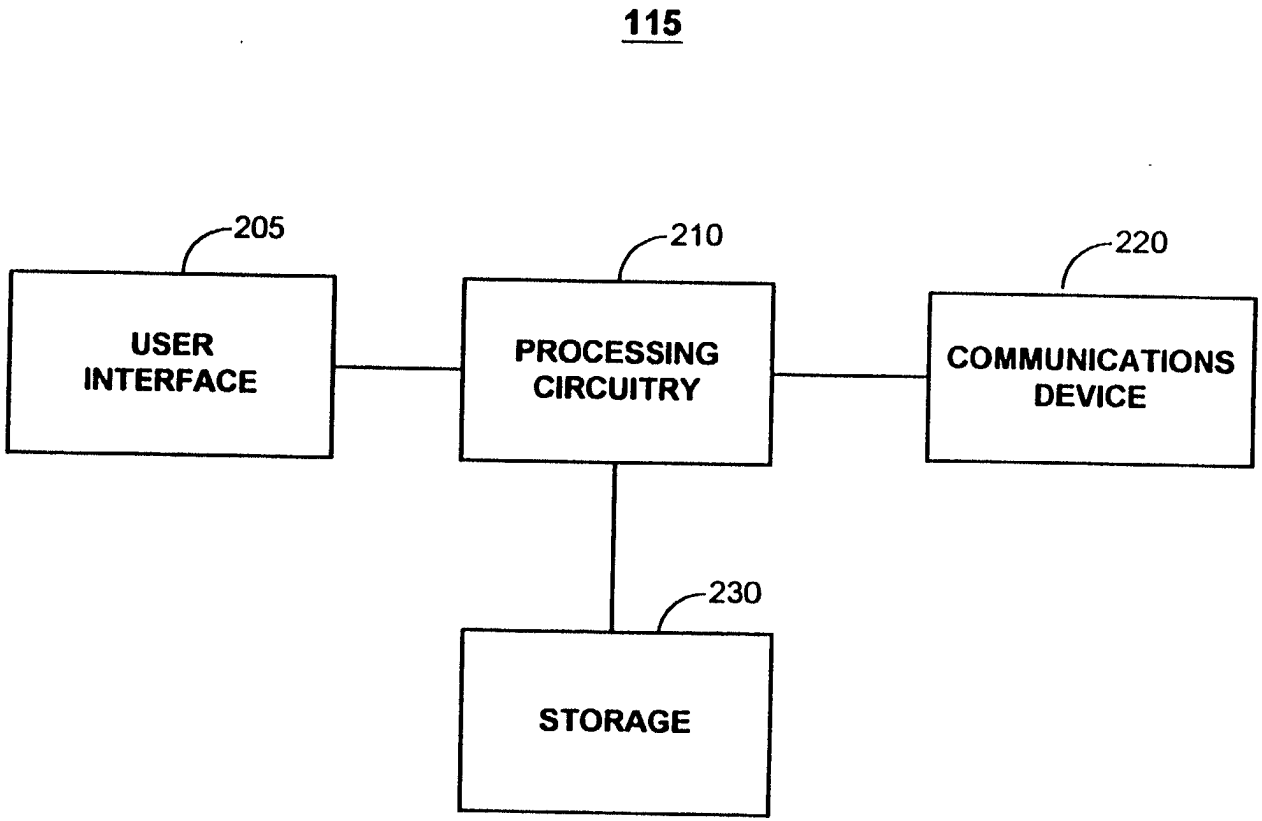


FIG. 3

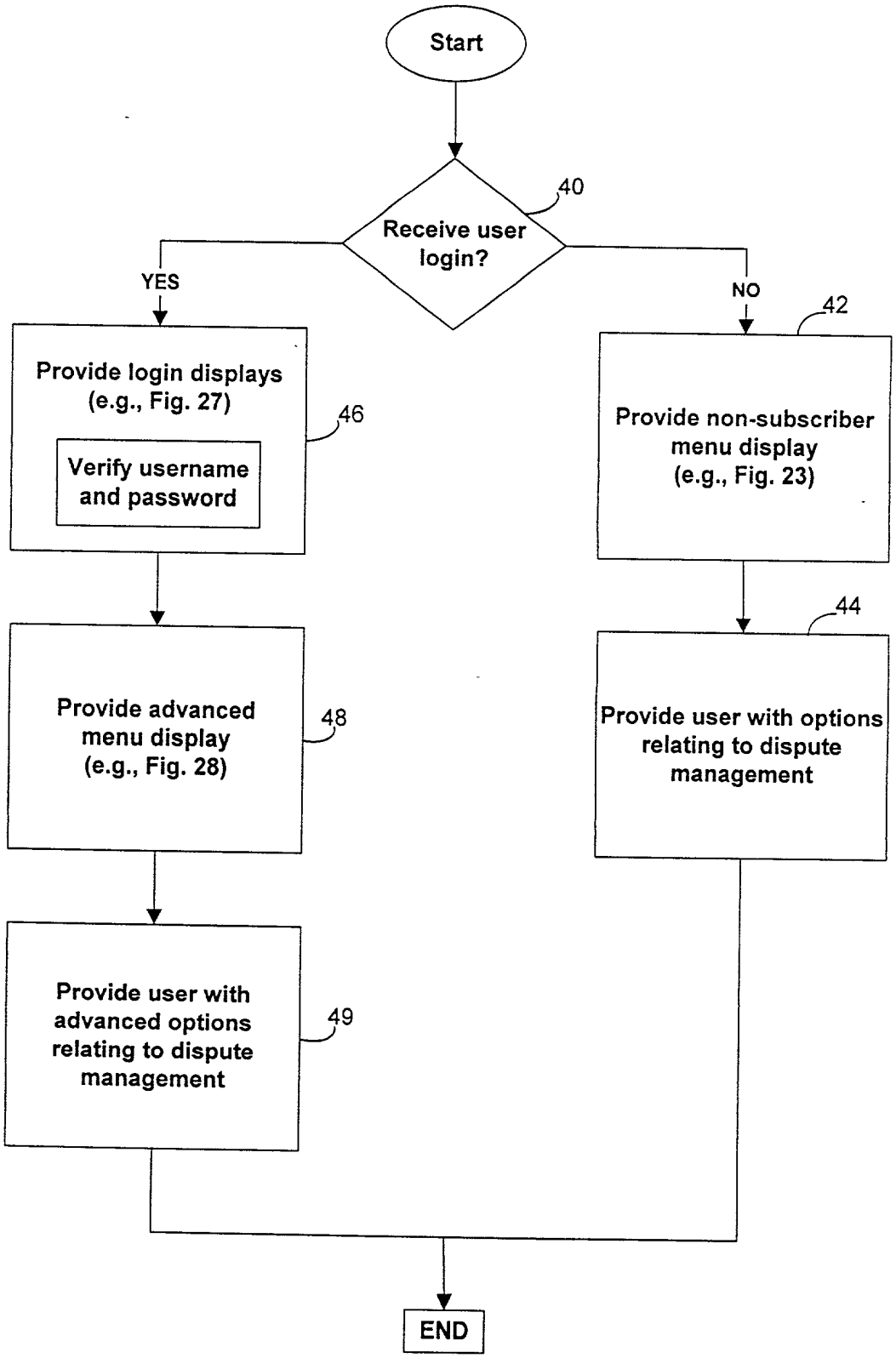

Start
Receive user login?
40
YES
NO
Provide login displays (e.g., Fig. 27)
Verify username and password
46
Provide non-subscriber menu display (e.g., Fig. 23)
42
Provide advanced menu display (e.g., Fig. 28)
48
Provide user with options relating to dispute management
44
Provide user with advanced options relating to dispute management
49
END

FIG. 4

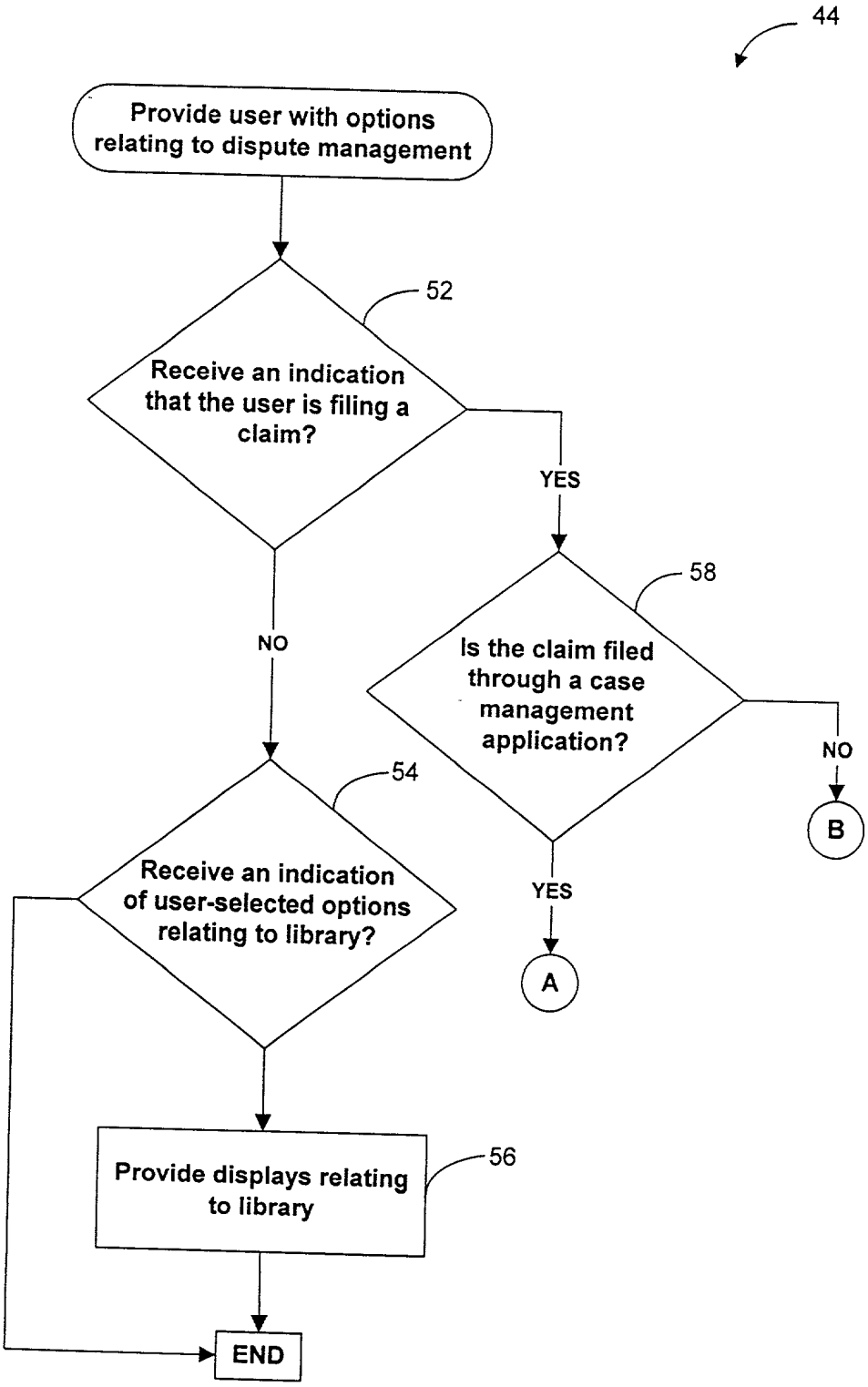

44
Provide user with options relating to dispute management
52
Receive an indication that the user is filing a claim?
YES
NO
58
Is the claim filed through a case management application?
NO
B
YES
A
54
Receive an indication of user-selected options relating to library?
Provide displays relating to library
56
END

FIG. 5

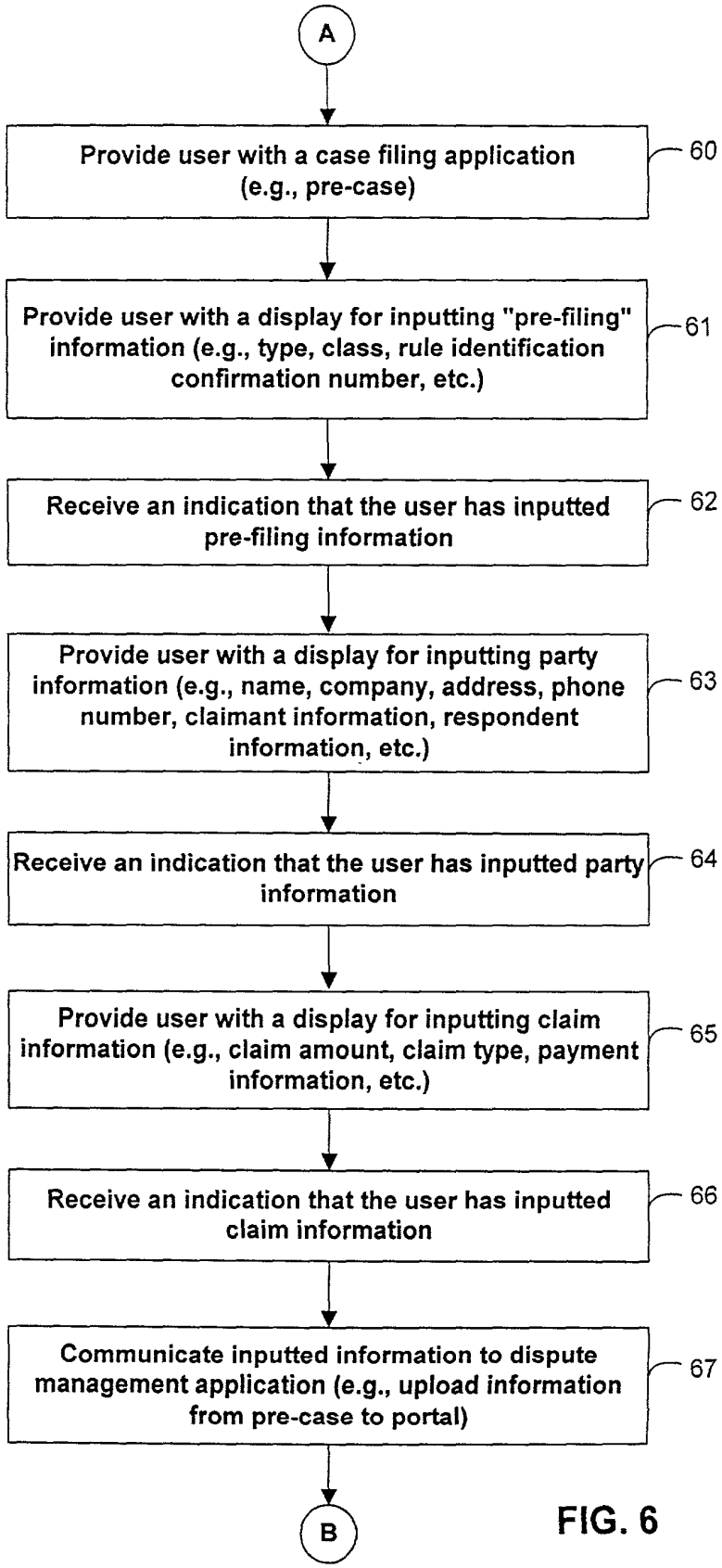

A
Provide user with a case filing application (e.g., pre-case)
60
Provide user with a display for inputting "pre-filing" information (e.g., type, class, rule identification confirmation number, etc.)
61
Receive an indication that the user has inputted pre-filing information
62
Provide user with a display for inputting party information (e.g., name, company, address, phone number, claimant information, respondent information, etc.)
63
Receive an indication that the user has inputted party information
64
Provide user with a display for inputting claim information (e.g., claim amount, claim type, payment information, etc.)
65
Receive an indication that the user has inputted claim information
66
Communicate inputted information to dispute management application (e.g., upload information from pre-case to portal)
67
B

FIG. 6

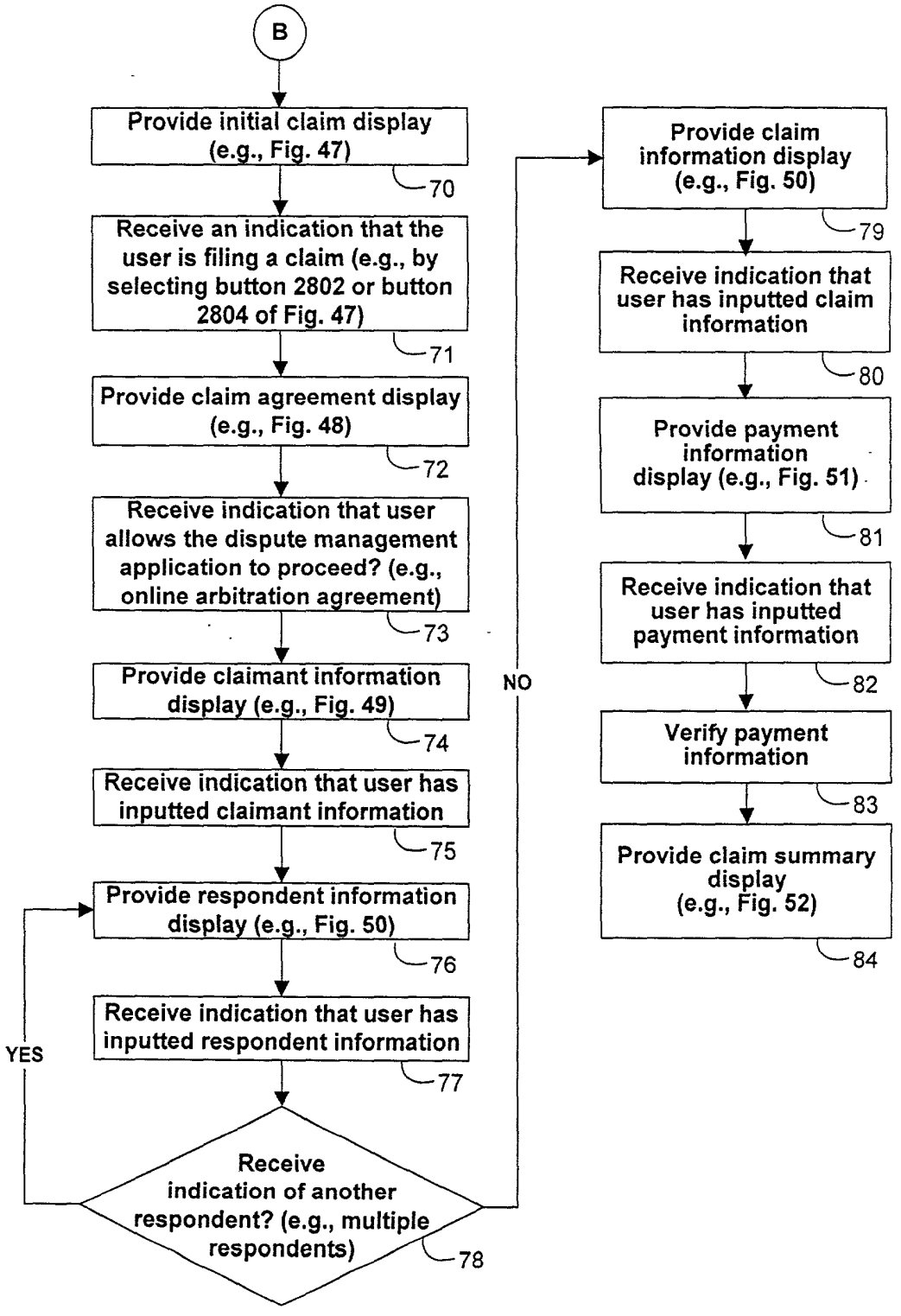

B
Provide initial claim display (e.g., Fig. 47)
70
Receive an indication that the user is filing a claim (e.g., by selecting button 2802 or button 2804 of Fig. 47)
71
Provide claim agreement display (e.g., Fig. 48)
72
Receive indication that user allows the dispute management application to proceed? (e.g., online arbitration agreement)
73
Provide claimant information display (e.g., Fig. 49)
74
Receive indication that user has inputted claimant information
75
Provide respondent information display (e.g., Fig. 50)
76
Receive indication that user has inputted respondent information
77
Receive indication of another respondent? (e.g., multiple respondents)
78
YES
NO
Provide claim information display (e.g., Fig. 50)
79
Receive indication that user has inputted claim information
80
Provide payment information display (e.g., Fig. 51)
81
Receive indication that user has inputted payment information
82
Verify payment information
83
Provide claim summary display (e.g., Fig. 52)
84

FIG. 7

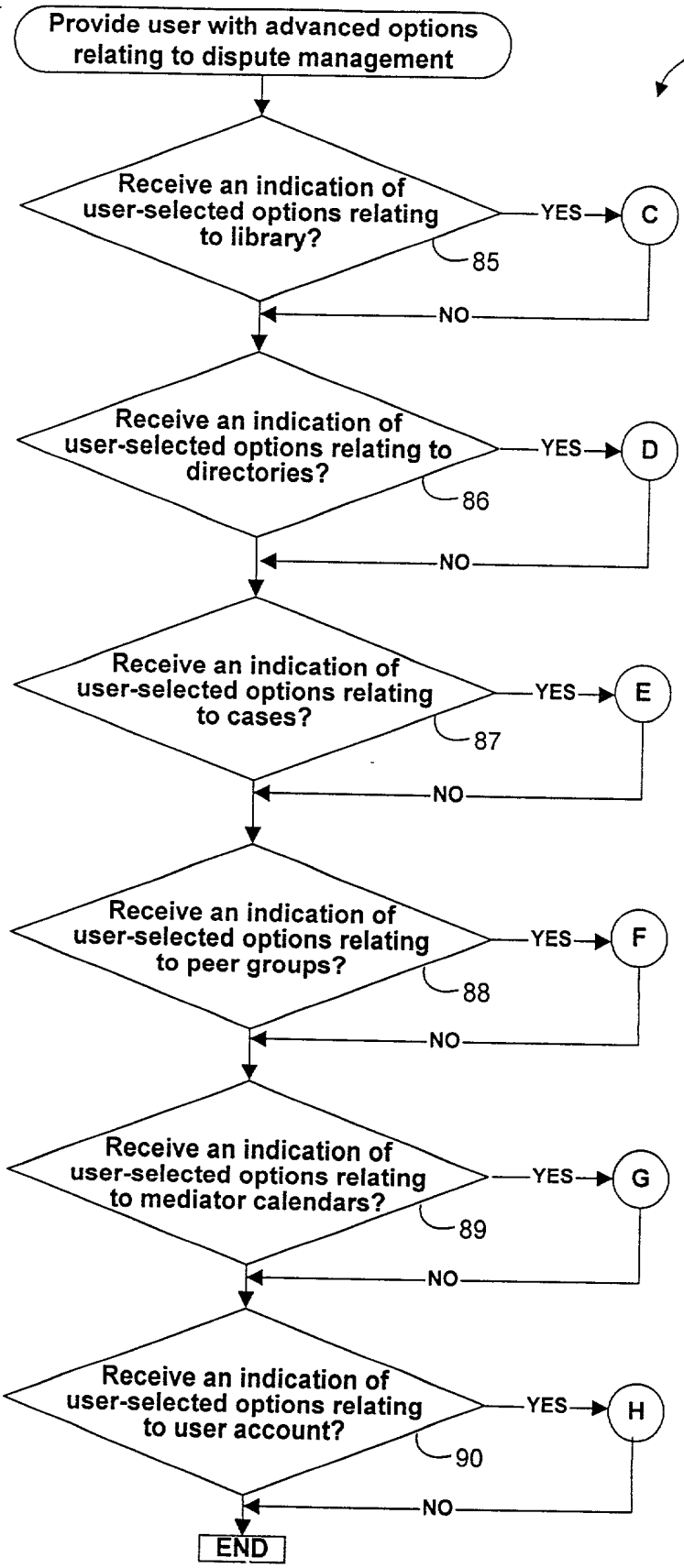

Provide user with advanced options relating to dispute management
49
Receive an indication of user-selected options relating to library?
YES
C
85
NO
Receive an indication of user-selected options relating to directories?
YES
D
86
NO
Receive an indication of user-selected options relating to cases?
YES
E
87
NO
Receive an indication of user-selected options relating to peer groups?
YES
F
88
NO
Receive an indication of user-selected options relating to mediator calendars?
YES
G
89
NO
Receive an indication of user-selected options relating to user account?
YES
H
90
NO
END

FIG. 8

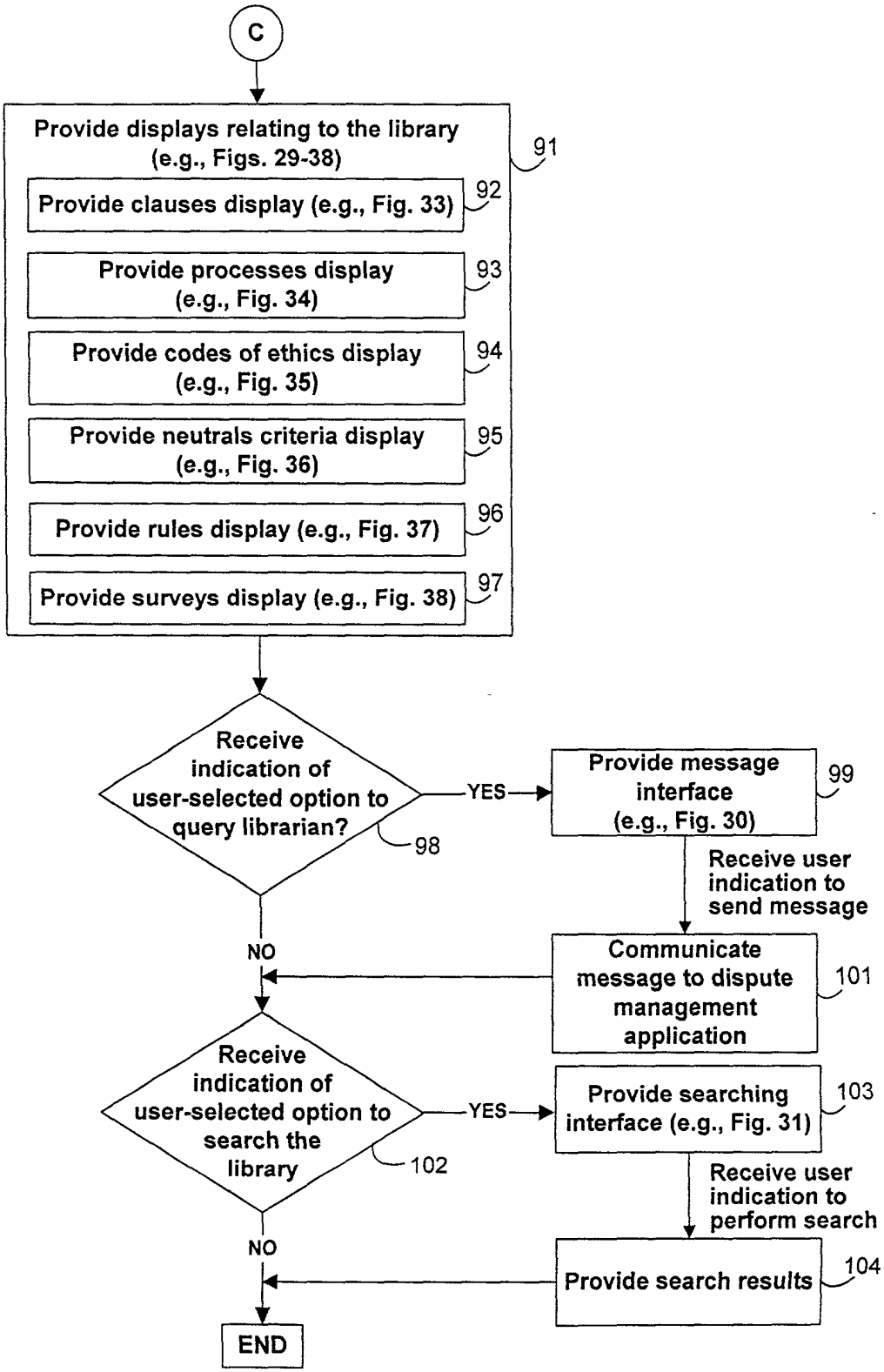

C
Provide displays relating to the library (e.g., Figs. 29-38)
91
Provide clauses display (e.g., Fig. 33)
92
Provide processes display (e.g., Fig. 34)
93
Provide codes of ethics display (e.g., Fig. 35)
94
Provide neutrals criteria display (e.g., Fig. 36)
95
Provide rules display (e.g., Fig. 37)
96
Provide surveys display (e.g., Fig. 38)
97
Receive indication of user-selected option to query librarian?
98
YES
Provide message interface (e.g., Fig. 30)
99
Receive user indication to send message
Communicate message to dispute management application
101
NO
Receive indication of user-selected option to search the library
102
YES
Provide searching interface (e.g., Fig. 31)
103
Receive user indication to perform search
Provide search results
104
NO
END

FIG. 9

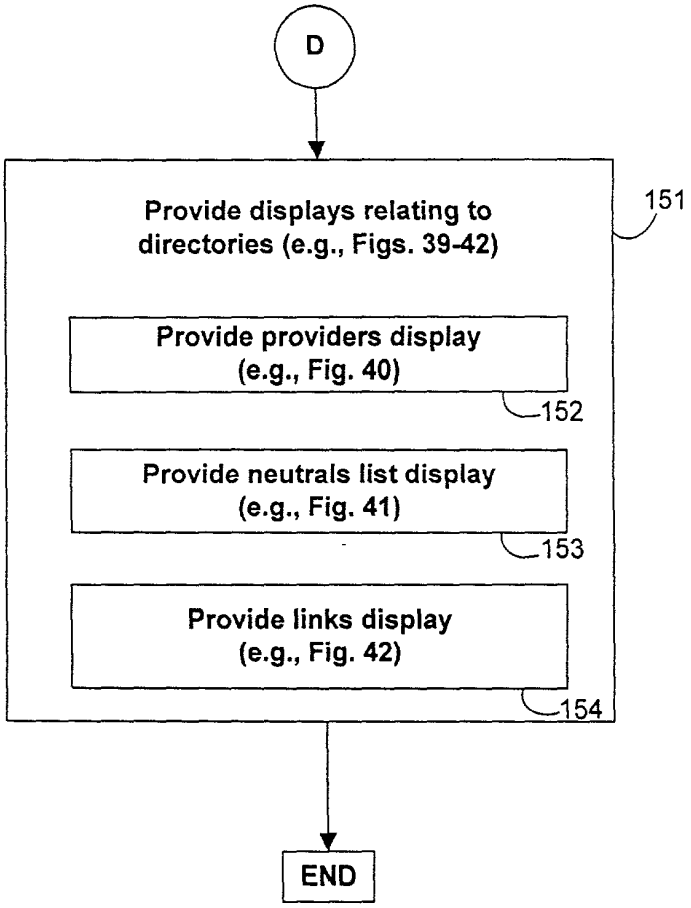

D
Provide displays relating to directories (e.g., Figs. 39-42)
151
Provide providers display (e.g., Fig. 40)
152
Provide neutrals list display (e.g., Fig. 41)
153
Provide links display (e.g., Fig. 42)
154
END

FIG. 10

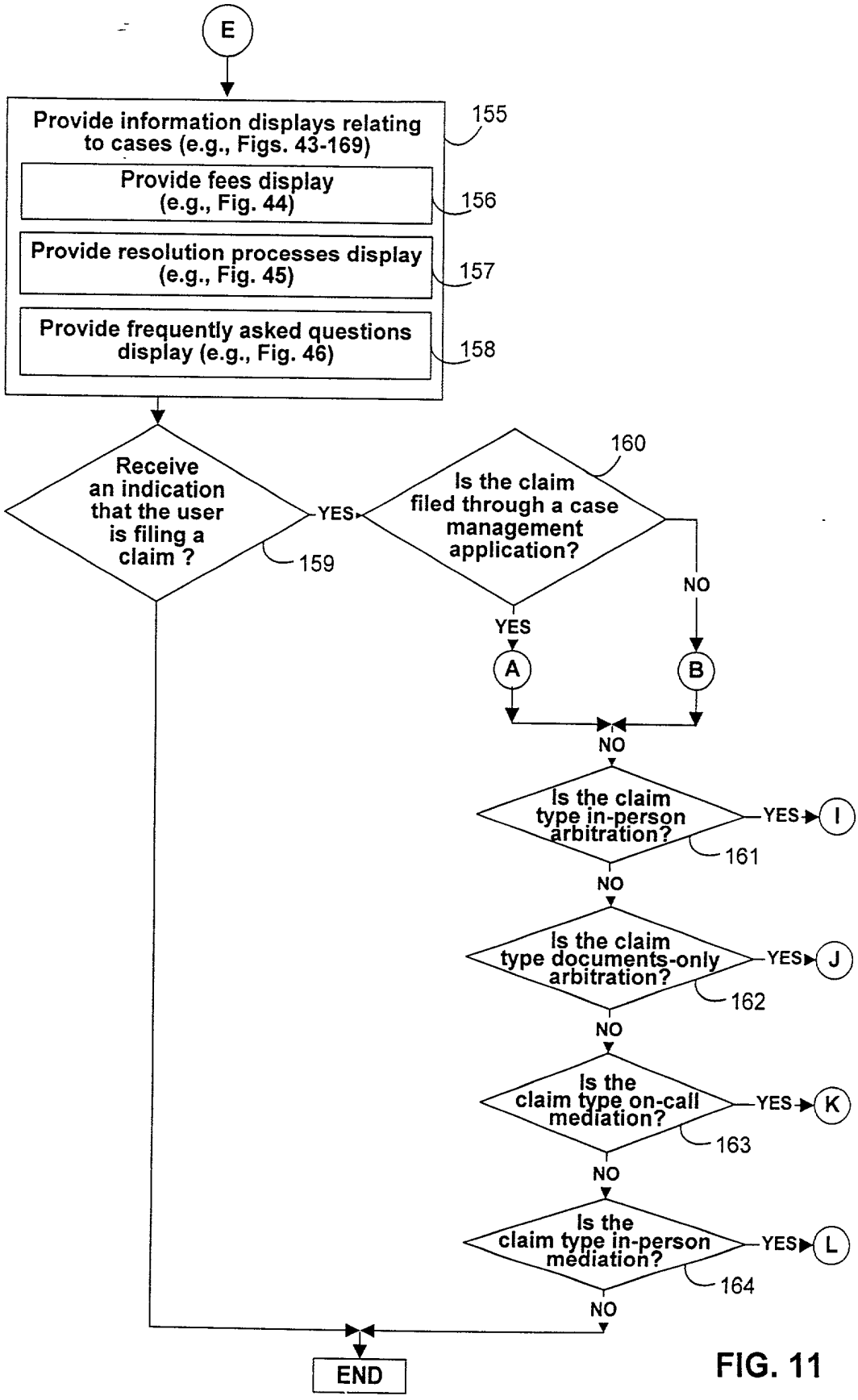

E
Provide information displays relating to cases (e.g., Figs. 43-169)
155
Provide fees display (e.g., Fig. 44)
156
Provide resolution processes display (e.g., Fig. 45)
157
Provide frequently asked questions display (e.g., Fig. 46)
158
Receive an indication that the user is filing a claim ?
159
YES
Is the claim filed through a case management application?
160
YES
NO
A
B
NO
Is the claim type in-person arbitration?
161
YES
I
NO
Is the claim type documents-only arbitration?
162
YES
J
NO
Is the claim type on-call mediation?
163
YES
K
NO
Is the claim type in-person mediation?
164
YES
L
NO
END

FIG. 11

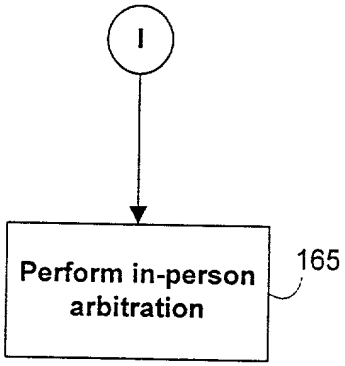


FIG. 12

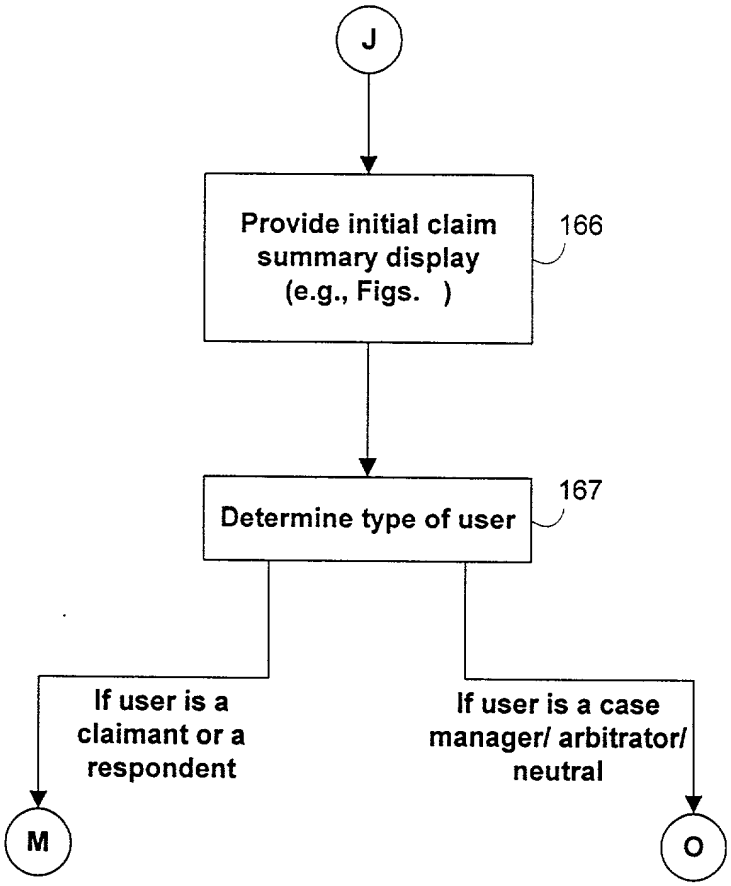

J
Provide initial claim summary display (e.g., Figs.)
166
Determine type of user
167
If user is a claimant or a respondent
If user is a case manager/ arbitrator/ neutral
M
O

FIG. 13

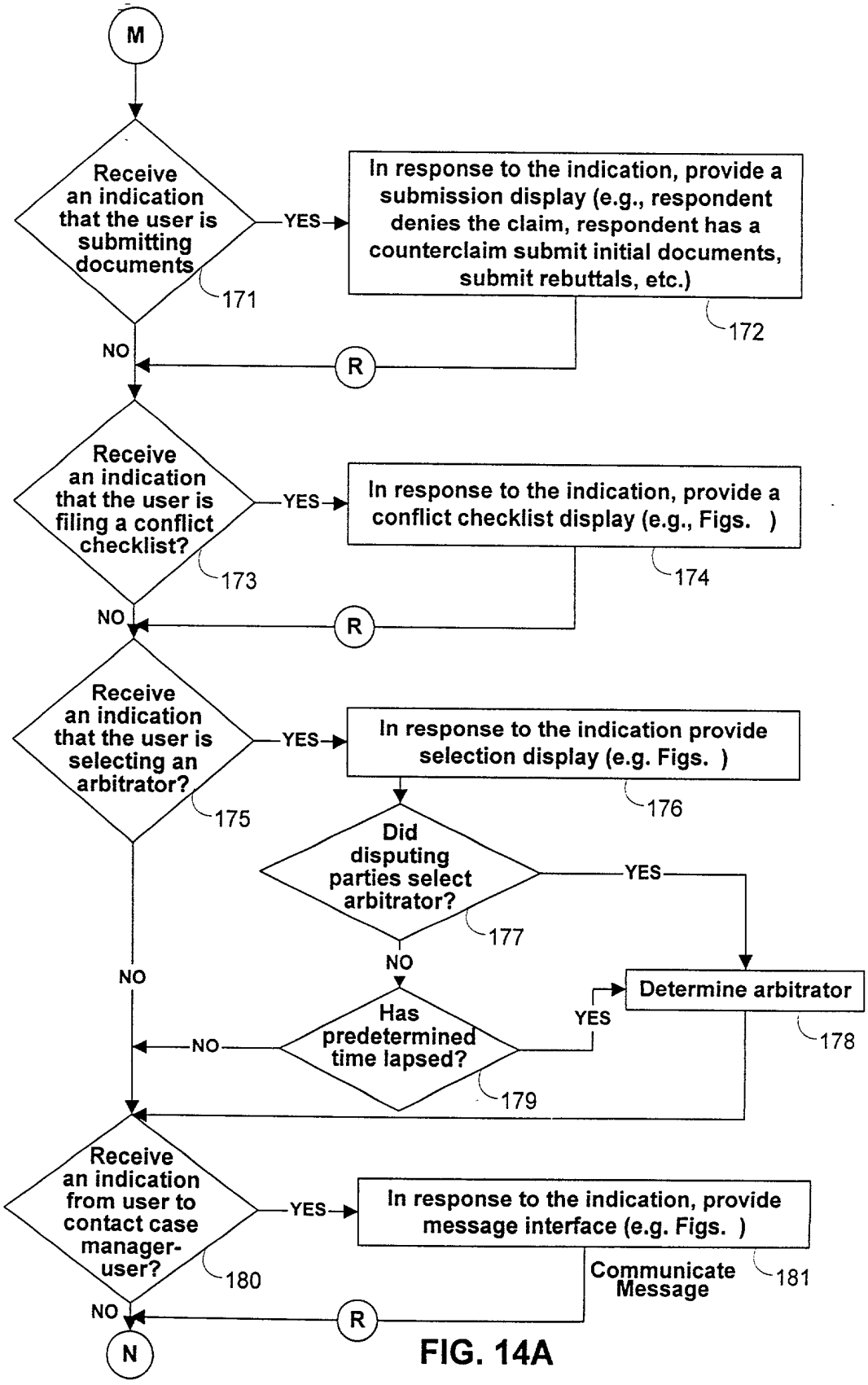

M
Receive an indication that the user is submitting documents
171
YES
In response to the indication, provide a submission display (e.g., respondent denies the claim, respondent has a counterclaim submit initial documents, submit rebuttals, etc.)
172
NO
R
Receive an indication that the user is filing a conflict checklist?
173
YES
In response to the indication, provide a conflict checklist display (e.g., Figs.)
174
NO
R
Receive an indication that the user is selecting an arbitrator?
175
YES
In response to the indication provide selection display (e.g. Figs.)
176
Did disputing parties select arbitrator?
177
YES
NO
NO
Has predetermined time lapsed?
179
NO
YES
Determine arbitrator
178
Receive an indication from user to contact case manager-user?
180
YES
In response to the indication, provide message interface (e.g. Figs.)
Communicate Message
181
NO
R
N

FIG. 14A

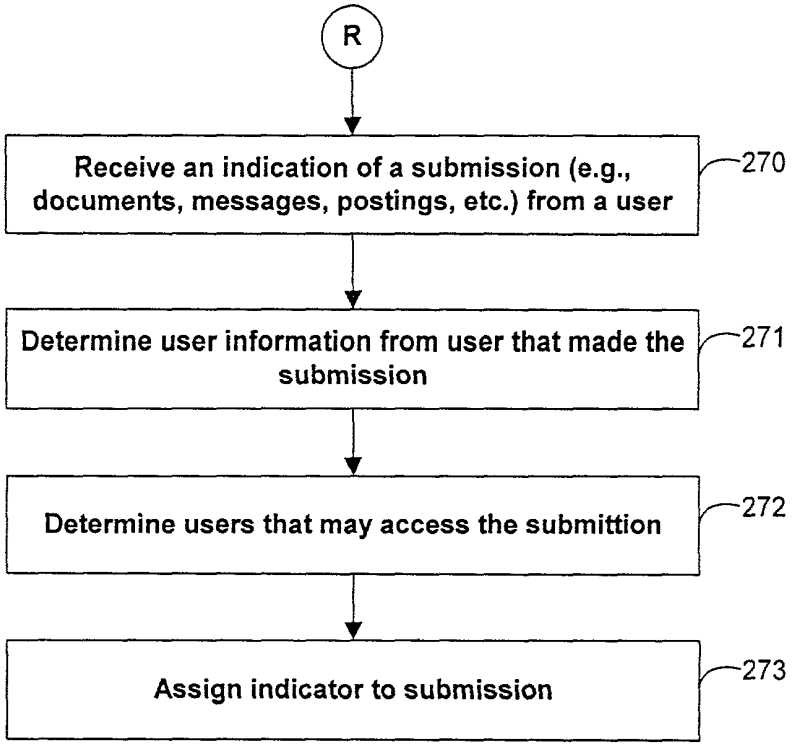

R
Receive an indication of a submission (e.g., documents, messages, postings, etc.) from a user
270
Determine user information from user that made the submission
271
Determine users that may access the submittion
272
Assign indicator to submission
273

FIG. 14B

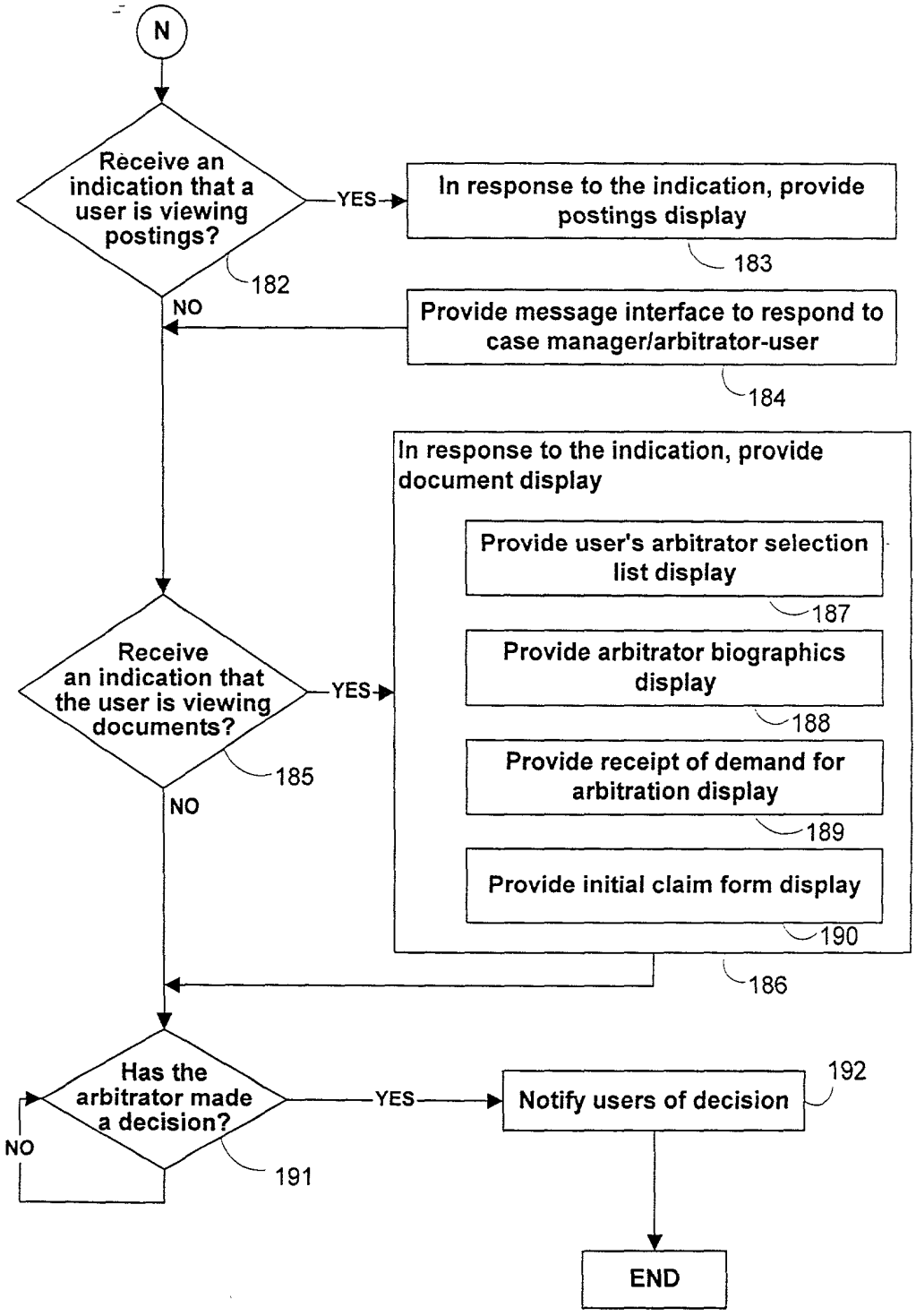

N
Receive an indication that a user is viewing postings?
YES
In response to the indication, provide postings display
183
182
NO
Provide message interface to respond to case manager/arbitrator-user
184
In response to the indication, provide document display
Provide user's arbitrator selection list display
187
Provide arbitrator biographics display
188
Provide receipt of demand for arbitration display
189
Provide initial claim form display
190
186
Receive an indication that the user is viewing documents?
YES
185
NO
Has the arbitrator made a decision?
YES
Notify users of decision
192
NO
191
END

FIG. 14C

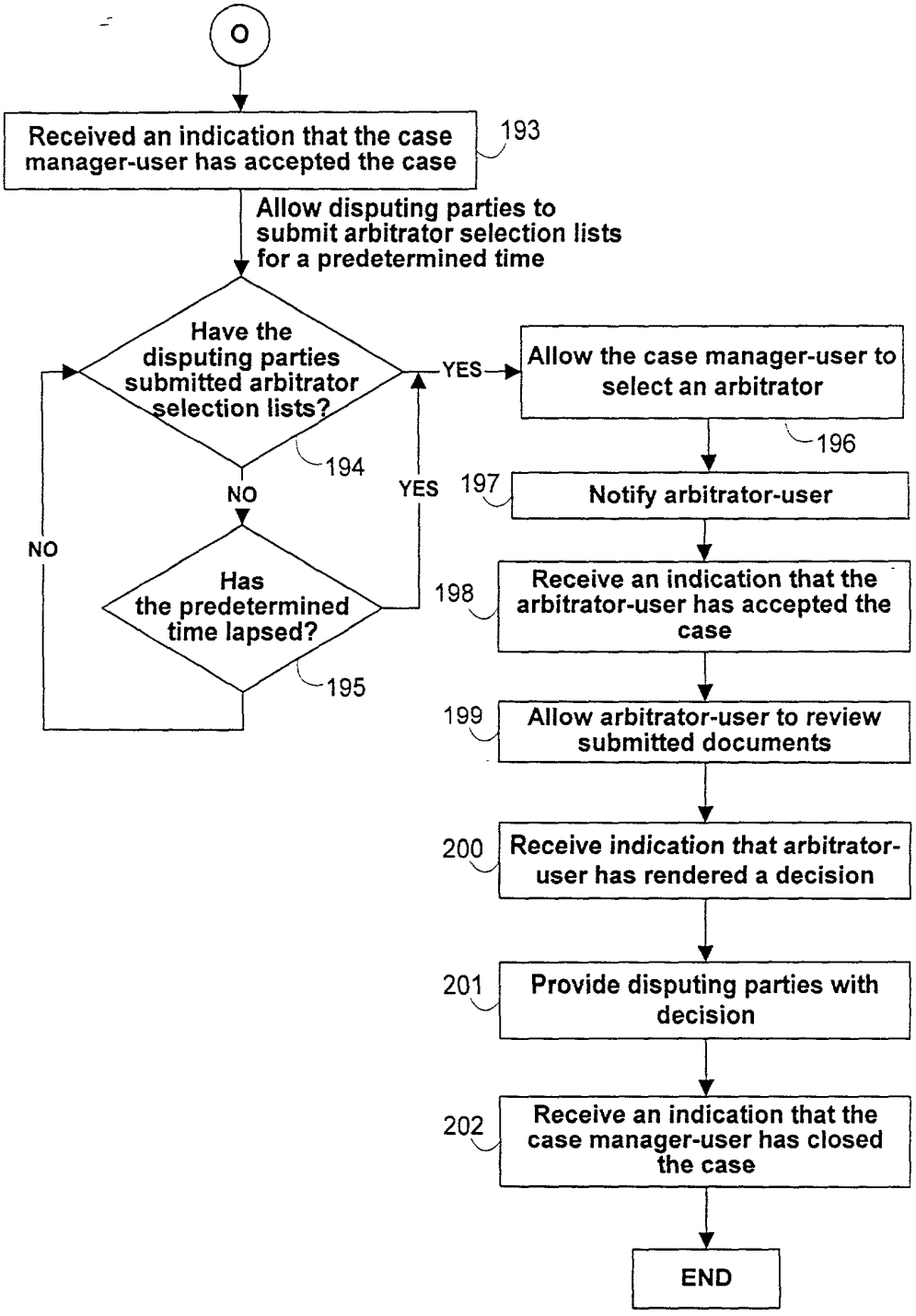

O
Received an indication that the case manager-user has accepted the case
193
Allow disputing parties to submit arbitrator selection lists for a predetermined time
Have the disputing parties submitted arbitrator selection lists?
194
YES
NO
Has the predetermined time lapsed?
195
YES
NO
Allow the case manager-user to select an arbitrator
196
197
Notify arbitrator-user
198
Receive an indication that the arbitrator-user has accepted the case
199
Allow arbitrator-user to review submitted documents
200
Receive indication that arbitrator-user has rendered a decision
201
Provide disputing parties with decision
202
Receive an indication that the case manager-user has closed the case
END

FIG. 14D

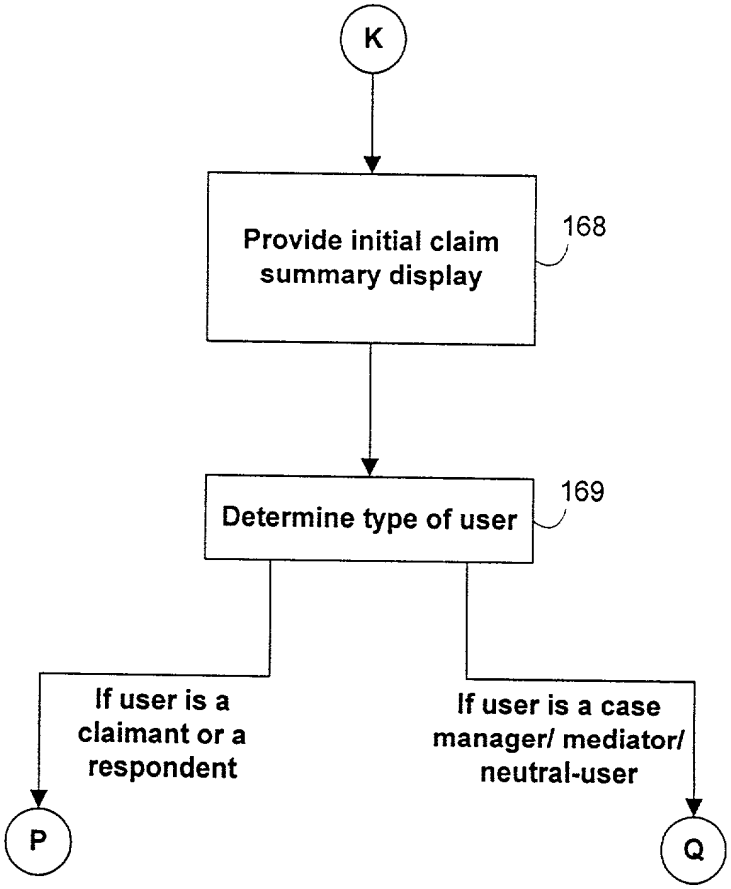

K
Provide initial claim summary display
168
Determine type of user
169
If user is a claimant or a respondent
If user is a case manager/ mediator/ neutral-user
P
Q

FIG. 15A

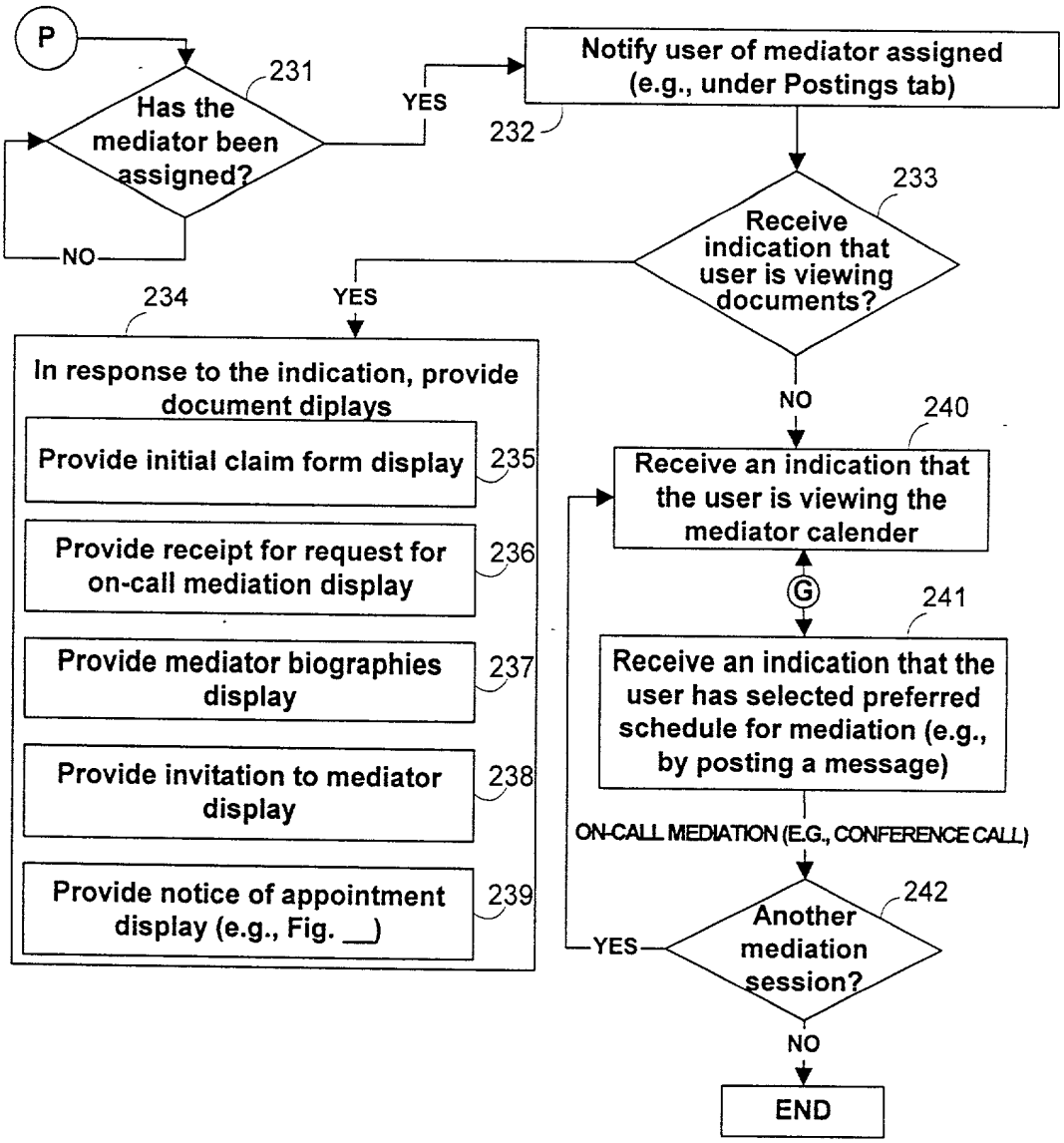

P
231
Has the mediator been assigned?
YES
NO
Notify user of mediator assigned (e.g., under Postings tab)
232
233
Receive indication that user is viewing documents?
YES
NO
234
In response to the indication, provide document diplays
Provide initial claim form display
235
Provide receipt for request for on-call mediation display
236
Provide mediator biographies display
237
Provide invitation to mediator display
238
Provide notice of appointment display (e.g., Fig. __)
239
240
Receive an indication that the user is viewing the mediator calender
G
241
Receive an indication that the user has selected preferred schedule for mediation (e.g., by posting a message)
ON-CALL MEDIATION (E.G., CONFERENCE CALL)
242
Another mediation session?
YES
NO
END

FIG. 15B

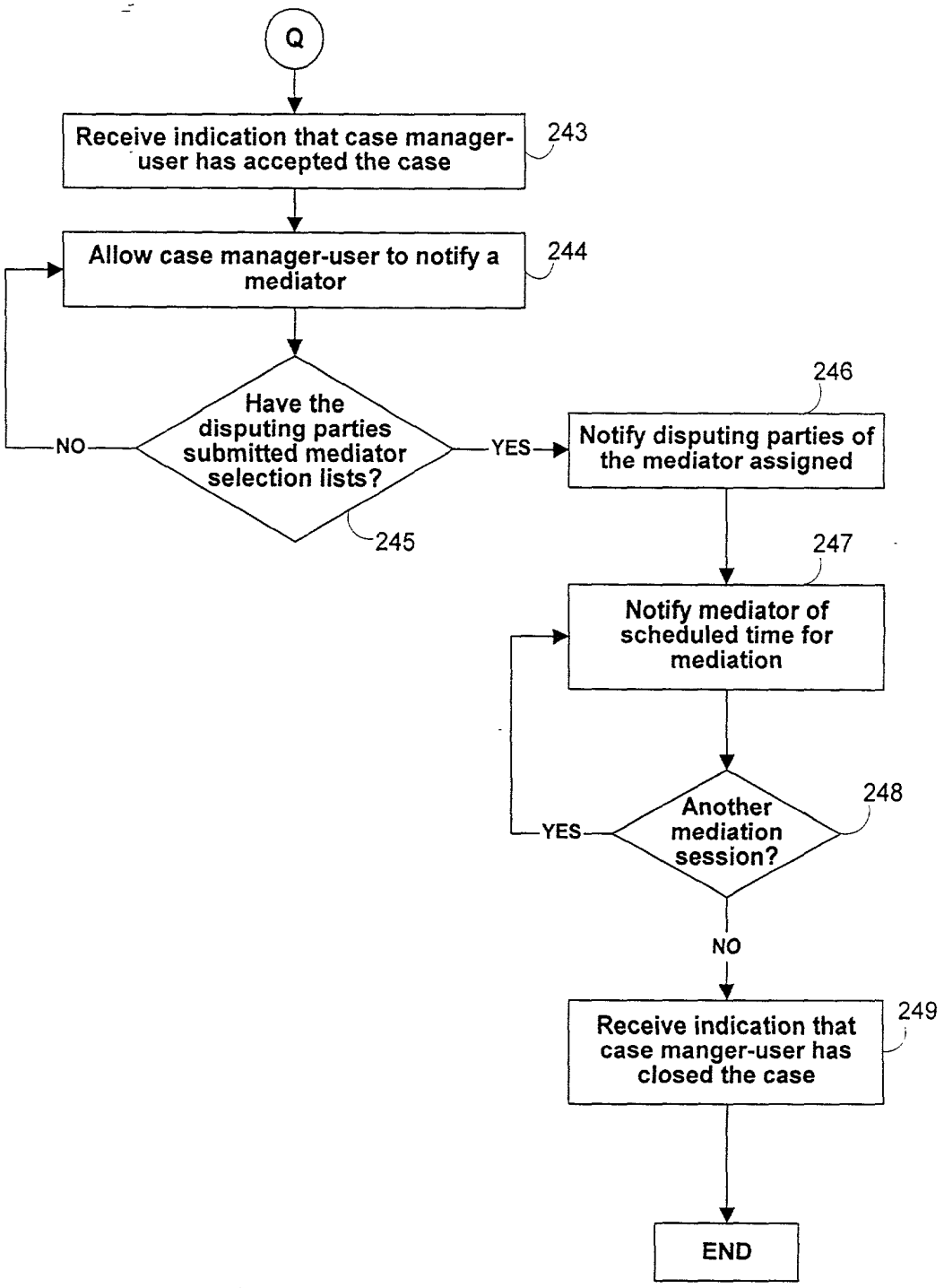

Q
Receive indication that case manager-user has accepted the case
243
Allow case manager-user to notify a mediator
244
Have the disputing parties submitted mediator selection lists?
245
NO
YES
246
Notify disputing parties of the mediator assigned
247
Notify mediator of scheduled time for mediation
Another mediation session?
248
YES
NO
Receive indication that case manger-user has closed the case
249
END

FIG. 15C

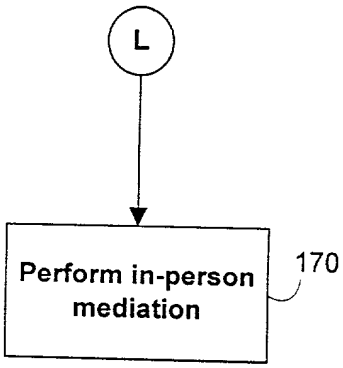


FIG. 16

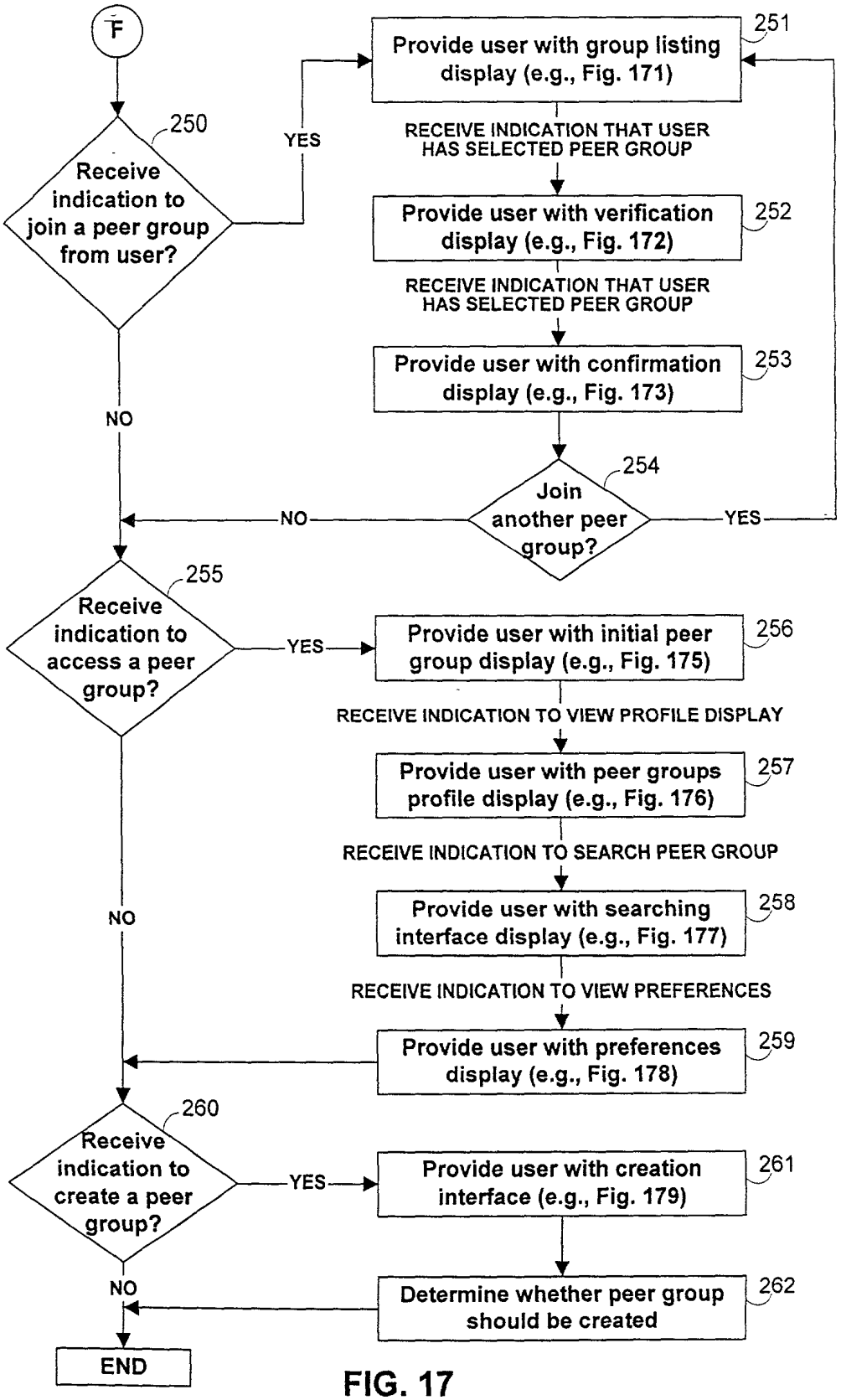

F
Receive indication to join a peer group from user?
250
YES
Provide user with group listing display (e.g., Fig. 171)
251
RECEIVE INDICATION THAT USER HAS SELECTED PEER GROUP
Provide user with verification display (e.g., Fig. 172)
252
RECEIVE INDICATION THAT USER HAS SELECTED PEER GROUP
Provide user with confirmation display (e.g., Fig. 173)
253
NO
Join another peer group?
254
NO
YES
Receive indication to access a peer group?
255
YES
Provide user with initial peer group display (e.g., Fig. 175)
256
RECEIVE INDICATION TO VIEW PROFILE DISPLAY
Provide user with peer groups profile display (e.g., Fig. 176)
257
RECEIVE INDICATION TO SEARCH PEER GROUP
Provide user with searching interface display (e.g., Fig. 177)
258
NO
RECEIVE INDICATION TO VIEW PREFERENCES
Provide user with preferences display (e.g., Fig. 178)
259
Receive indication to create a peer group?
260
YES
Provide user with creation interface (e.g., Fig. 179)
261
NO
Determine whether peer group should be created
262
END

FIG. 17

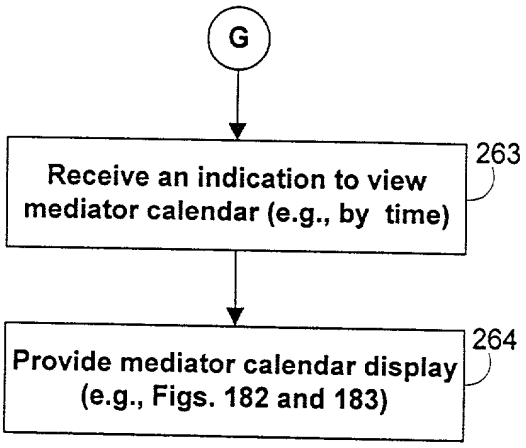

G
Receive an indication to view mediator calendar (e.g., by time)
263
Provide mediator calendar display (e.g., Figs. 182 and 183)
264

FIG. 18

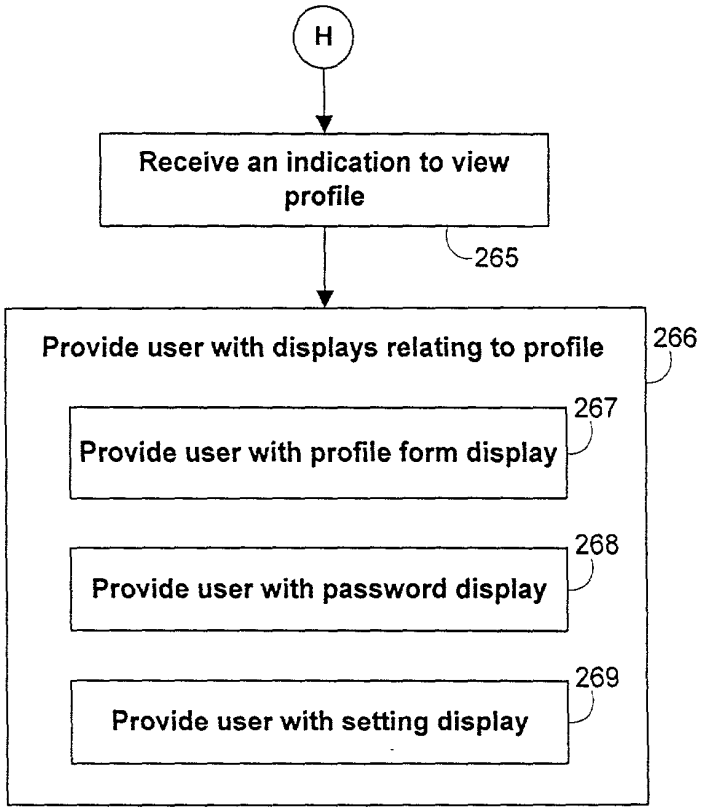

H
Receive an indication to view profile
265
Provide user with displays relating to profile
266
Provide user with profile form display
267
Provide user with password display
268
Provide user with setting display
269

FIG.19

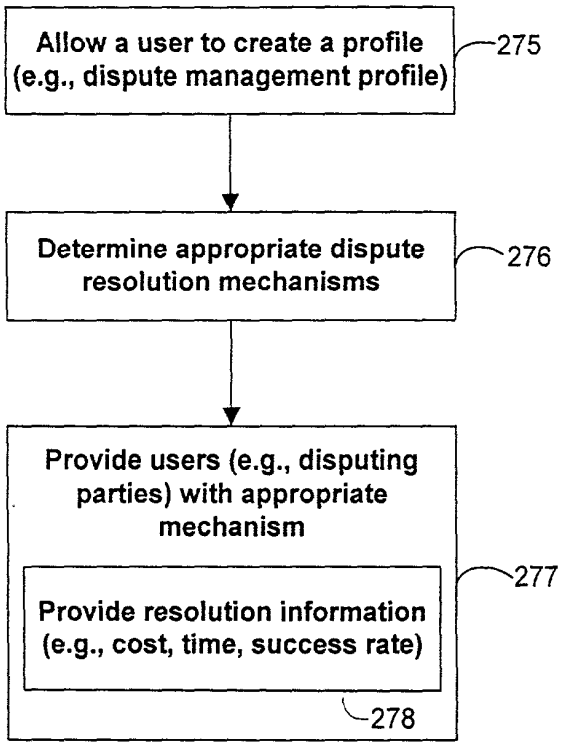

Allow a user to create a profile (e.g., dispute management profile)
275
Determine appropriate dispute resolution mechanisms
276
Provide users (e.g., disputing parties) with appropriate mechanism
277
Provide resolution information (e.g., cost, time, success rate)
278

FIG. 20

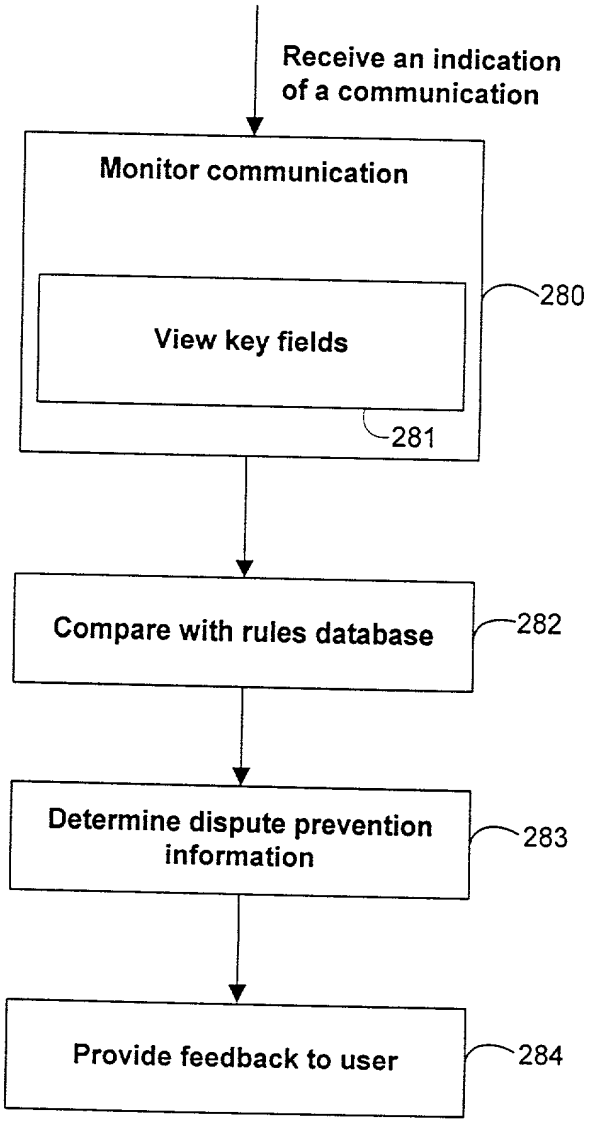

Receive an indication of a communication
Monitor communication
View key fields
280
281
Compare with rules database
282
Determine dispute prevention information
283
Provide feedback to user
284

FIG. 21

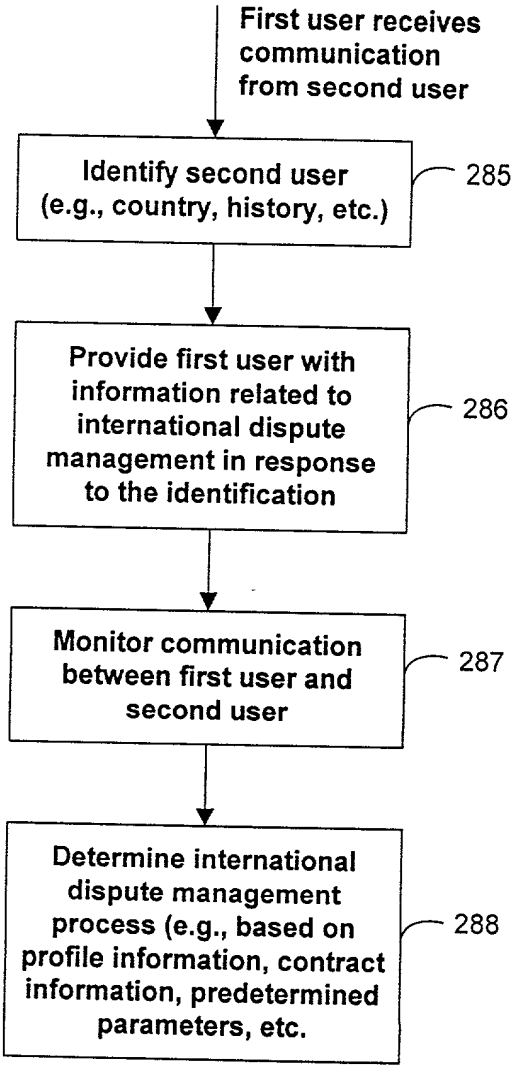

First user receives communication from second user
Identify second user (e.g., country, history, etc.)
285
Provide first user with information related to international dispute management in response to the identification
286
Monitor communication between first user and second user
287
Determine international dispute management process (e.g., based on profile information, contract information, predetermined parameters, etc.
288

FIG. 22

Target Searched

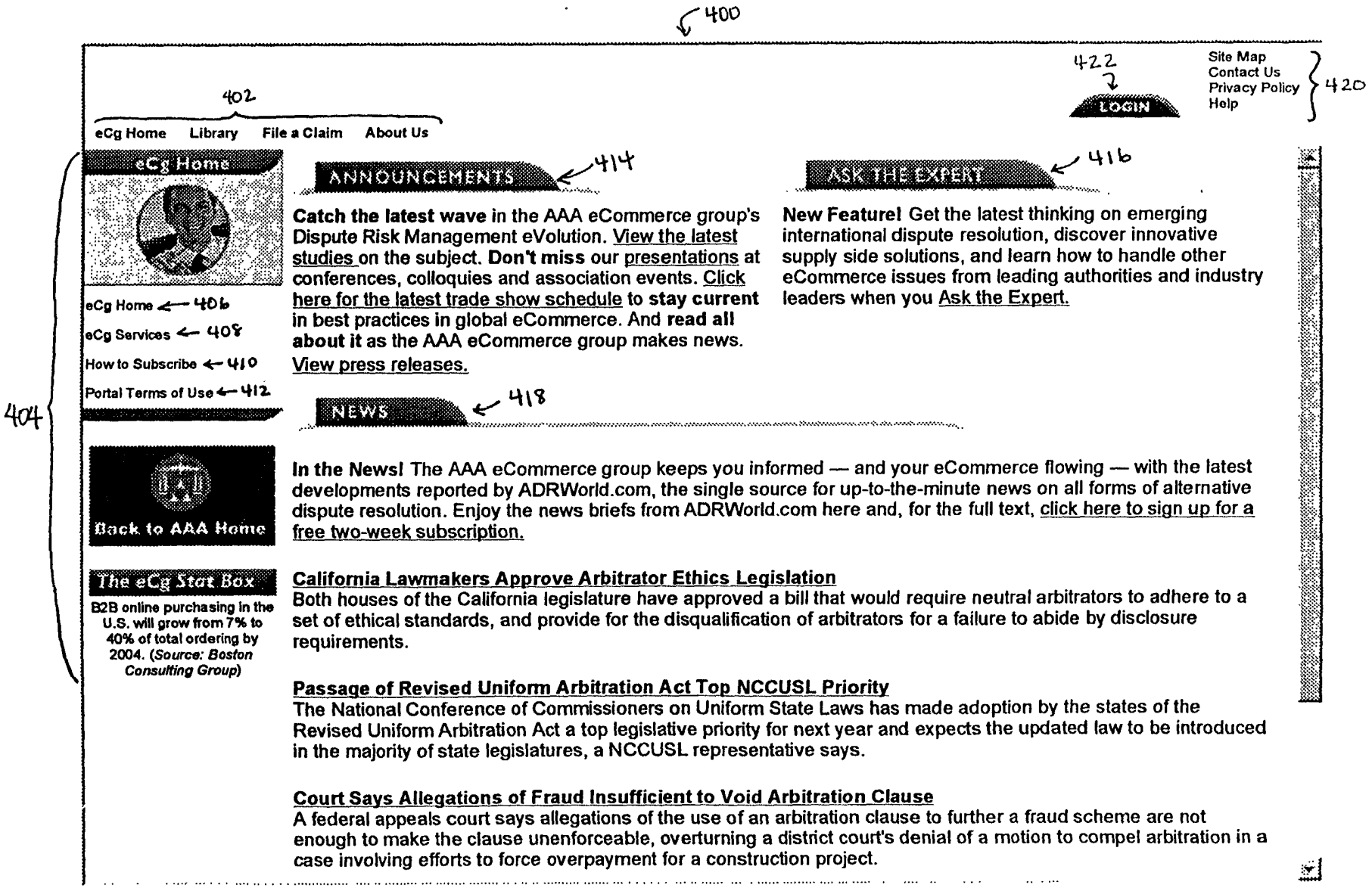


FIG. 23

patent pending

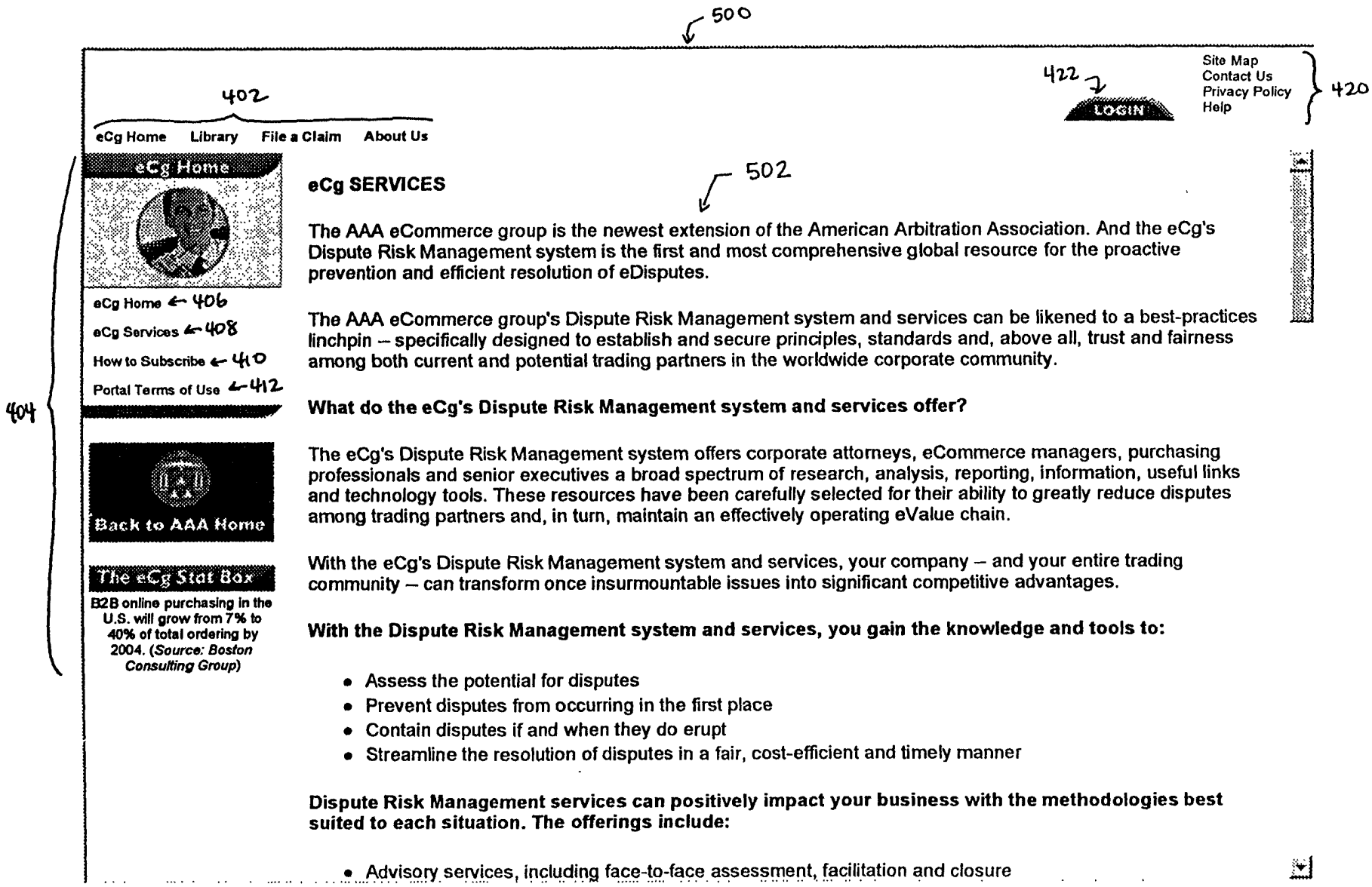


FIG. 24

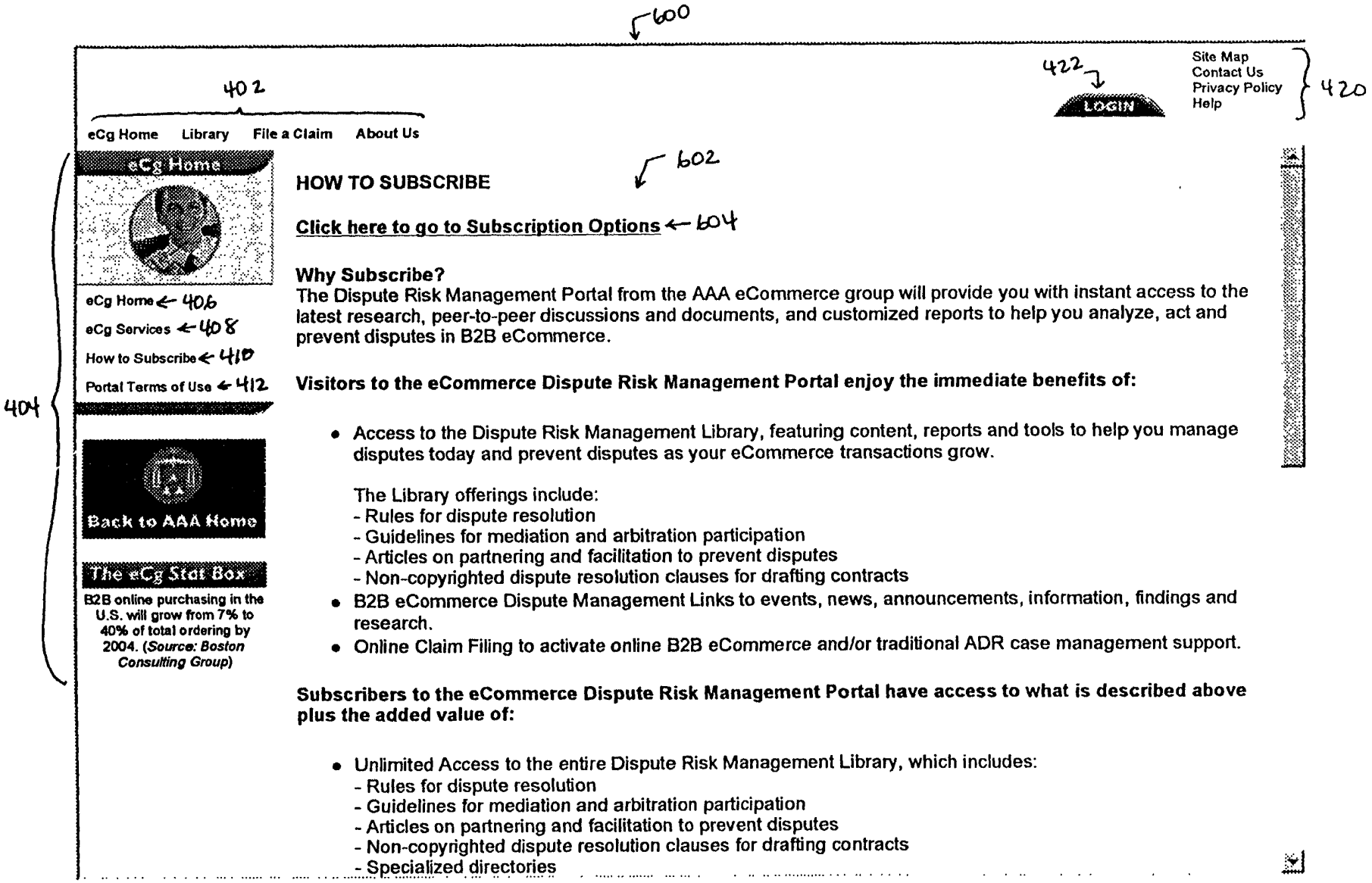

600
402
422
LOGIN
Site Map
Contact Us
Privacy Policy
Help
420
eCg Home
Library
File a Claim
About Us
eCg Home
eCg Home ← 406
eCg Services ← 408
How to Subscribe ← 410
Portal Terms of Use ← 412
404
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The Dispute Risk Management Portal from the AAA eCommerce group will provide you with instant access to the latest research, peer-to-peer discussions and documents, and customized reports to help you analyze, act and prevent disputes in B2B eCommerce.
Visitors to the eCommerce Dispute Risk Management Portal enjoy the immediate benefits of:
• Access to the Dispute Risk Management Library, featuring content, reports and tools to help you manage disputes today and prevent disputes as your eCommerce transactions grow.
The Library offerings include:
- Rules for dispute resolution
- Guidelines for mediation and arbitration participation
- Articles on partnering and facilitation to prevent disputes
- Non-copyrighted dispute resolution clauses for drafting contracts
• B2B eCommerce Dispute Management Links to events, news, announcements, information, findings and research.
• Online Claim Filing to activate online B2B eCommerce and/or traditional ADR case management support.
Subscribers to the eCommerce Dispute Risk Management Portal have access to what is described above plus the added value of:
• Unlimited Access to the entire Dispute Risk Management Library, which includes:
- Rules for dispute resolution
- Guidelines for mediation and arbitration participation
- Articles on partnering and facilitation to prevent disputes
- Non-copyrighted dispute resolution clauses for drafting contracts
- Specialized directories

FIG. 25

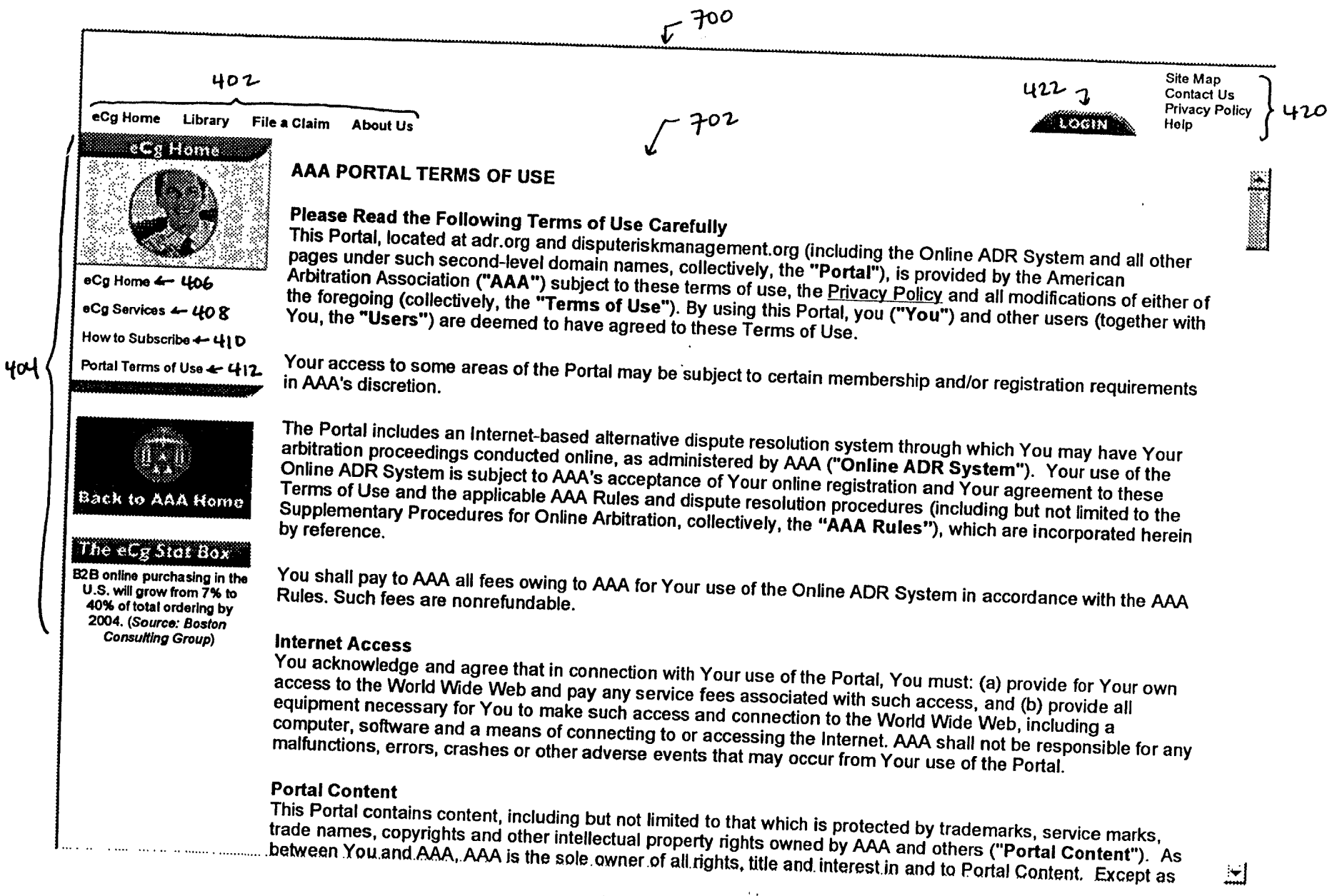

700
402
eCg Home
Library
File a Claim
About Us
422
LOGIN
Site Map
Contact Us
Privacy Policy
Help
420
702
eCg Home
eCg Home ← 406
eCg Services ← 408
How to Subscribe ← 410
Portal Terms of Use ← 412
404
Back to AAA Home
The eCg Stat Box
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FIG. 26

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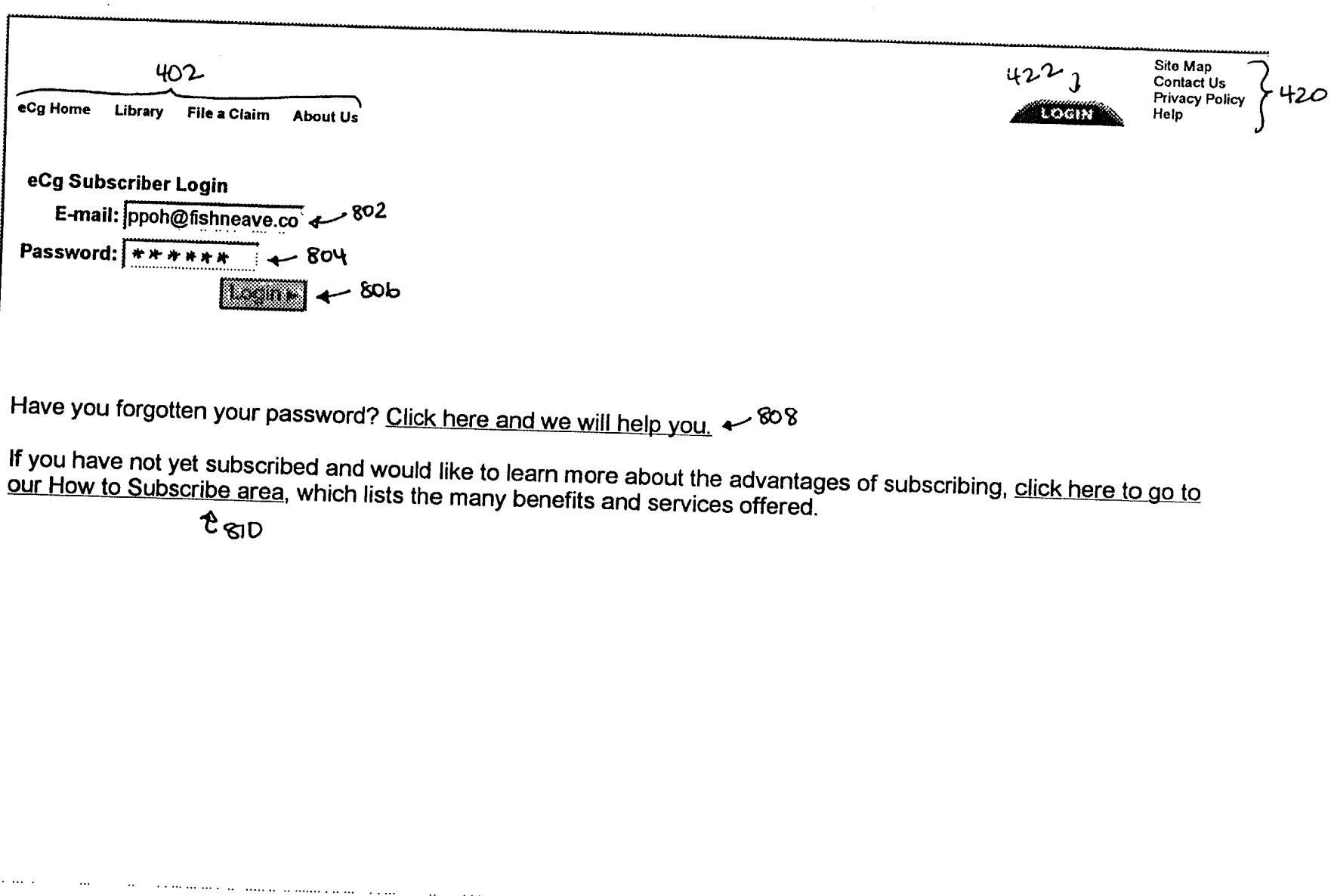


FIG. 27

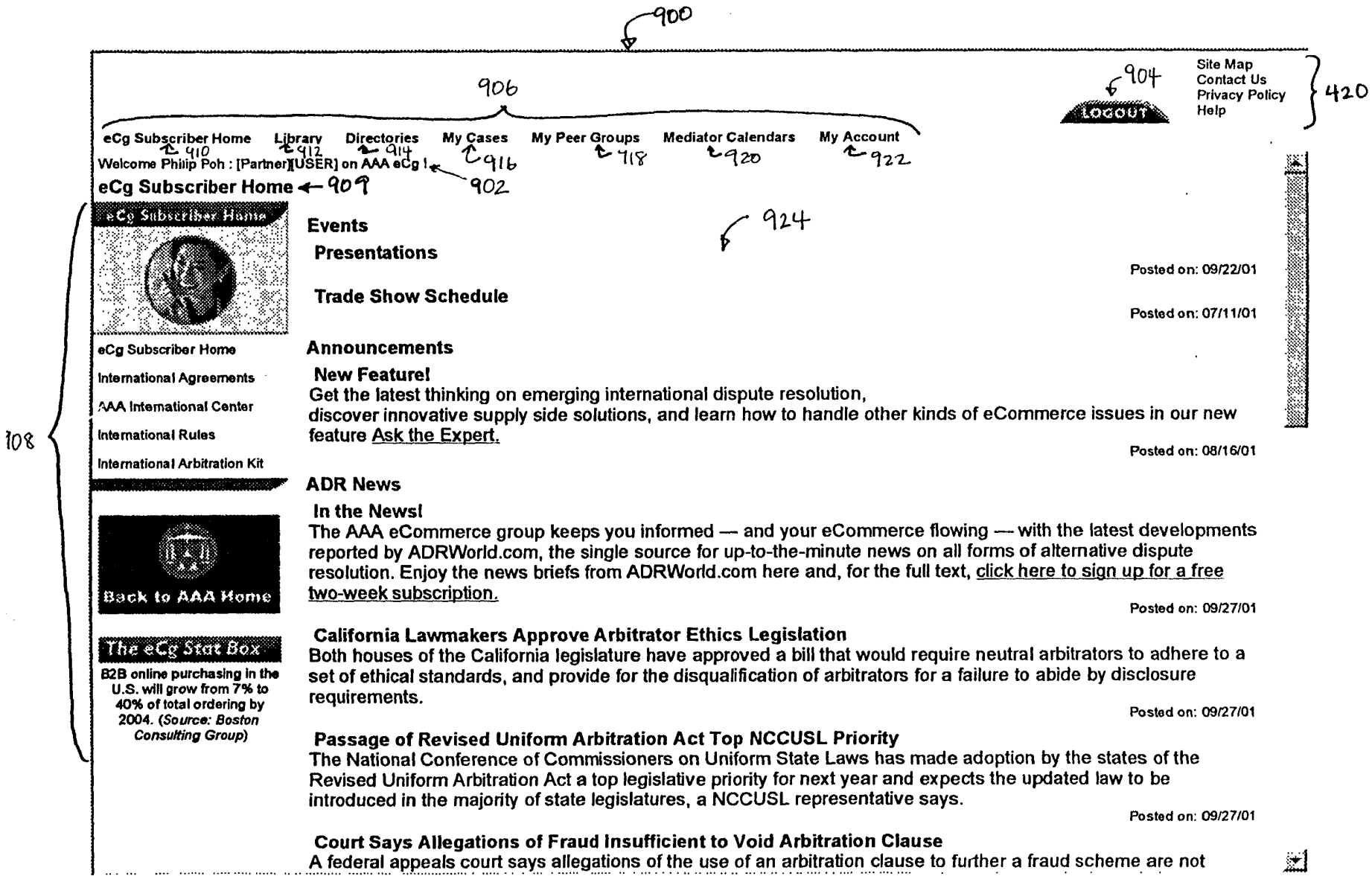

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Announcements
New Feature!
Get the latest thinking on emerging international dispute resolution, discover innovative supply side solutions, and learn how to handle other kinds of eCommerce issues in our new feature Ask the Expert.
Posted on: 08/16/01
ADR News
In the News!
The AAA eCommerce group keeps you informed — and your eCommerce flowing — with the latest developments reported by ADRWorld.com, the single source for up-to-the-minute news on all forms of alternative dispute resolution. Enjoy the news briefs from ADRWorld.com here and, for the full text, click here to sign up for a free two-week subscription.
Posted on: 09/27/01
California Lawmakers Approve Arbitrator Ethics Legislation
Both houses of the California legislature have approved a bill that would require neutral arbitrators to adhere to a set of ethical standards, and provide for the disqualification of arbitrators for a failure to abide by disclosure requirements.
Posted on: 09/27/01
Passage of Revised Uniform Arbitration Act Top NCCUSL Priority
The National Conference of Commissioners on Uniform State Laws has made adoption by the states of the Revised Uniform Arbitration Act a top legislative priority for next year and expects the updated law to be introduced in the majority of state legislatures, a NCCUSL representative says.
Posted on: 09/27/01
Court Says Allegations of Fraud Insufficient to Void Arbitration Clause
A federal appeals court says allegations of the use of an arbitration clause to further a fraud scheme are not
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B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)

FIG. 28

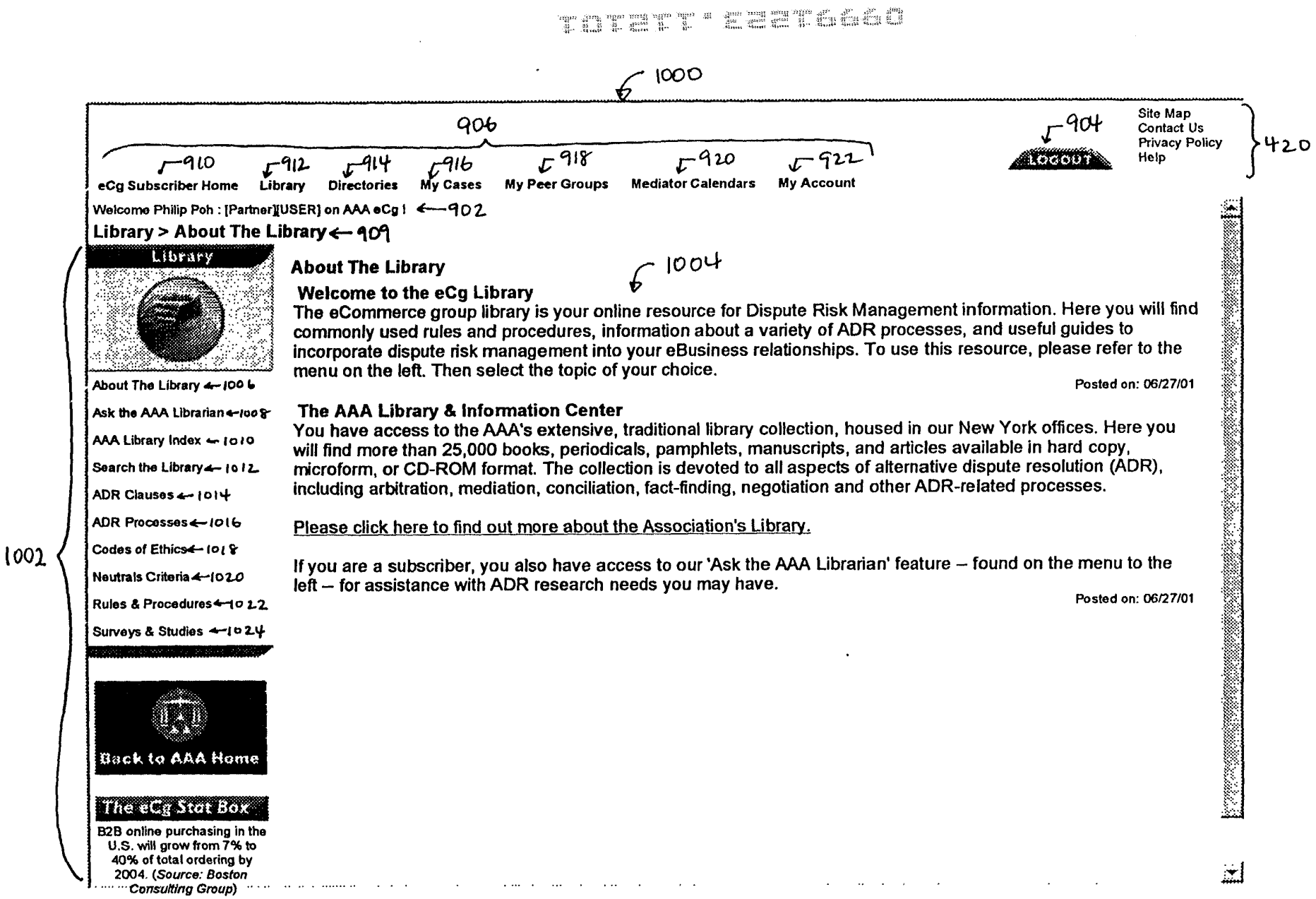

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About The Library
1004
Welcome to the eCg Library
The eCommerce group library is your online resource for Dispute Risk Management information. Here you will find commonly used rules and procedures, information about a variety of ADR processes, and useful guides to incorporate dispute risk management into your eBusiness relationships. To use this resource, please refer to the menu on the left. Then select the topic of your choice.
Posted on: 06/27/01
The AAA Library & Information Center
You have access to the AAA's extensive, traditional library collection, housed in our New York offices. Here you will find more than 25,000 books, periodicals, pamphlets, manuscripts, and articles available in hard copy, microform, or CD-ROM format. The collection is devoted to all aspects of alternative dispute resolution (ADR), including arbitration, mediation, conciliation, fact-finding, negotiation and other ADR-related processes.
Please click here to find out more about the Association's Library.
If you are a subscriber, you also have access to our 'Ask the AAA Librarian' feature – found on the menu to the left – for assistance with ADR research needs you may have.
Posted on: 06/27/01

FIG. 29

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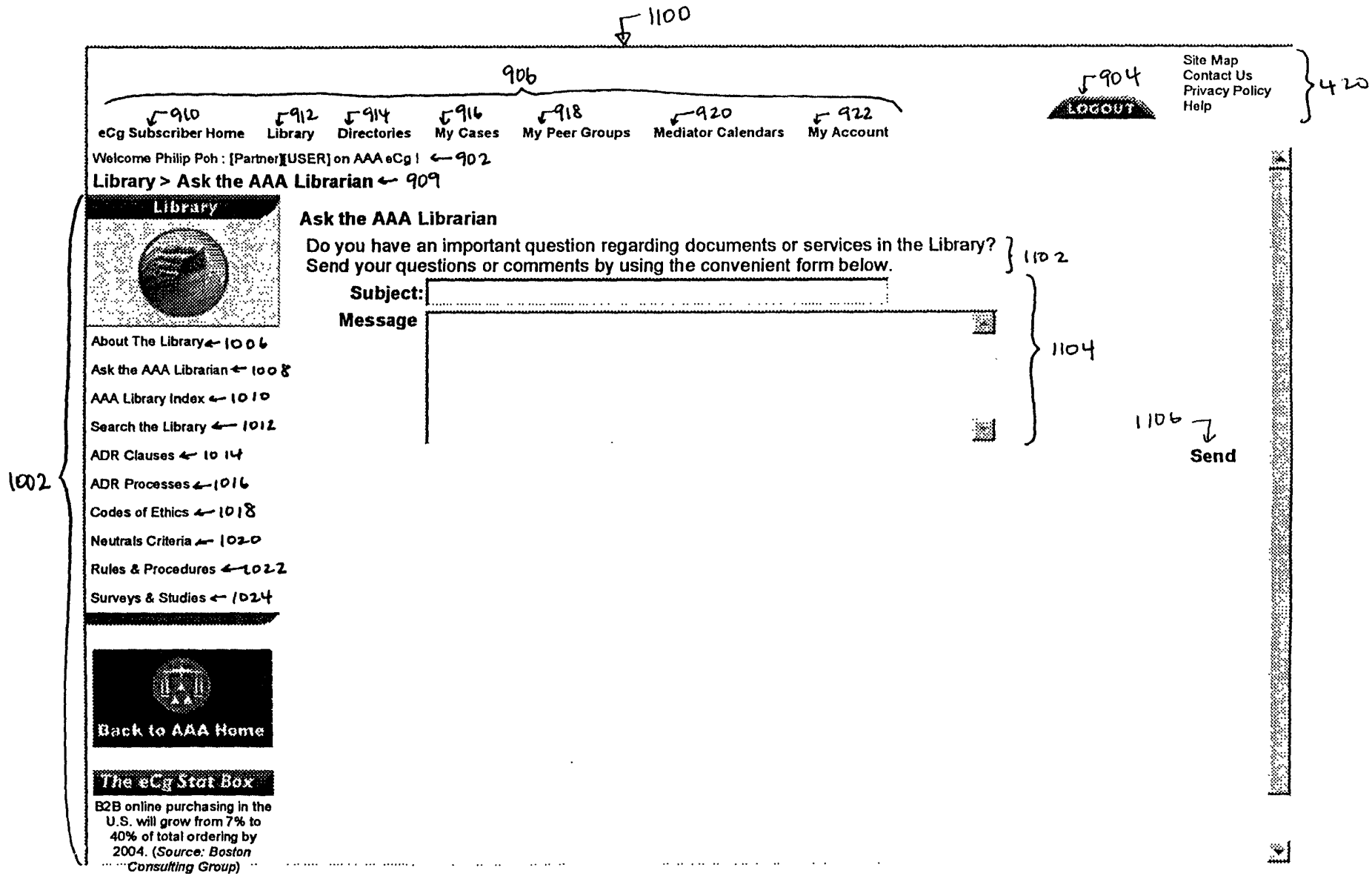


FIG. 30

Total Search

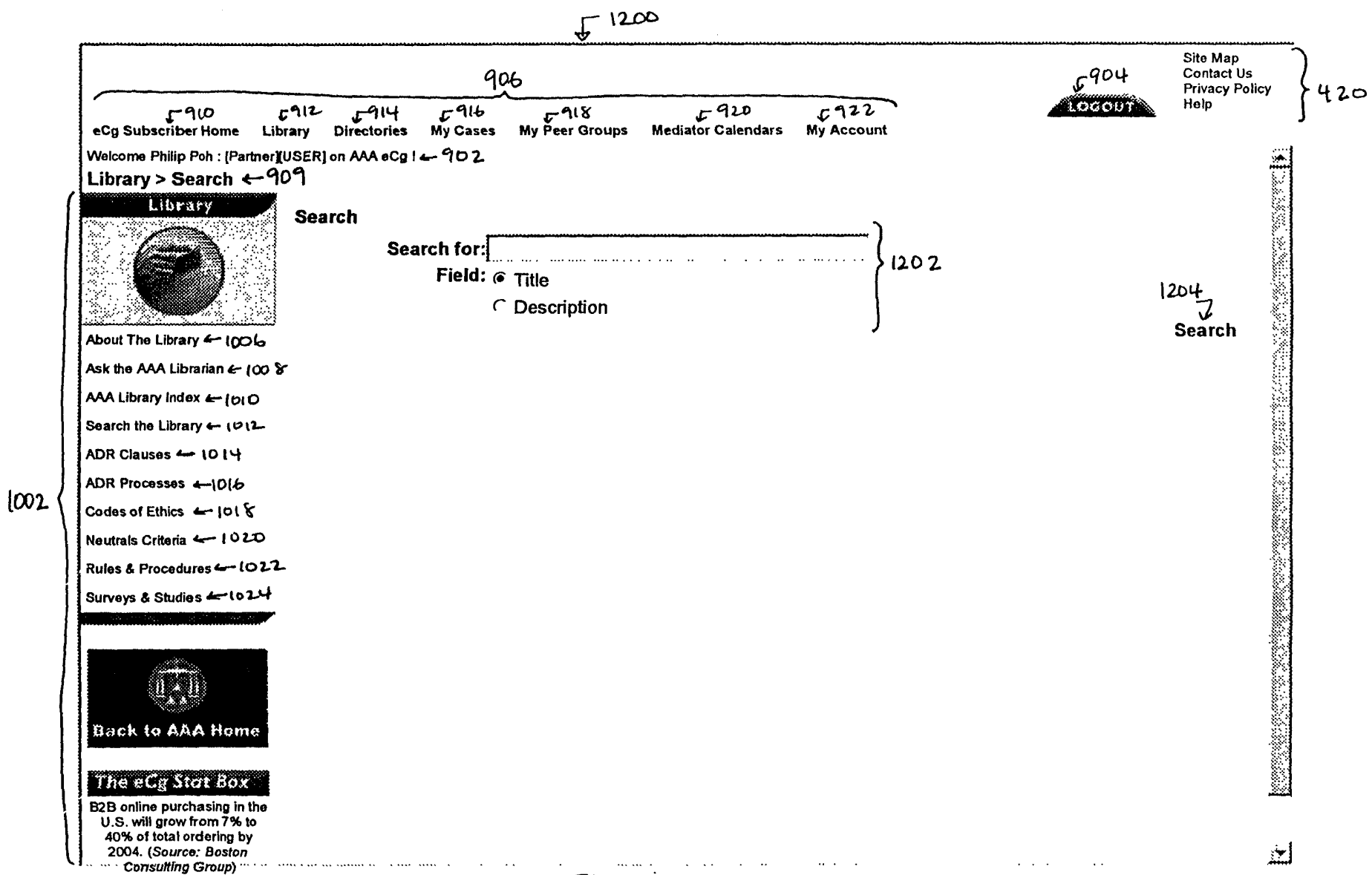


FIG. 31

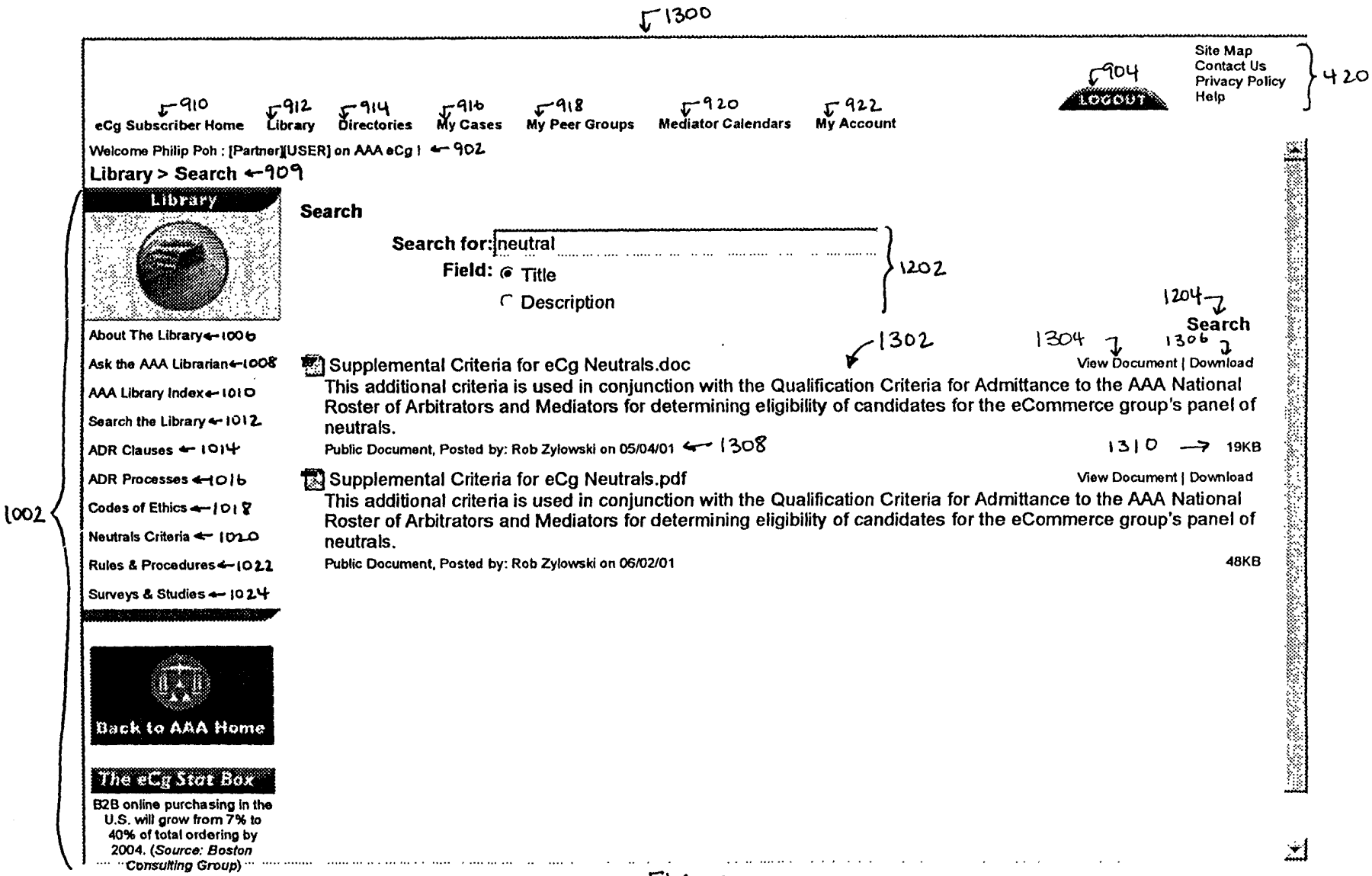

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Supplemental Criteria for eCg Neutrals.doc
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This additional criteria is used in conjunction with the Qualification Criteria for Admittance to the AAA National Roster of Arbitrators and Mediators for determining eligibility of candidates for the eCommerce group's panel of neutrals.
Public Document, Posted by: Rob Zylowski on 05/04/01 1308
1310
19KB
Supplemental Criteria for eCg Neutrals.pdf
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This additional criteria is used in conjunction with the Qualification Criteria for Admittance to the AAA National Roster of Arbitrators and Mediators for determining eligibility of candidates for the eCommerce group's panel of neutrals.
Public Document, Posted by: Rob Zylowski on 06/02/01
48KB

FIG. 32

patent-pending

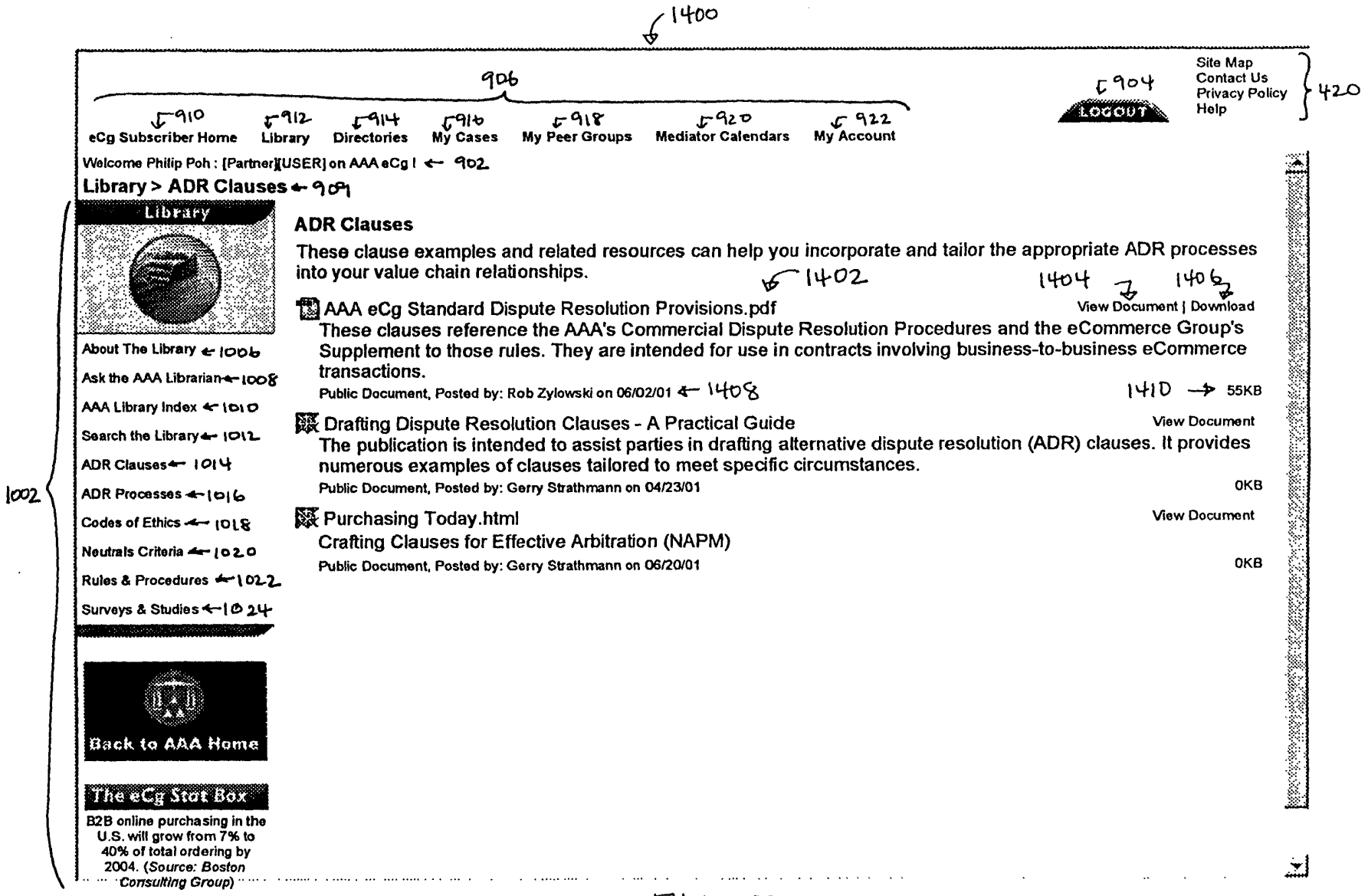


FIG. 33

Target-Search

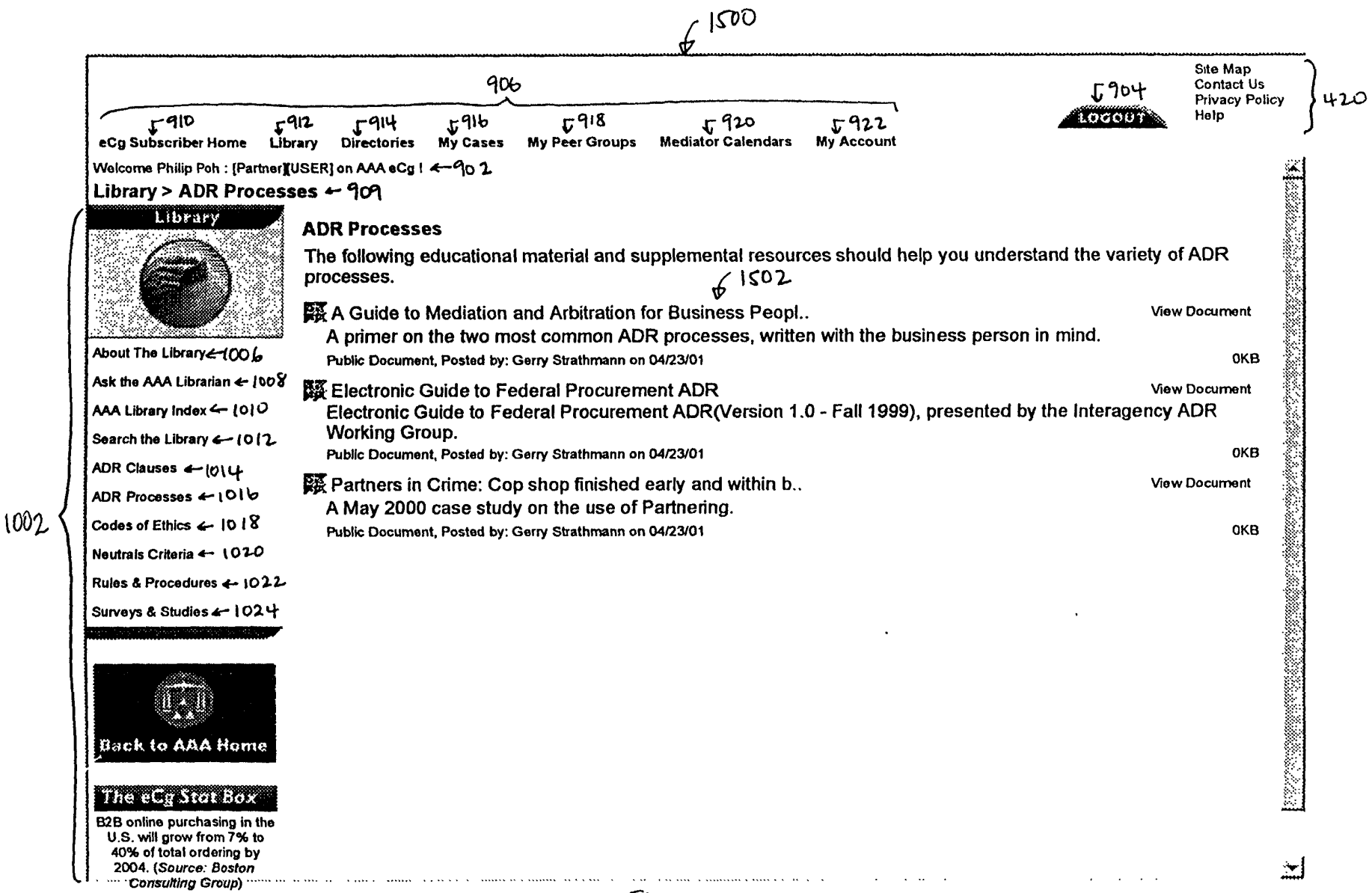


FIG. 34

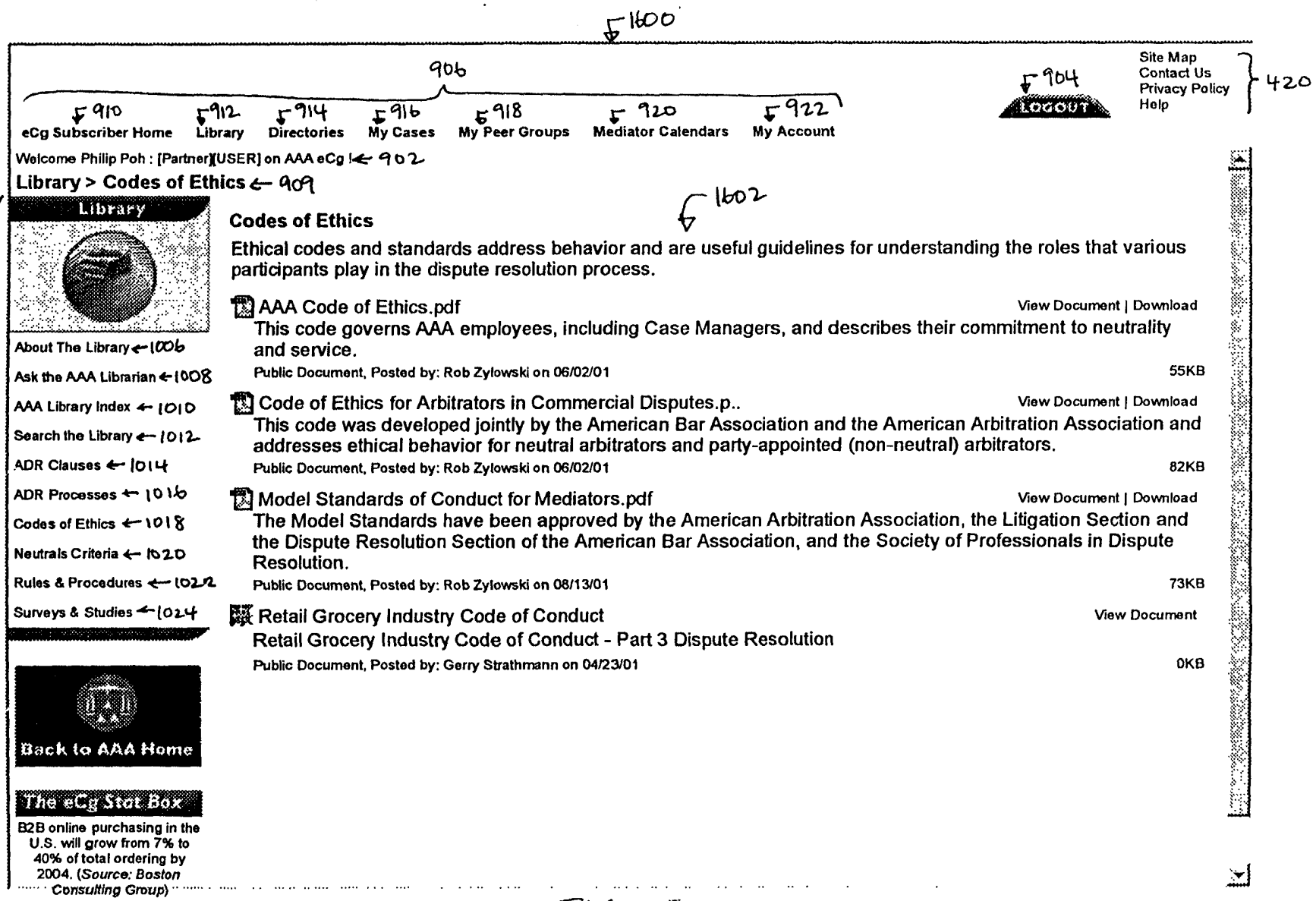

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B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
1602
Codes of Ethics
Ethical codes and standards address behavior and are useful guidelines for understanding the roles that various participants play in the dispute resolution process.
AAA Code of Ethics.pdf
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This code governs AAA employees, including Case Managers, and describes their commitment to neutrality and service.
Public Document, Posted by: Rob Zylowski on 06/02/01
55KB
Code of Ethics for Arbitrators in Commercial Disputes.p..
View Document | Download
This code was developed jointly by the American Bar Association and the American Arbitration Association and addresses ethical behavior for neutral arbitrators and party-appointed (non-neutral) arbitrators.
Public Document, Posted by: Rob Zylowski on 06/02/01
82KB
Model Standards of Conduct for Mediators.pdf
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The Model Standards have been approved by the American Arbitration Association, the Litigation Section and the Dispute Resolution Section of the American Bar Association, and the Society of Professionals in Dispute Resolution.
Public Document, Posted by: Rob Zylowski on 08/13/01
73KB
Retail Grocery Industry Code of Conduct
View Document
Retail Grocery Industry Code of Conduct - Part 3 Dispute Resolution
Public Document, Posted by: Gerry Strathmann on 04/23/01
0KB

FIG. 35

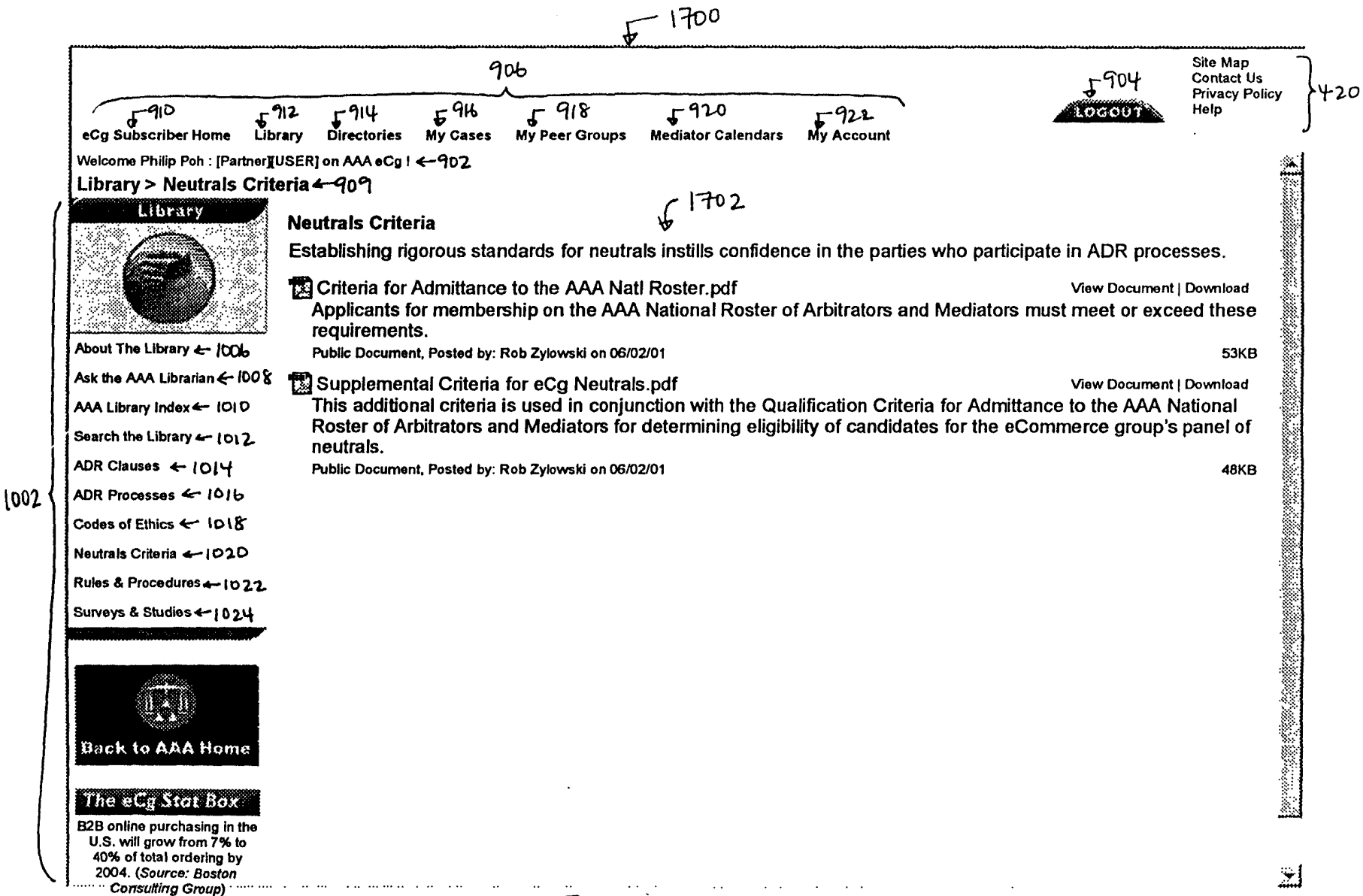

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B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
1702
Neutrals Criteria
Establishing rigorous standards for neutrals instills confidence in the parties who participate in ADR processes.
Criteria for Admittance to the AAA Natl Roster.pdf
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Applicants for membership on the AAA National Roster of Arbitrators and Mediators must meet or exceed these requirements.
Public Document, Posted by: Rob Zylowski on 06/02/01
53KB
Supplemental Criteria for eCg Neutrals.pdf
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This additional criteria is used in conjunction with the Qualification Criteria for Admittance to the AAA National Roster of Arbitrators and Mediators for determining eligibility of candidates for the eCommerce group's panel of neutrals.
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FIG. 36

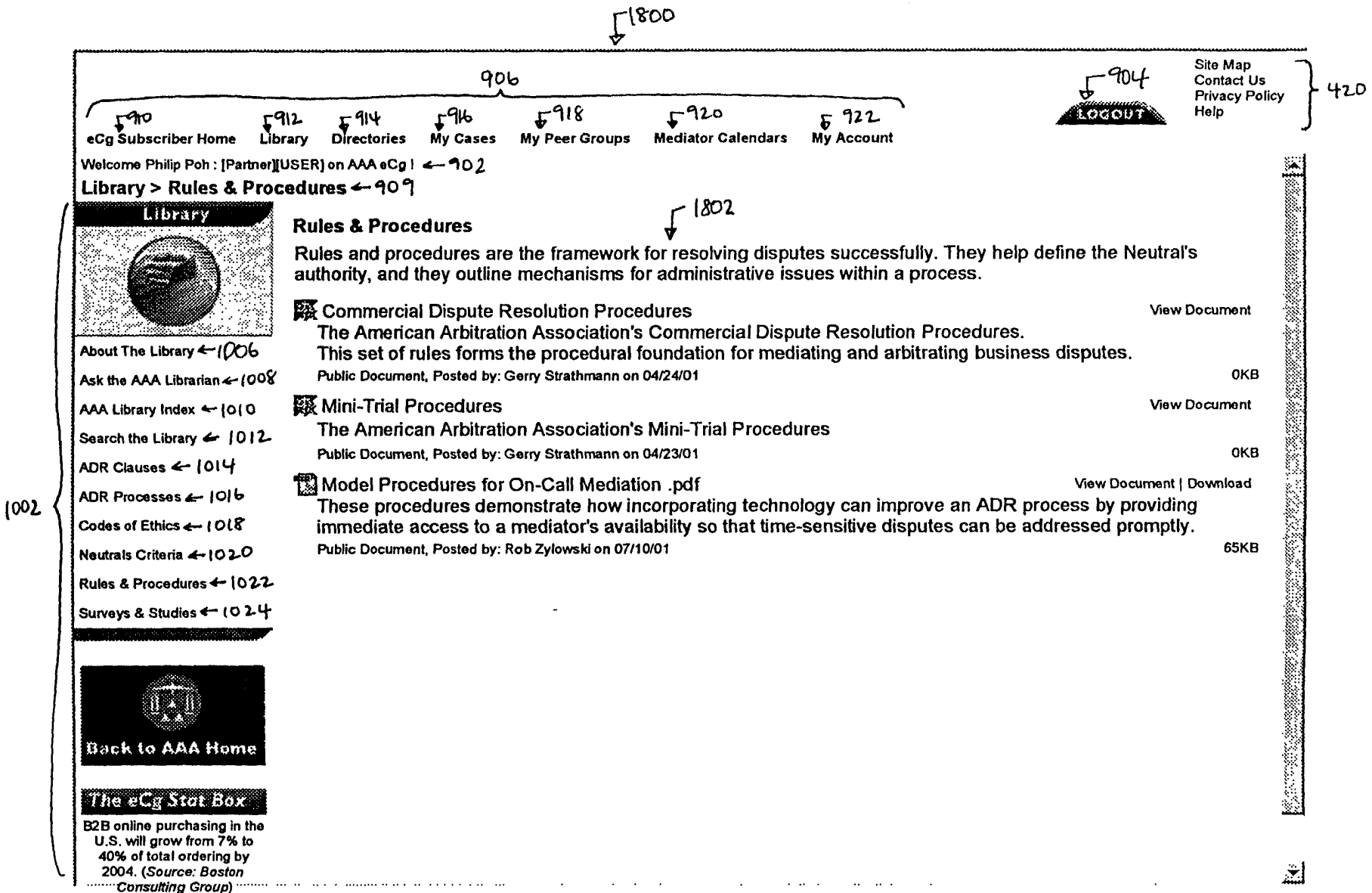

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1802
Rules & Procedures
Rules and procedures are the framework for resolving disputes successfully. They help define the Neutral's authority, and they outline mechanisms for administrative issues within a process.
Commercial Dispute Resolution Procedures
View Document
The American Arbitration Association's Commercial Dispute Resolution Procedures.
This set of rules forms the procedural foundation for mediating and arbitrating business disputes.
Public Document, Posted by: Gerry Strathmann on 04/24/01
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Mini-Trial Procedures
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The American Arbitration Association's Mini-Trial Procedures
Public Document, Posted by: Gerry Strathmann on 04/23/01
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Model Procedures for On-Call Mediation .pdf
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These procedures demonstrate how incorporating technology can improve an ADR process by providing immediate access to a mediator's availability so that time-sensitive disputes can be addressed promptly.
Public Document, Posted by: Rob Zylowski on 07/10/01
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FIG. 37

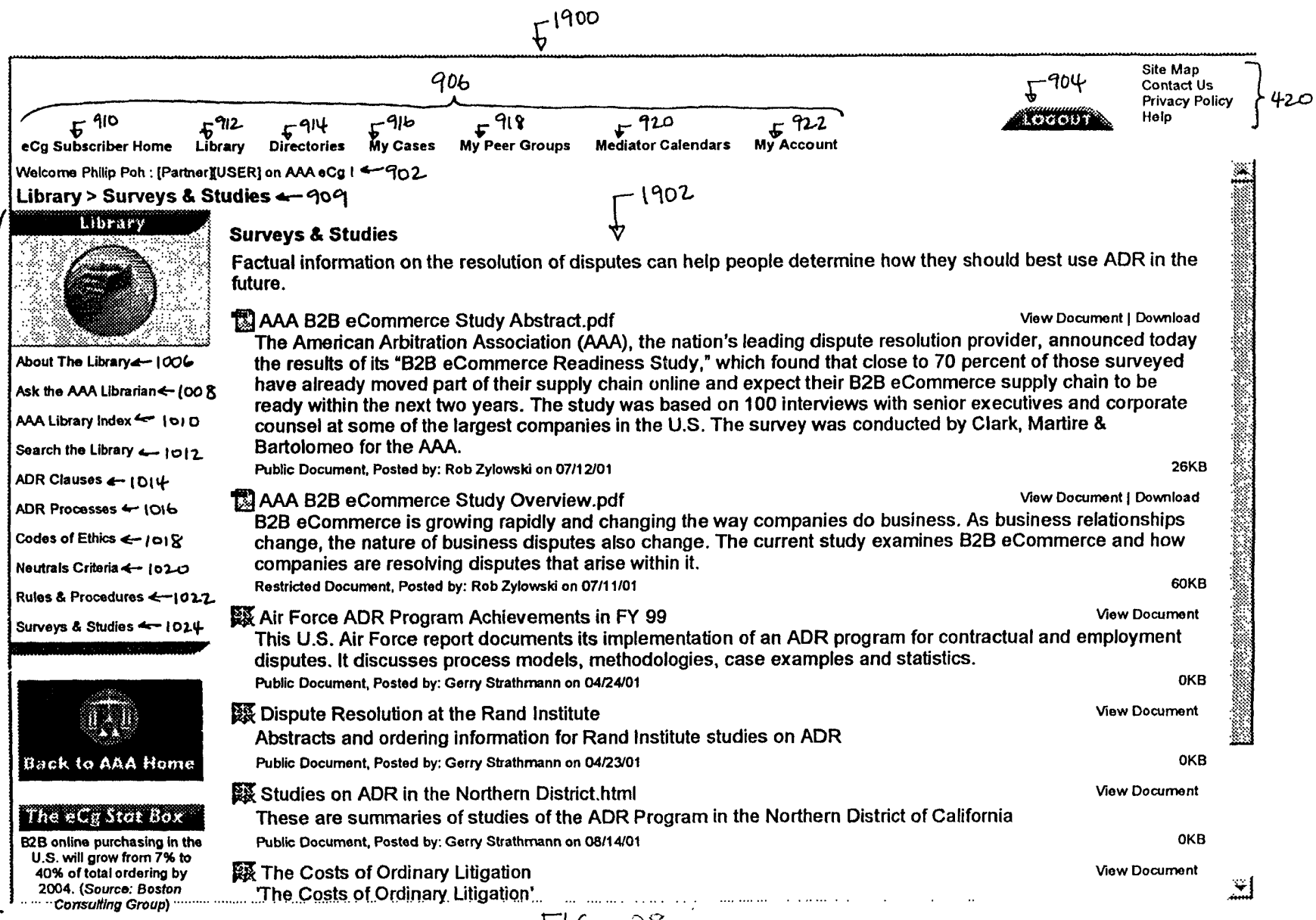

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B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
Surveys & Studies
Factual information on the resolution of disputes can help people determine how they should best use ADR in the future.
AAA B2B eCommerce Study Abstract.pdf
View Document | Download
The American Arbitration Association (AAA), the nation's leading dispute resolution provider, announced today the results of its "B2B eCommerce Readiness Study," which found that close to 70 percent of those surveyed have already moved part of their supply chain online and expect their B2B eCommerce supply chain to be ready within the next two years. The study was based on 100 interviews with senior executives and corporate counsel at some of the largest companies in the U.S. The survey was conducted by Clark, Martire & Bartolomeo for the AAA.
Public Document, Posted by: Rob Zylowski on 07/12/01
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AAA B2B eCommerce Study Overview.pdf
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B2B eCommerce is growing rapidly and changing the way companies do business. As business relationships change, the nature of business disputes also change. The current study examines B2B eCommerce and how companies are resolving disputes that arise within it.
Restricted Document, Posted by: Rob Zylowski on 07/11/01
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Air Force ADR Program Achievements in FY 99
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This U.S. Air Force report documents its implementation of an ADR program for contractual and employment disputes. It discusses process models, methodologies, case examples and statistics.
Public Document, Posted by: Gerry Strathmann on 04/24/01
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Dispute Resolution at the Rand Institute
View Document
Abstracts and ordering information for Rand Institute studies on ADR
Public Document, Posted by: Gerry Strathmann on 04/23/01
0KB
Studies on ADR in the Northern District.html
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These are summaries of studies of the ADR Program in the Northern District of California
Public Document, Posted by: Gerry Strathmann on 08/14/01
0KB
The Costs of Ordinary Litigation
View Document
'The Costs of Ordinary Litigation'

FIG. 38

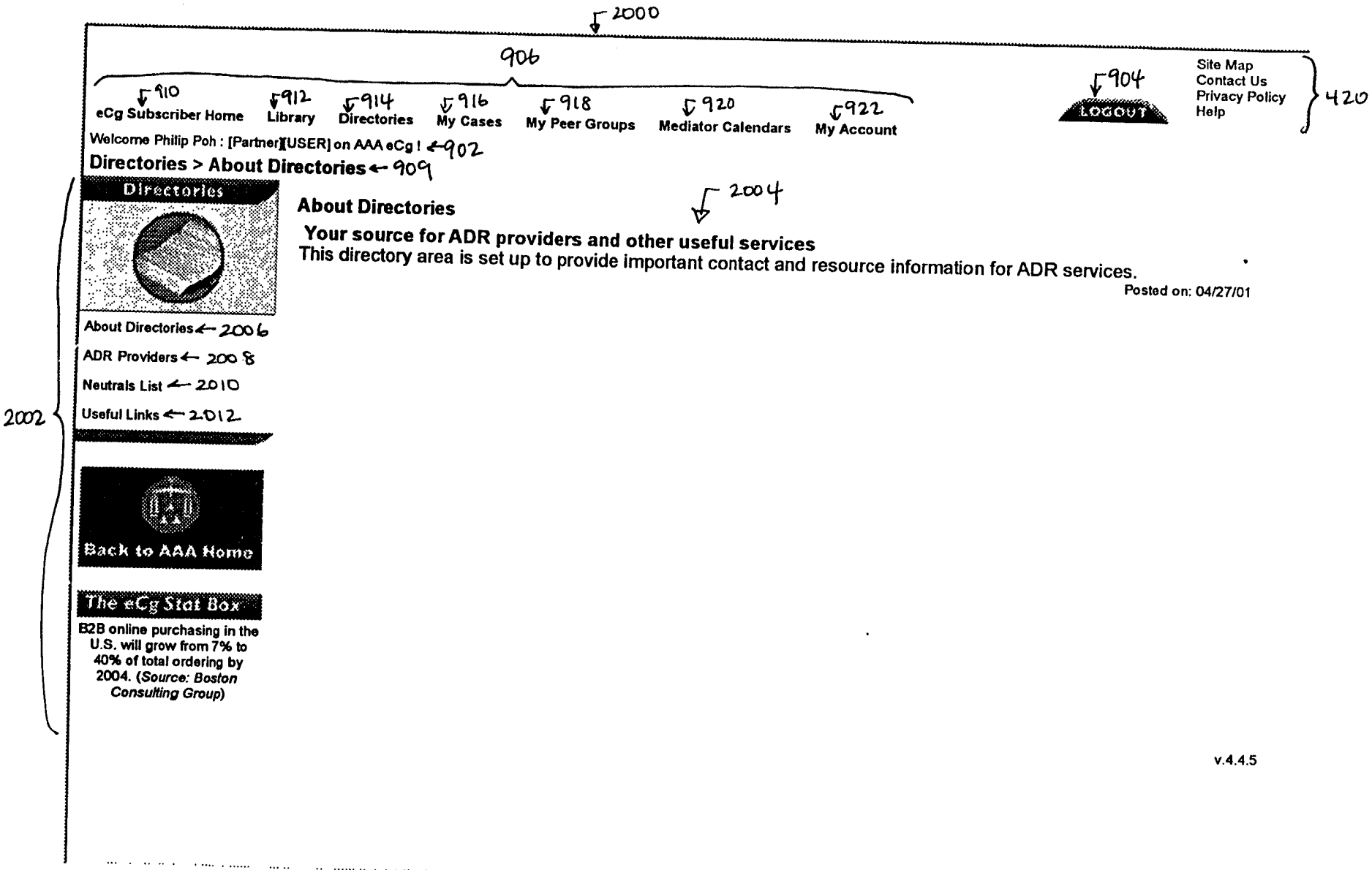

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2004
About Directories
Your source for ADR providers and other useful services
This directory area is set up to provide important contact and resource information for ADR services.
Posted on: 04/27/01
v.4.4.5

FIG. 39

Directories

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ADR Providers

2102

Inter-Association Arbitration Agreements
Concluded Between the American Arbitration Association and Other Arbitral Institutions

Checklist of Agreements in Chronological Order
as of March 22, 2001

Compiled By
The Library and Information Center on the Resolution of Disputes
American Arbitration Association

1. Arrangement between the American Arbitration Association and the Manchester Chamber of Commerce to facilitate the use of commercial arbitration in trade between the United States of America and Manchester and district. April 15th, 1946.

 http://195.226.34.67/cgi-bin/TheMCCISite.storefront

2. Memorandum on cooperation between the London Court of Arbitration and the American Arbitration Association. March 10, 1947.

 http://www.lcia-arbitration.com/lcia/

FIG. 40

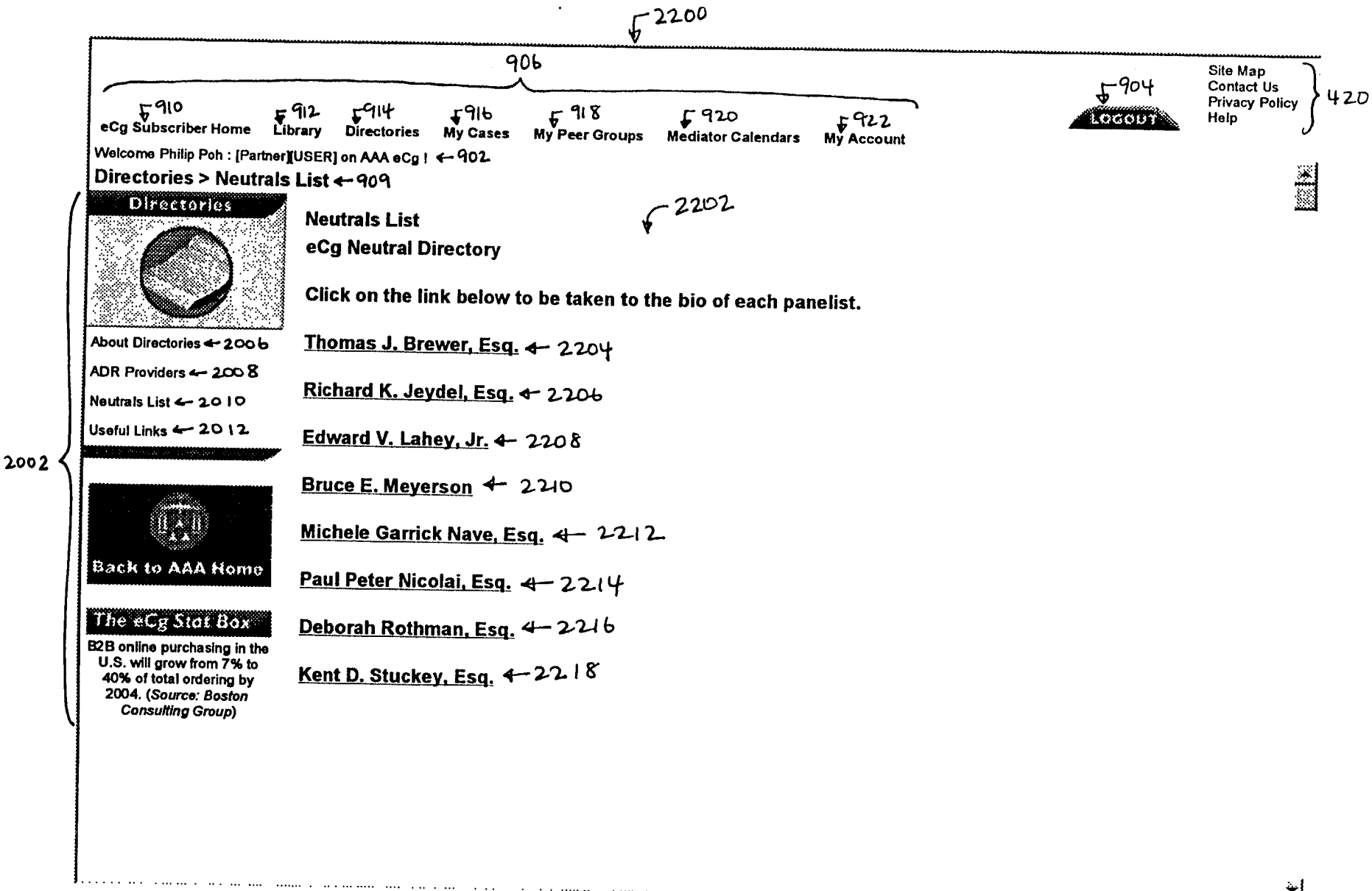

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Neutrals List
eCg Neutral Directory
Click on the link below to be taken to the bio of each panelist.
Thomas J. Brewer, Esq. ← 2204
Richard K. Jeydel, Esq. ← 2206
Edward V. Lahey, Jr. ← 2208
Bruce E. Meyerson ← 2210
Michele Garrick Nave, Esq. ← 2212
Paul Peter Nicolai, Esq. ← 2214
Deborah Rothman, Esq. ← 2216
Kent D. Stuckey, Esq. ← 2218

FIG. 41

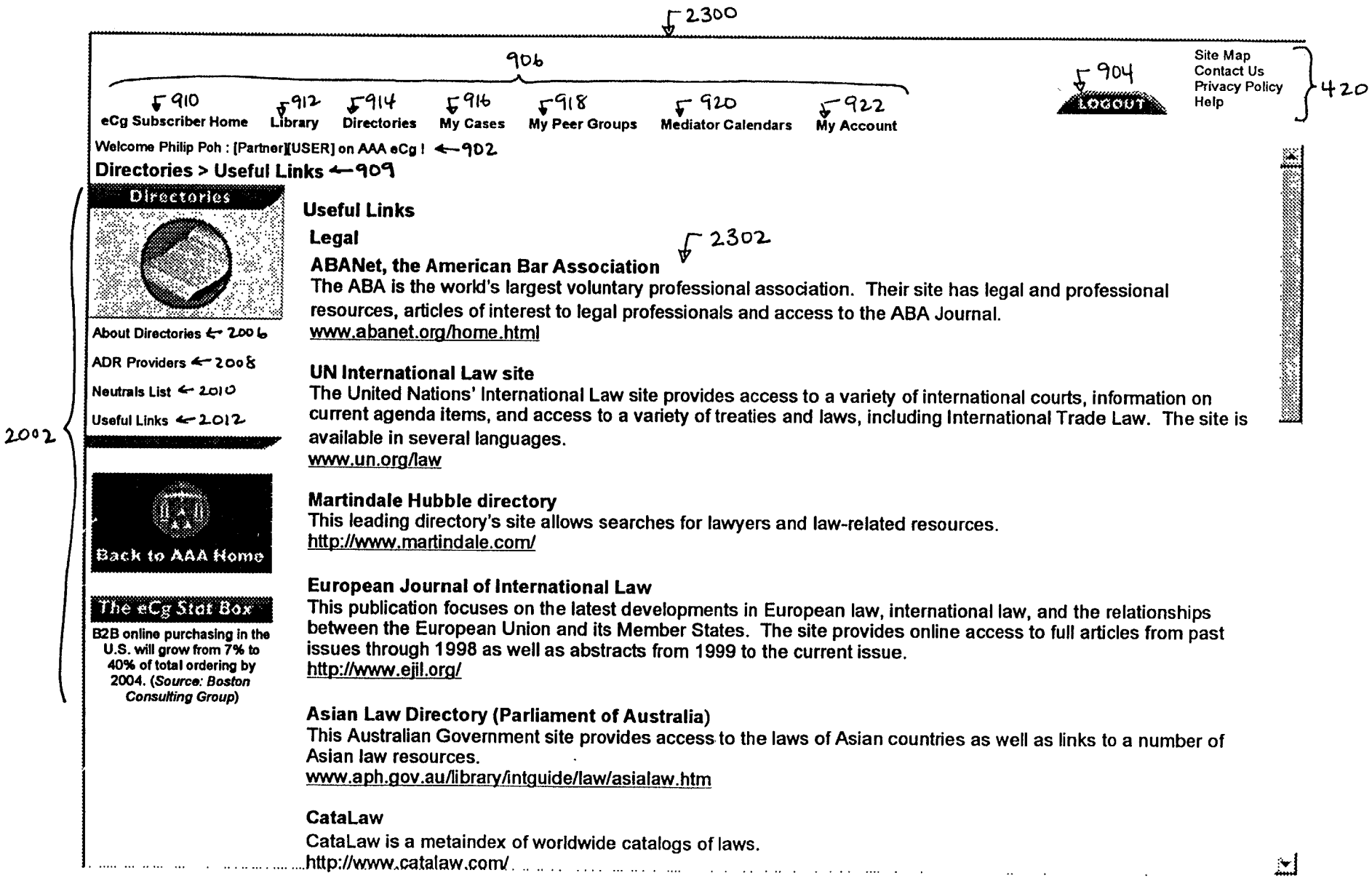

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2302
ABANet, the American Bar Association
The ABA is the world's largest voluntary professional association. Their site has legal and professional resources, articles of interest to legal professionals and access to the ABA Journal.
www.abanet.org/home.html
UN International Law site
The United Nations' International Law site provides access to a variety of international courts, information on current agenda items, and access to a variety of treaties and laws, including International Trade Law. The site is available in several languages.
www.un.org/law
Martindale Hubble directory
This leading directory's site allows searches for lawyers and law-related resources.
http://www.martindale.com/
European Journal of International Law
This publication focuses on the latest developments in European law, international law, and the relationships between the European Union and its Member States. The site provides online access to full articles from past issues through 1998 as well as abstracts from 1999 to the current issue.
http://www.ejil.org/
Asian Law Directory (Parliament of Australia)
This Australian Government site provides access to the laws of Asian countries as well as links to a number of Asian law resources.
www.aph.gov.au/library/intguide/law/asialaw.htm
CataLaw
CataLaw is a metaindex of worldwide catalogs of laws.
http://www.catalaw.com/

FIG. 42

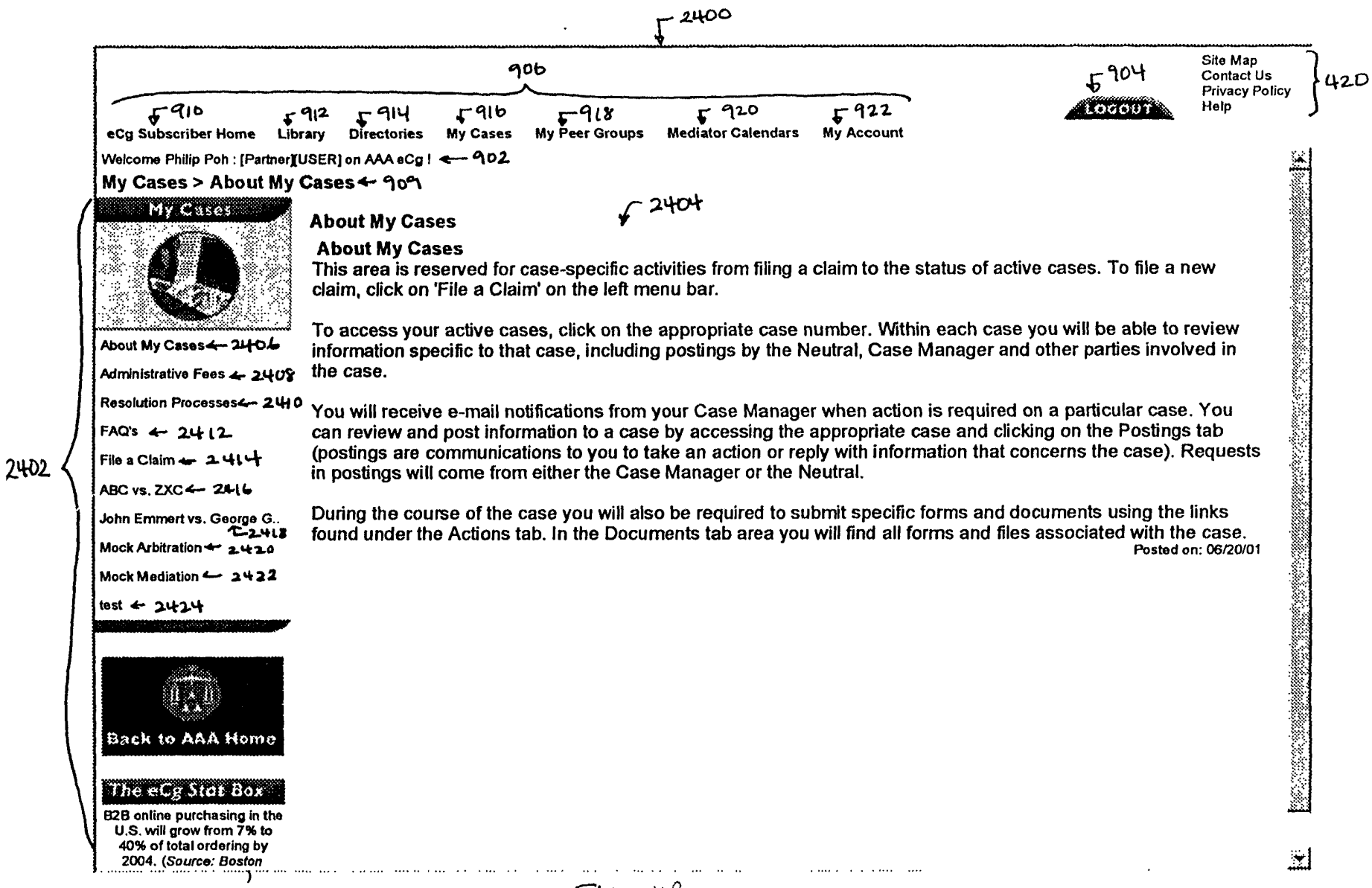

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ABC vs. ZXC 2416
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Mock Arbitration 2420
Mock Mediation 2422
test 2424
2402
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston
2404
About My Cases
About My Cases
This area is reserved for case-specific activities from filing a claim to the status of active cases. To file a new claim, click on 'File a Claim' on the left menu bar.
To access your active cases, click on the appropriate case number. Within each case you will be able to review information specific to that case, including postings by the Neutral, Case Manager and other parties involved in the case.
You will receive e-mail notifications from your Case Manager when action is required on a particular case. You can review and post information to a case by accessing the appropriate case and clicking on the Postings tab (postings are communications to you to take an action or reply with information that concerns the case). Requests in postings will come from either the Case Manager or the Neutral.
During the course of the case you will also be required to submit specific forms and documents using the links found under the Actions tab. In the Documents tab area you will find all forms and files associated with the case.
Posted on: 06/20/01

FIG. 43

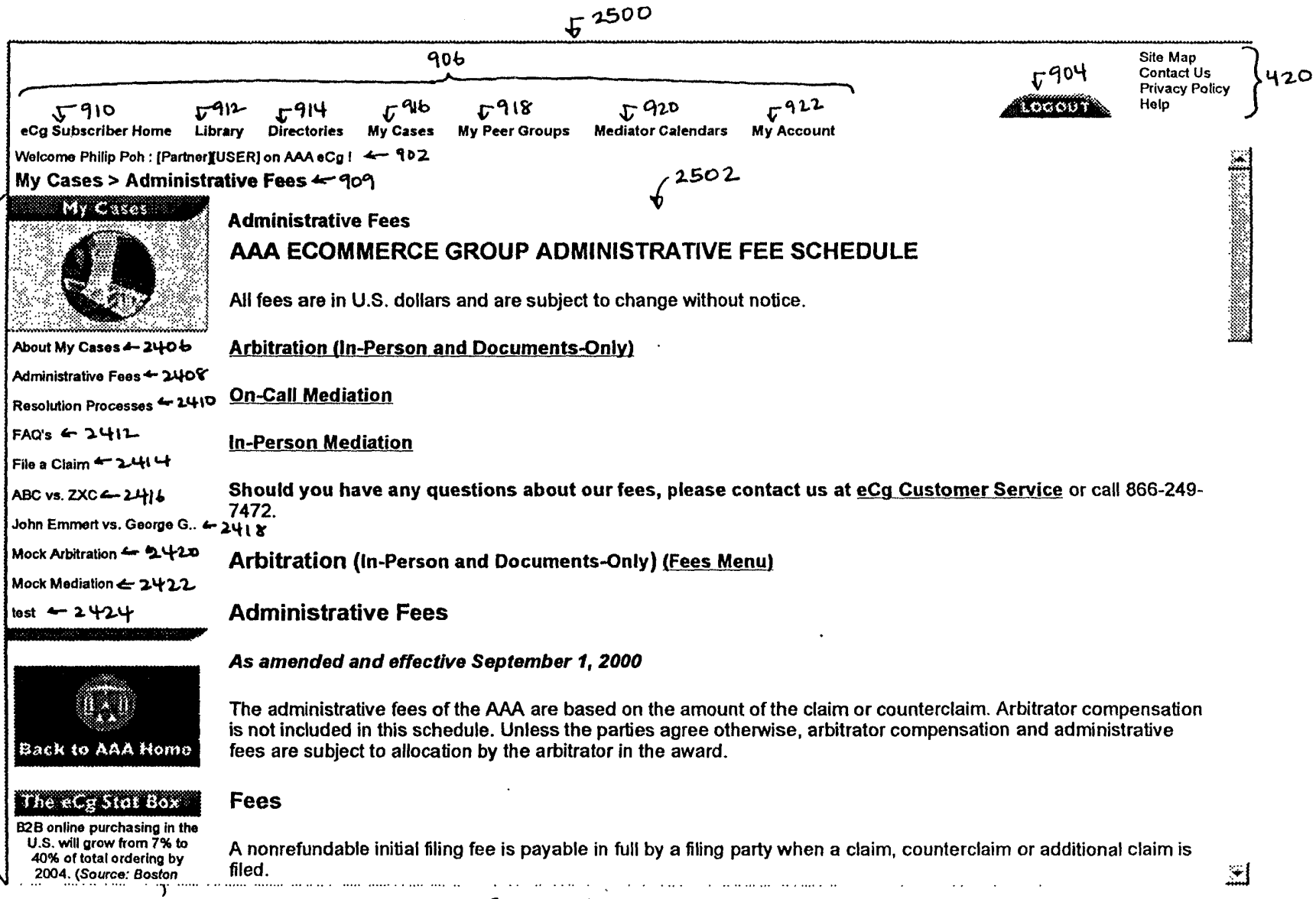

2500
906
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
920 Mediator Calendars
922 My Account
904 LOGOUT
Site Map
Contact Us
Privacy Policy
Help
420
Welcome Philip Poh : [Partner][USER] on AAA eCg ! 902
My Cases > Administrative Fees 909
2502
My Cases
About My Cases 2406
Administrative Fees 2408
Resolution Processes 2410
FAQ's 2412
File a Claim 2414
ABC vs. ZXC 2416
John Emmert vs. George G.. 2418
Mock Arbitration 2420
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test 2424
2402
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston
Administrative Fees
AAA ECOMMERCE GROUP ADMINISTRATIVE FEE SCHEDULE
All fees are in U.S. dollars and are subject to change without notice.
Arbitration (In-Person and Documents-Only)
On-Call Mediation
In-Person Mediation
Should you have any questions about our fees, please contact us at eCg Customer Service or call 866-249-7472.
Arbitration (In-Person and Documents-Only) (Fees Menu)
Administrative Fees
As amended and effective September 1, 2000
The administrative fees of the AAA are based on the amount of the claim or counterclaim. Arbitrator compensation is not included in this schedule. Unless the parties agree otherwise, arbitrator compensation and administrative fees are subject to allocation by the arbitrator in the award.
Fees
A nonrefundable initial filing fee is payable in full by a filing party when a claim, counterclaim or additional claim is filed.

FIG. 44

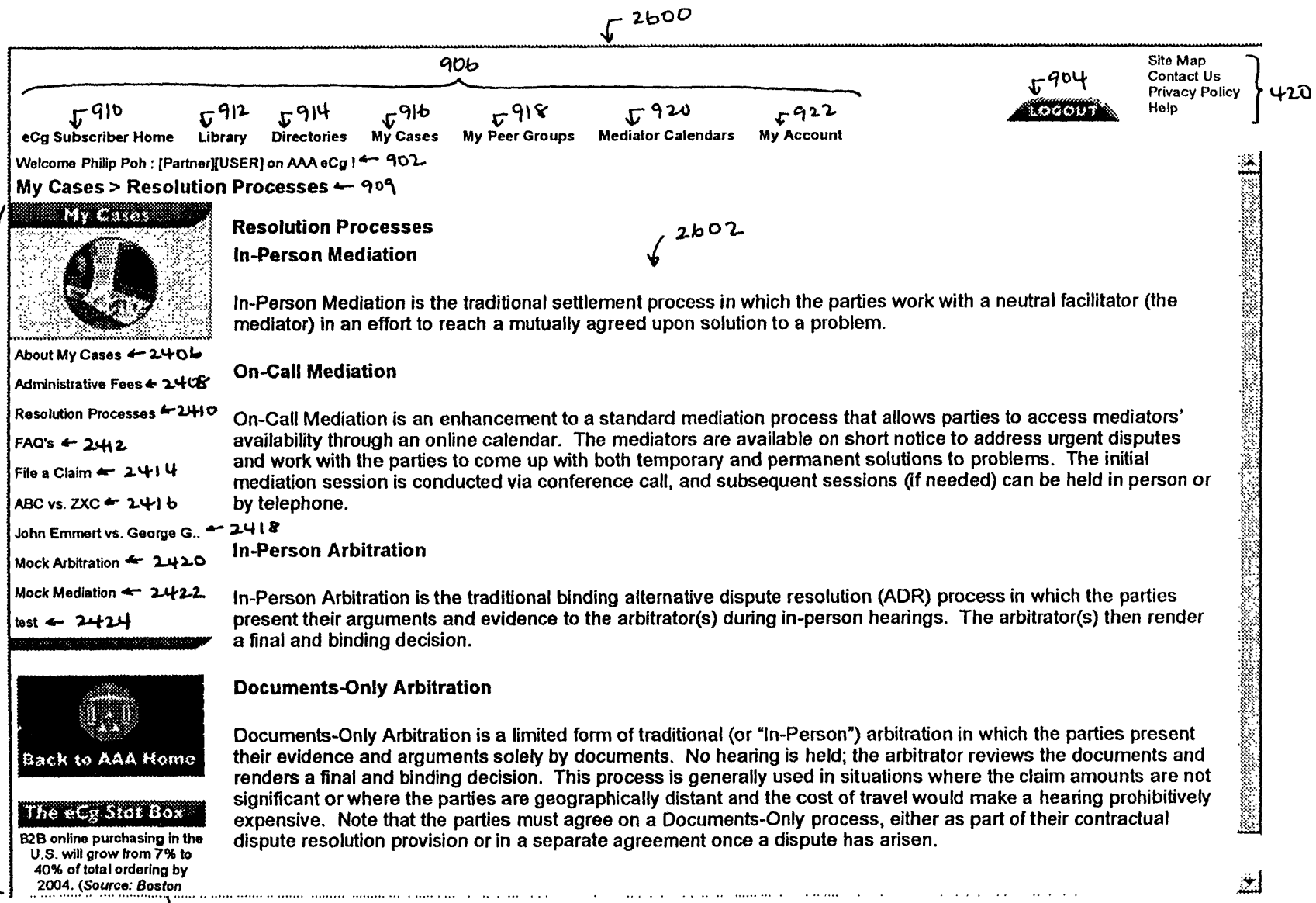

2600
906
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
920 Mediator Calendars
922 My Account
904 LOGOUT
Site Map
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Privacy Policy
Help
420
Welcome Philip Poh : [Partner][USER] on AAA eCg ! 902
My Cases > Resolution Processes 909
My Cases
About My Cases 2406
Administrative Fees 2408
Resolution Processes 2410
FAQ's 2412
File a Claim 2414
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The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston
2602
Resolution Processes
In-Person Mediation
In-Person Mediation is the traditional settlement process in which the parties work with a neutral facilitator (the mediator) in an effort to reach a mutually agreed upon solution to a problem.
On-Call Mediation
On-Call Mediation is an enhancement to a standard mediation process that allows parties to access mediators' availability through an online calendar. The mediators are available on short notice to address urgent disputes and work with the parties to come up with both temporary and permanent solutions to problems. The initial mediation session is conducted via conference call, and subsequent sessions (if needed) can be held in person or by telephone.
In-Person Arbitration
In-Person Arbitration is the traditional binding alternative dispute resolution (ADR) process in which the parties present their arguments and evidence to the arbitrator(s) during in-person hearings. The arbitrator(s) then render a final and binding decision.
Documents-Only Arbitration
Documents-Only Arbitration is a limited form of traditional (or "In-Person") arbitration in which the parties present their evidence and arguments solely by documents. No hearing is held; the arbitrator reviews the documents and renders a final and binding decision. This process is generally used in situations where the claim amounts are not significant or where the parties are geographically distant and the cost of travel would make a hearing prohibitively expensive. Note that the parties must agree on a Documents-Only process, either as part of their contractual dispute resolution provision or in a separate agreement once a dispute has arisen.

FIG. 45

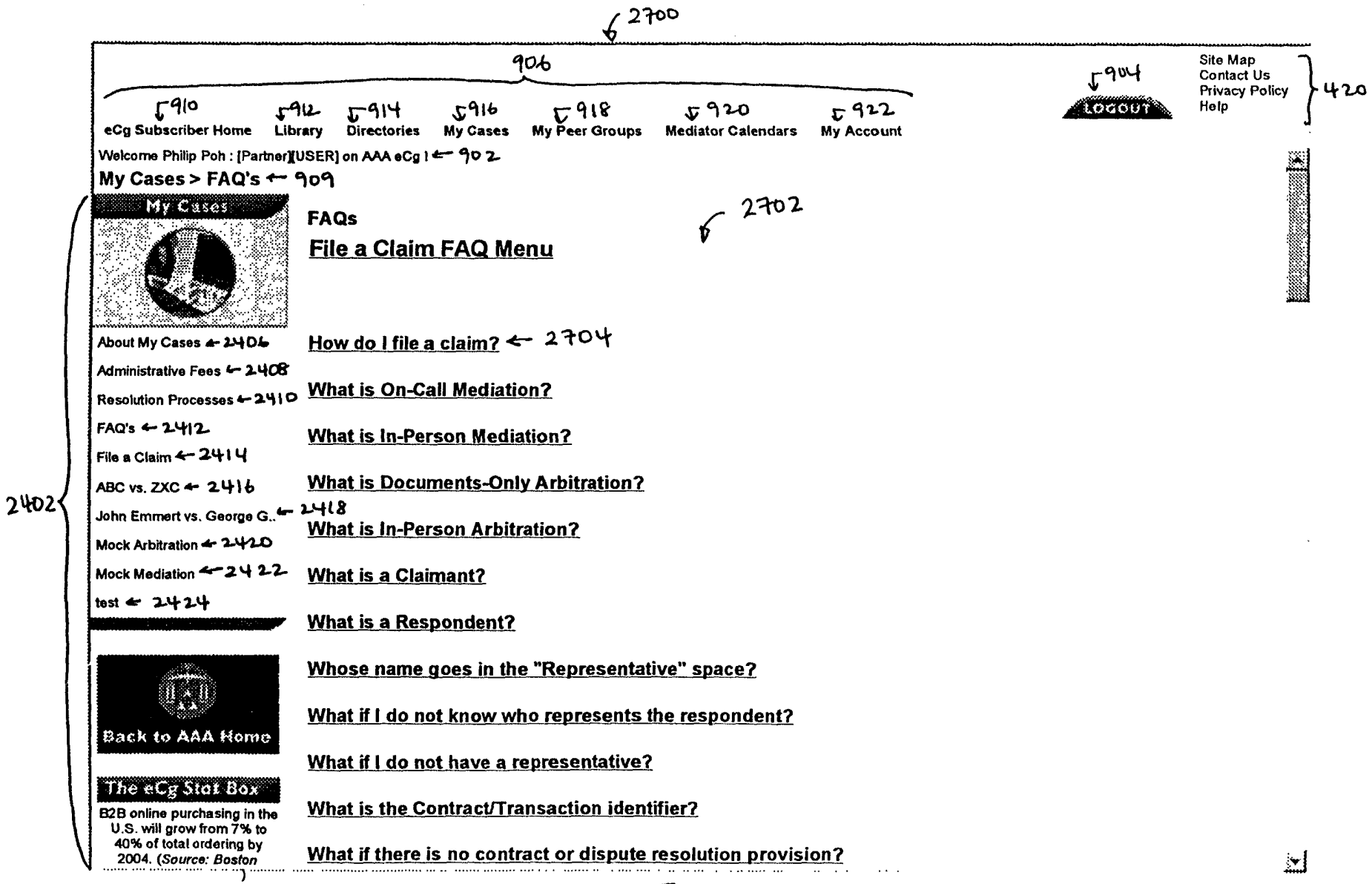

2700
906
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
920 Mediator Calendars
922 My Account
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Welcome Philip Poh : [Partner][USER] on AAA eCg ! ← 902
My Cases > FAQ's ← 909
My Cases
2402
About My Cases ← 2406
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Mock Mediation ← 2422
test ← 2424
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The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston
FAQs
2702
File a Claim FAQ Menu
How do I file a claim? ← 2704
What is On-Call Mediation?
What is In-Person Mediation?
What is Documents-Only Arbitration?
What is In-Person Arbitration?
What is a Claimant?
What is a Respondent?
Whose name goes in the "Representative" space?
What if I do not know who represents the respondent?
What if I do not have a representative?
What is the Contract/Transaction identifier?
What if there is no contract or dispute resolution provision?

FIG. 46

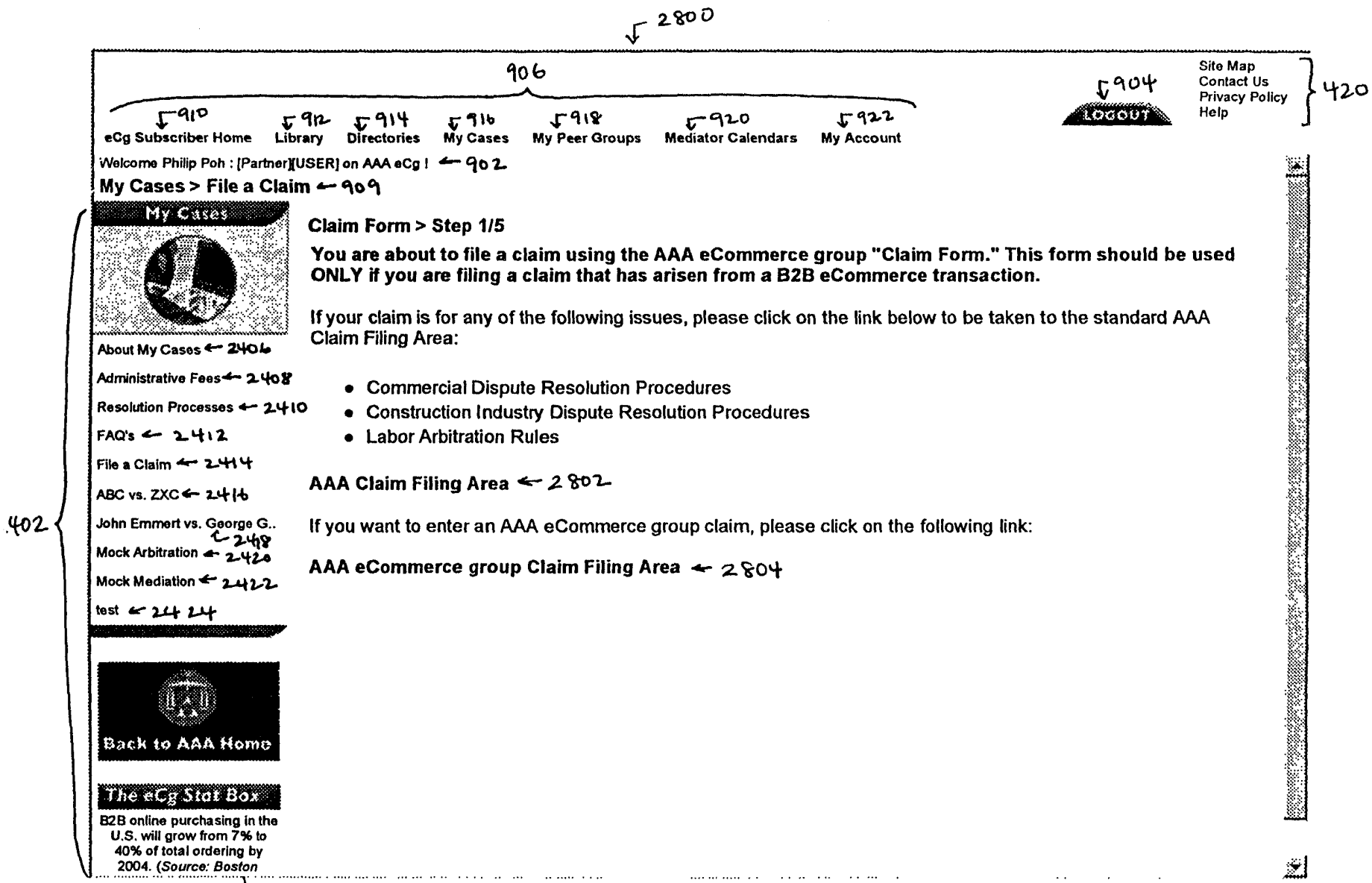

2800
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910 eCg Subscriber Home
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916 My Cases
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904 LOGOUT
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Welcome Philip Poh : [Partner][USER] on AAA eCg ! ← 902
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My Cases
About My Cases ← 2406
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Resolution Processes ← 2410
FAQ's ← 2412
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ABC vs. ZXC ← 2416
John Emmert vs. George G.. ← 2418
Mock Arbitration ← 2420
Mock Mediation ← 2422
test ← 24 24
402
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston
Claim Form > Step 1/5
You are about to file a claim using the AAA eCommerce group "Claim Form." This form should be used ONLY if you are filing a claim that has arisen from a B2B eCommerce transaction.
If your claim is for any of the following issues, please click on the link below to be taken to the standard AAA Claim Filing Area:
• Commercial Dispute Resolution Procedures
• Construction Industry Dispute Resolution Procedures
• Labor Arbitration Rules
AAA Claim Filing Area ← 2802
If you want to enter an AAA eCommerce group claim, please click on the following link:
AAA eCommerce group Claim Filing Area ← 2804

FIG. 47

Patent Pending

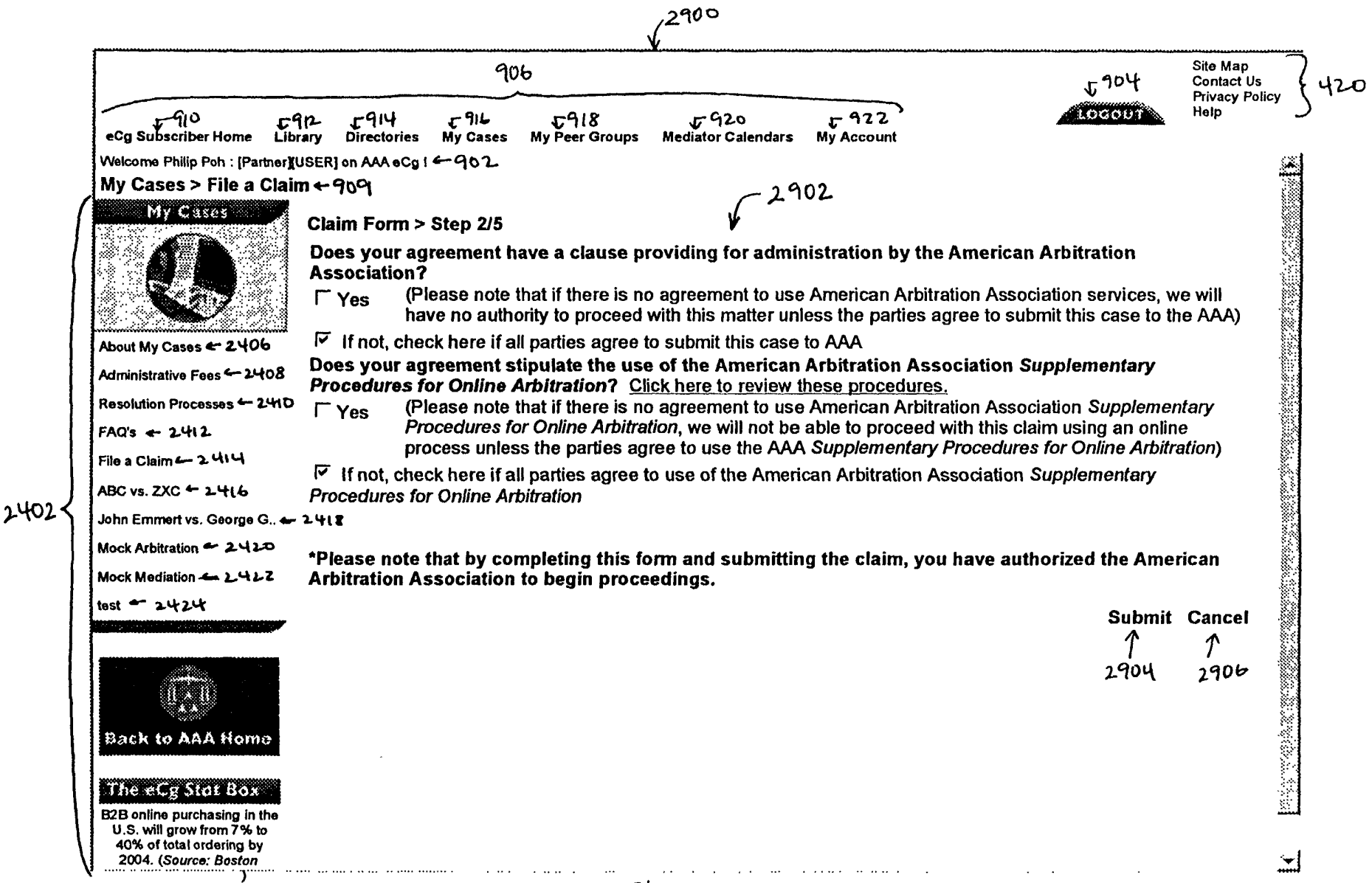


FIG. 48

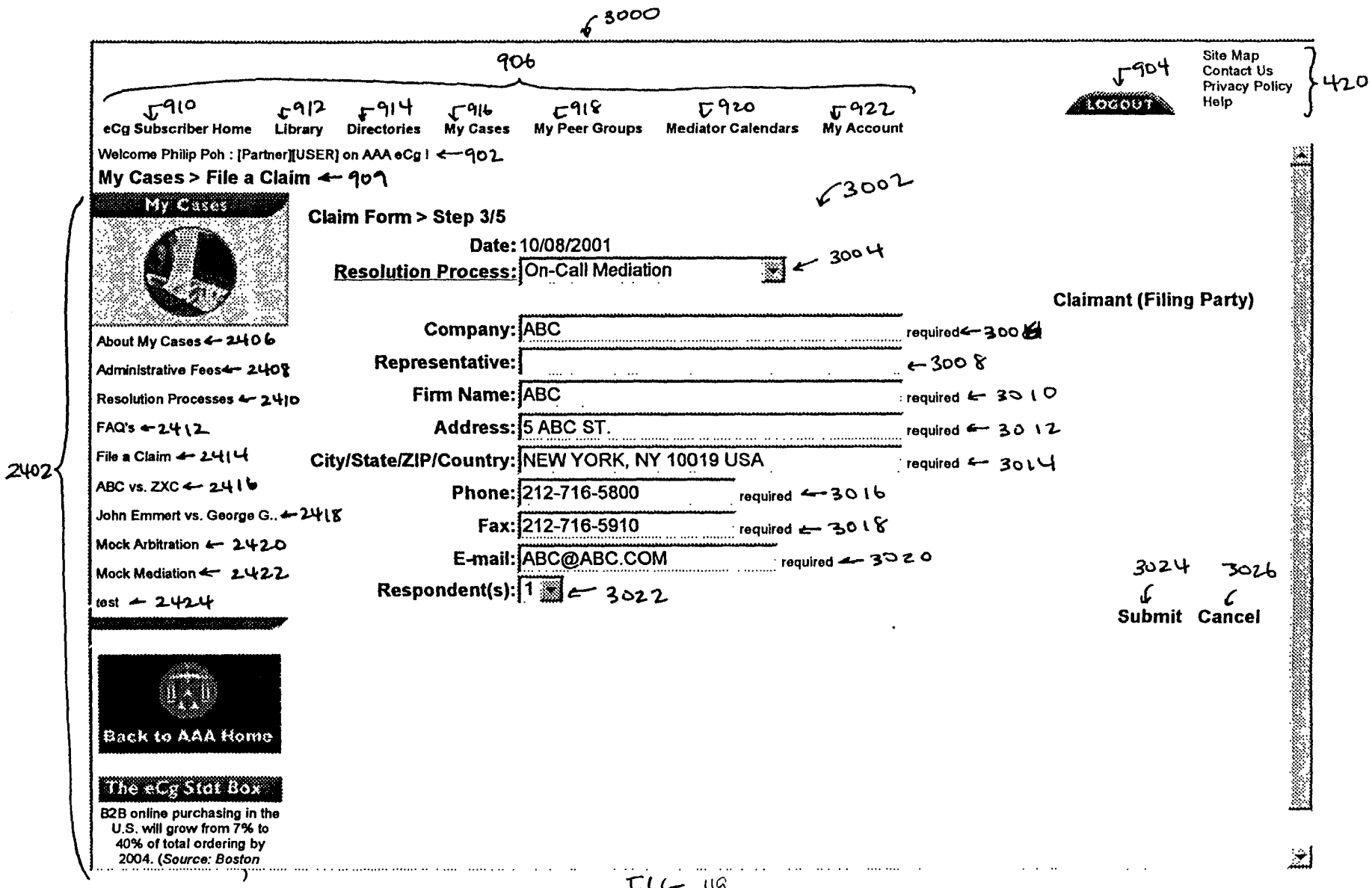

3000
906
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
920 Mediator Calendars
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904 LOGOUT
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Welcome Philip Poh : [Partner][USER] on AAA eCg ! ← 902
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John Emmert vs. George G.. ← 2418
Mock Arbitration ← 2420
Mock Mediation ← 2422
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The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston
3002
Claim Form > Step 3/5
Date: 10/08/2001
Resolution Process: On-Call Mediation ← 3004
Claimant (Filing Party)
Company: ABC required ← 3006
Representative: ← 3008
Firm Name: ABC required ← 3010
Address: 5 ABC ST. required ← 3012
City/State/ZIP/Country: NEW YORK, NY 10019 USA required ← 3014
Phone: 212-716-5800 required ← 3016
Fax: 212-716-5910 required ← 3018
E-mail: ABC@ABC.COM required ← 3020
Respondent(s): 1 ← 3022
3024 Submit
3026 Cancel

FIG. 49

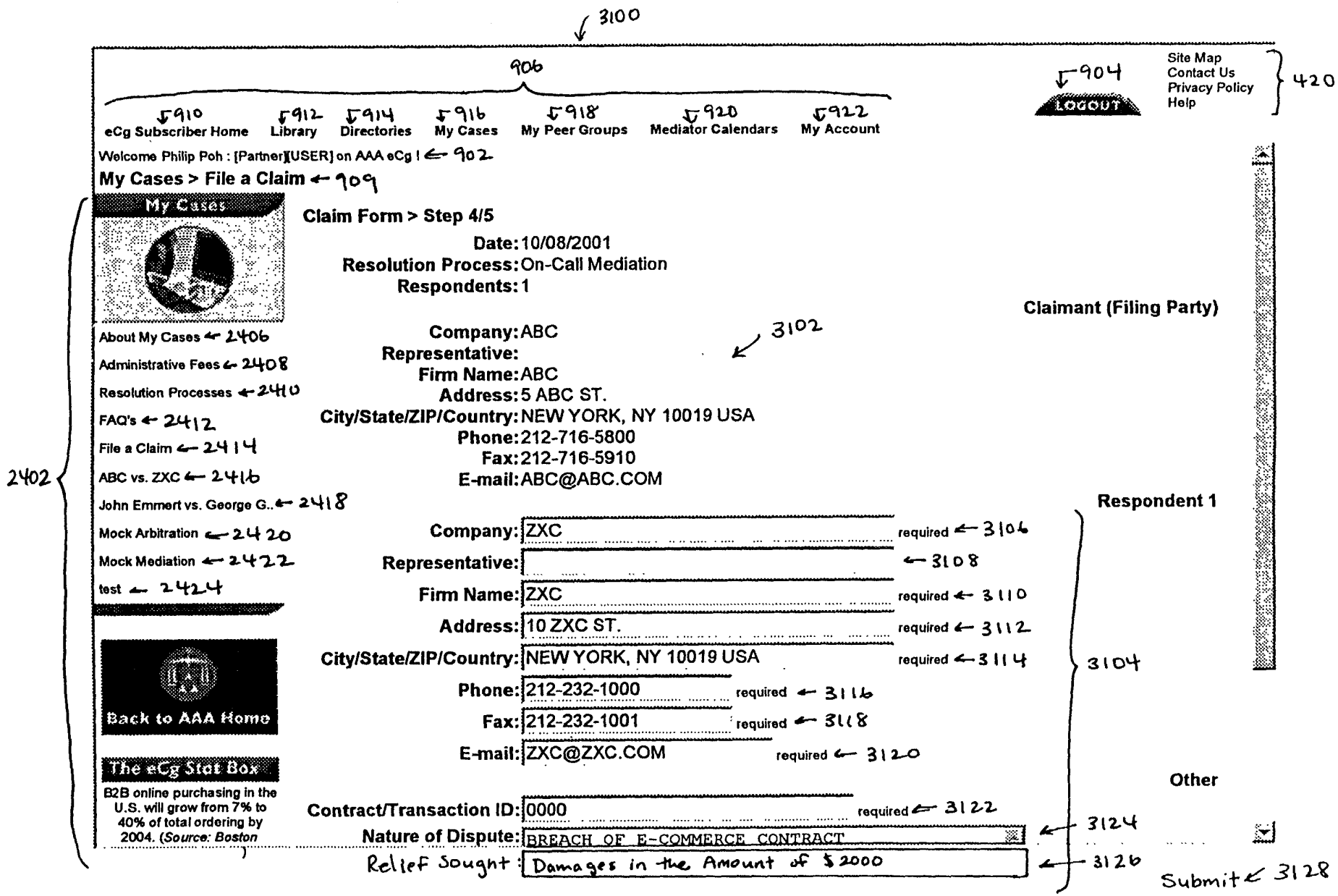

3100
906
910 eCg Subscriber Home
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914 Directories
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920 Mediator Calendars
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Welcome Philip Poh : [Partner][USER] on AAA eCg ! 902
My Cases > File a Claim 909
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The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston
Claim Form > Step 4/5
Date: 10/08/2001
Resolution Process: On-Call Mediation
Respondents: 1
Claimant (Filing Party)
3102
Company: ABC
Representative:
Firm Name: ABC
Address: 5 ABC ST.
City/State/ZIP/Country: NEW YORK, NY 10019 USA
Phone: 212-716-5800
Fax: 212-716-5910
E-mail: ABC@ABC.COM
Respondent 1
Company: ZXC required 3106
Representative: 3108
Firm Name: ZXC required 3110
Address: 10 ZXC ST. required 3112
City/State/ZIP/Country: NEW YORK, NY 10019 USA required 3114
Phone: 212-232-1000 required 3116
Fax: 212-232-1001 required 3118
E-mail: ZXC@ZXC.COM required 3120
3104
Other
Contract/Transaction ID: 0000 required 3122
Nature of Dispute: BREACH OF E-COMMERCE CONTRACT 3124
Relief Sought: Damages in the Amount of $2000 3126
Submit 3128

FIG. 50

patent-pending

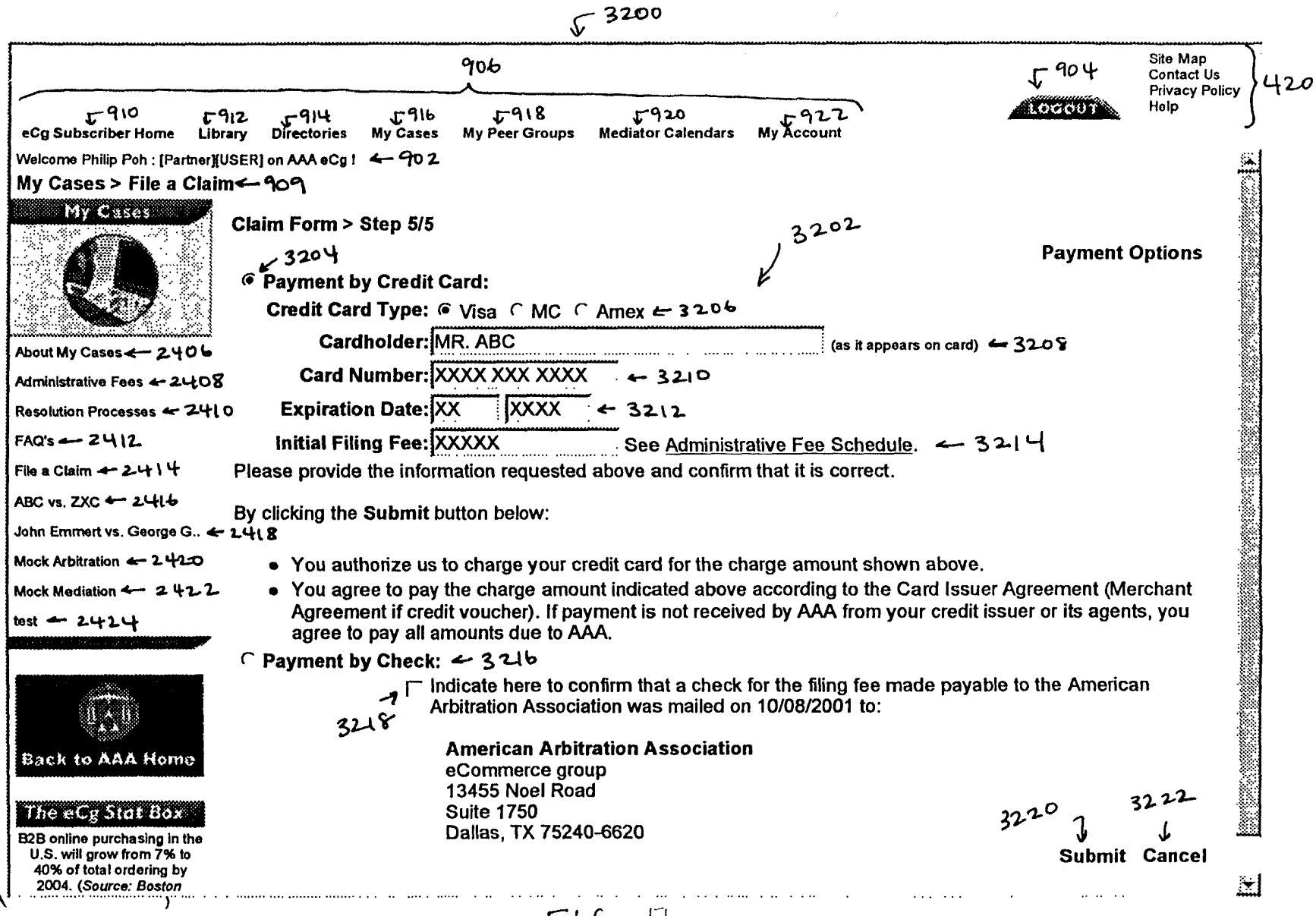


FIG. 51

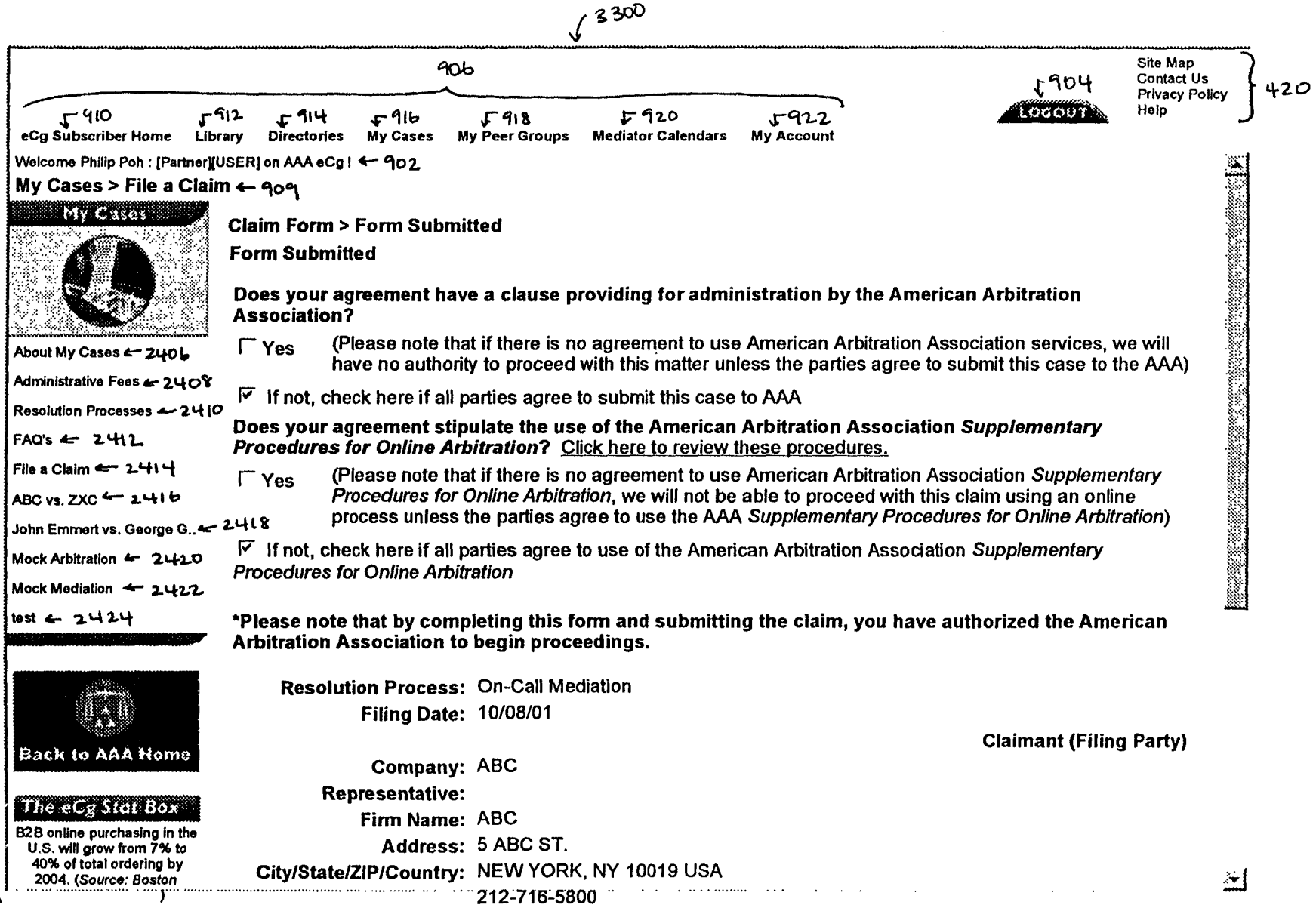

3300
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910 eCg Subscriber Home
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Administrative Fees ← 2408
Resolution Processes ← 2410
FAQ's ← 2412
File a Claim ← 2414
ABC vs. ZXC ← 2416
John Emmert vs. George G.. ← 2418
Mock Arbitration ← 2420
Mock Mediation ← 2422
test ← 2424
2402
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston
Claim Form > Form Submitted
Form Submitted
Does your agreement have a clause providing for administration by the American Arbitration Association?
Yes (Please note that if there is no agreement to use American Arbitration Association services, we will have no authority to proceed with this matter unless the parties agree to submit this case to the AAA)
If not, check here if all parties agree to submit this case to AAA
Does your agreement stipulate the use of the American Arbitration Association Supplementary Procedures for Online Arbitration? Click here to review these procedures.
Yes (Please note that if there is no agreement to use American Arbitration Association Supplementary Procedures for Online Arbitration, we will not be able to proceed with this claim using an online process unless the parties agree to use the AAA Supplementary Procedures for Online Arbitration)
If not, check here if all parties agree to use of the American Arbitration Association Supplementary Procedures for Online Arbitration
*Please note that by completing this form and submitting the claim, you have authorized the American Arbitration Association to begin proceedings.
Resolution Process: On-Call Mediation
Filing Date: 10/08/01
Claimant (Filing Party)
Company: ABC
Representative:
Firm Name: ABC
Address: 5 ABC ST.
City/State/ZIP/Country: NEW YORK, NY 10019 USA
212-716-5800

FIG. 52

5350

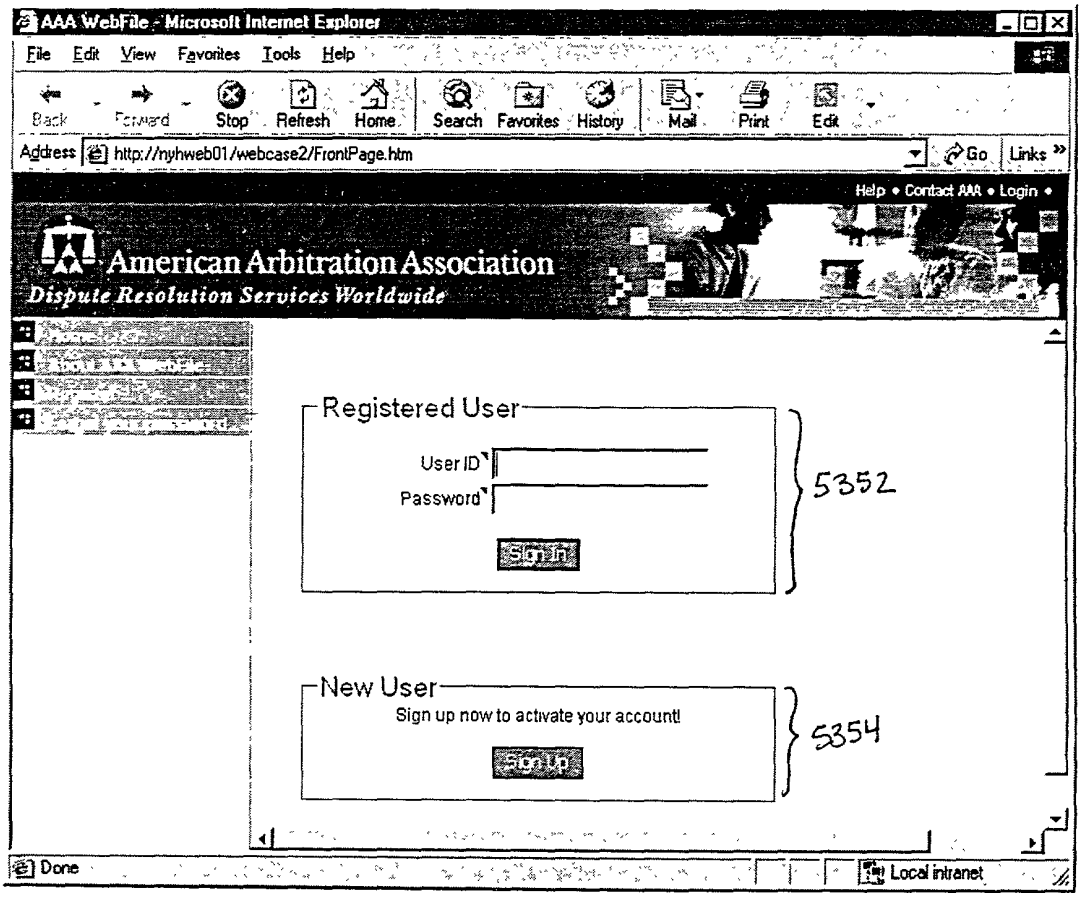

AAA WebFile - Microsoft Internet Explorer
File Edit View Favorites Tools Help
Back Forward Stop Refresh Home Search Favorites History Mail Print Edit
Address http://nyhweb01/webcase2/FrontPage.htm
Go Links
Help • Contact AAA • Login •
American Arbitration Association
Dispute Resolution Services Worldwide
Registered User
User ID
Password
Sign In
5352
New User
Sign up now to activate your account!
Sign Up
5354
Done
Local intranet

FIG. 53

5450

Registration Form

Welcome to the AAA WebFile, your resource for online case filing and management Upon completing the form below, you will receive confirmation of your **User Id** and **Password** via email, which will allow you to gain permanent access to the various secure sections on this site You may change your user information after registration.

Required fields are indicated with a red triangle[▾].

Account Settings

User ID▾
Password▾
Confirm Password▾
Secret Question▾ What is your city of birth?
Answer to Secret Question▾

First Name▾
Middle Initial
Last Name▾

Choose a question only you know the answer to and that has nothing to do with your password If you forget your password, we will verify your identity by asking you this question

User Information

Email Address▾
Confirm Email▾
Company
Address▾
City▾
State▾ Alabama
Zip▾
Tel▾ - -
Extension
Fax - -

Signup Reset

5452

FIG. 54

Exhibit 2 Page 25 of 45

5550

AAA WebFile Registration Completed

Test,

Thank you for registering with AAA WebFile. Your user profile has been created and you can now log in using the user ID and password you selected during the registration process.

Click here to continue.

FIG. 55.

5650

Forget Your Password?

Did you forget your password? Please fill out user ID correctly. Your password will be emailed to your registered email account shortly.



Required fields are indicated with a red triangle[▼].

Find Password

User ID▼

Submit

FIG. 56

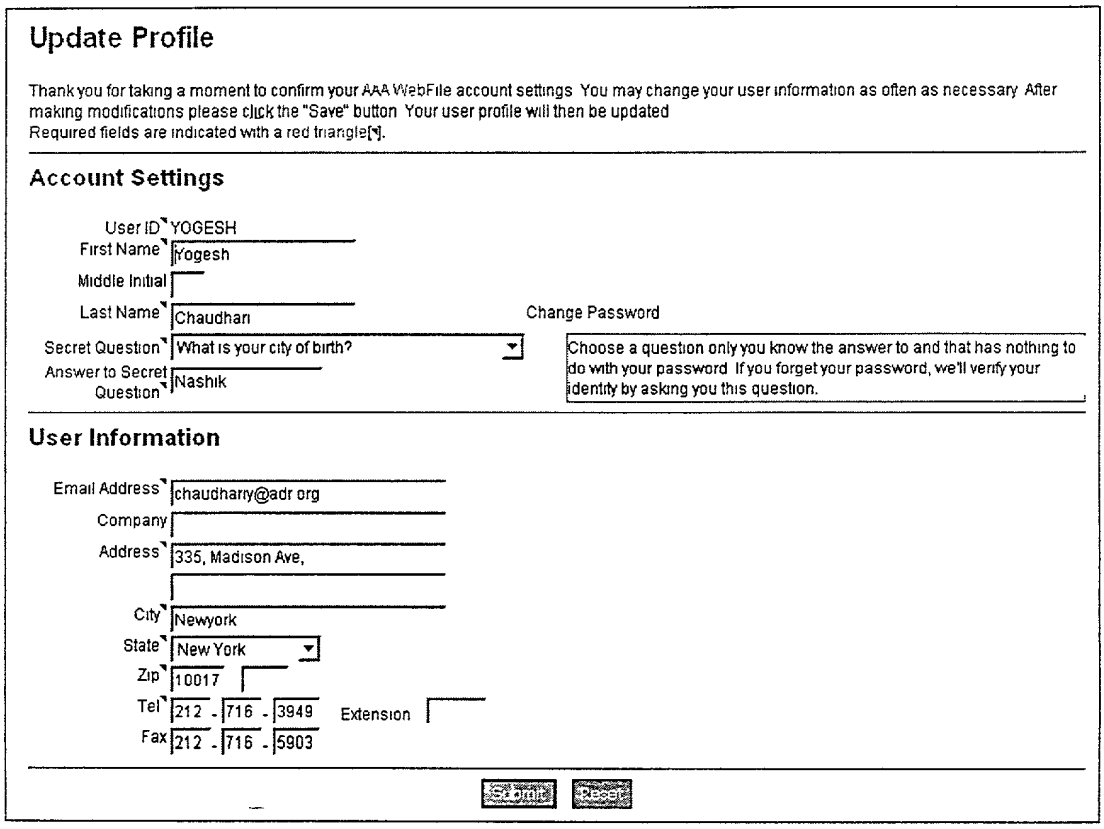

Update Profile
Thank you for taking a moment to confirm your AAA WebFile account settings You may change your user information as often as necessary After making modifications please click the "Save" button Your user profile will then be updated
Required fields are indicated with a red triangle[*].
Account Settings
User ID* YOGESH
First Name* Yogesh
Middle Initial
Last Name* Chaudhari
Change Password
Secret Question* What is your city of birth?
Choose a question only you know the answer to and that has nothing to do with your password If you forget your password, we'll verify your identity by asking you this question.
Answer to Secret Question* Nashik
User Information
Email Address* chaudharry@adr org
Company
Address* 335, Madison Ave,
City* Newyork
State* New York
Zip* 10017
Tel* 212 - 716 - 3949 Extension
Fax 212 - 716 - 5903
Submit
Reset

FIG. 57

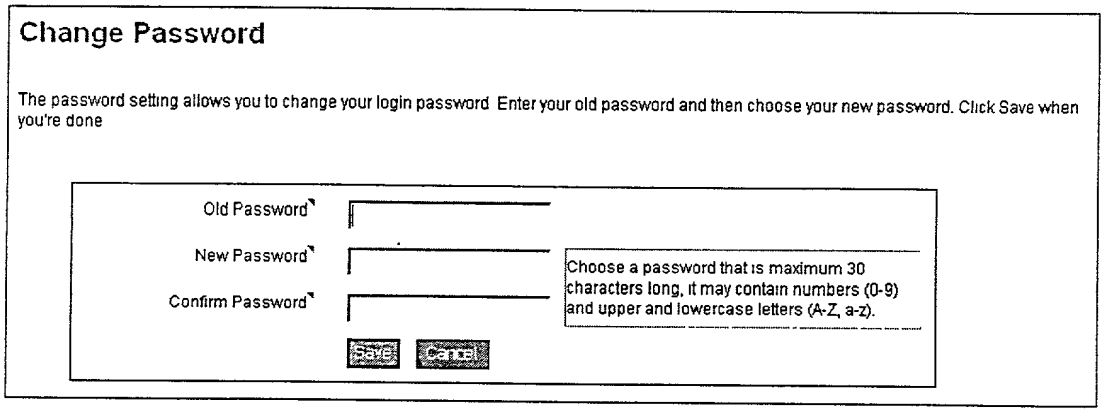

Change Password
The password setting allows you to change your login password Enter your old password and then choose your new password. Click Save when you're done
Old Password
New Password
Confirm Password
Choose a password that is maximum 30 characters long, it may contain numbers (0-9) and upper and lowercase letters (A-Z, a-z).
Save
Cancel

FIG. 58

5950

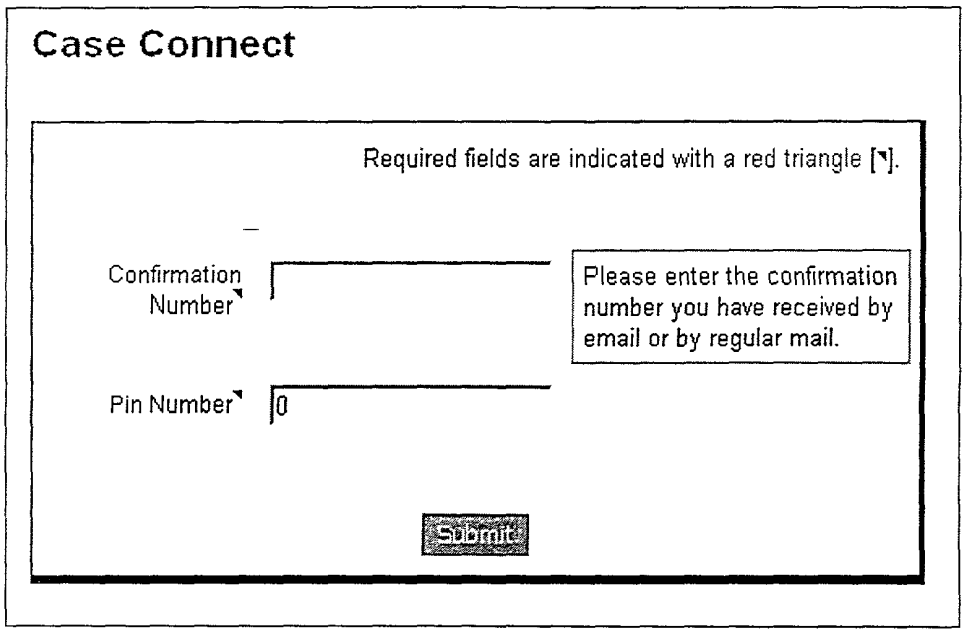

Case Connect
Required fields are indicated with a red triangle [▾].
Confirmation Number▾
Please enter the confirmation number you have received by email or by regular mail.
Pin Number▾
0
Submit

FIG. 59

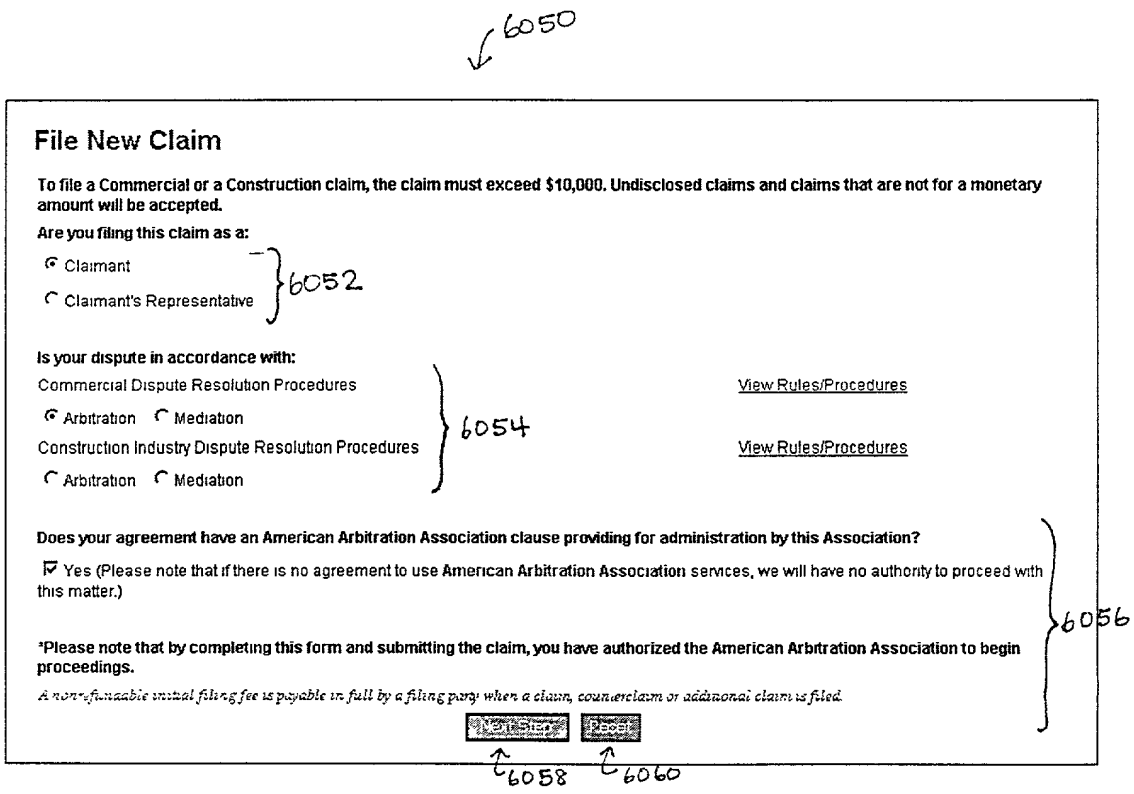

6050
File New Claim
To file a Commercial or a Construction claim, the claim must exceed $10,000. Undisclosed claims and claims that are not for a monetary amount will be accepted.
Are you filing this claim as a:
Claimant
Claimant's Representative
6052
Is your dispute in accordance with:
Commercial Dispute Resolution Procedures
View Rules/Procedures
Arbitration
Mediation
Construction Industry Dispute Resolution Procedures
View Rules/Procedures
Arbitration
Mediation
6054
Does your agreement have an American Arbitration Association clause providing for administration by this Association?
Yes (Please note that if there is no agreement to use American Arbitration Association services, we will have no authority to proceed with this matter.)
*Please note that by completing this form and submitting the claim, you have authorized the American Arbitration Association to begin proceedings.
A non-refundable initial filing fee is payable in full by a filing party when a claim, counterclaim or additional claim is filed.
6056
Next Step
Reset
6058
6060

FIG. 60

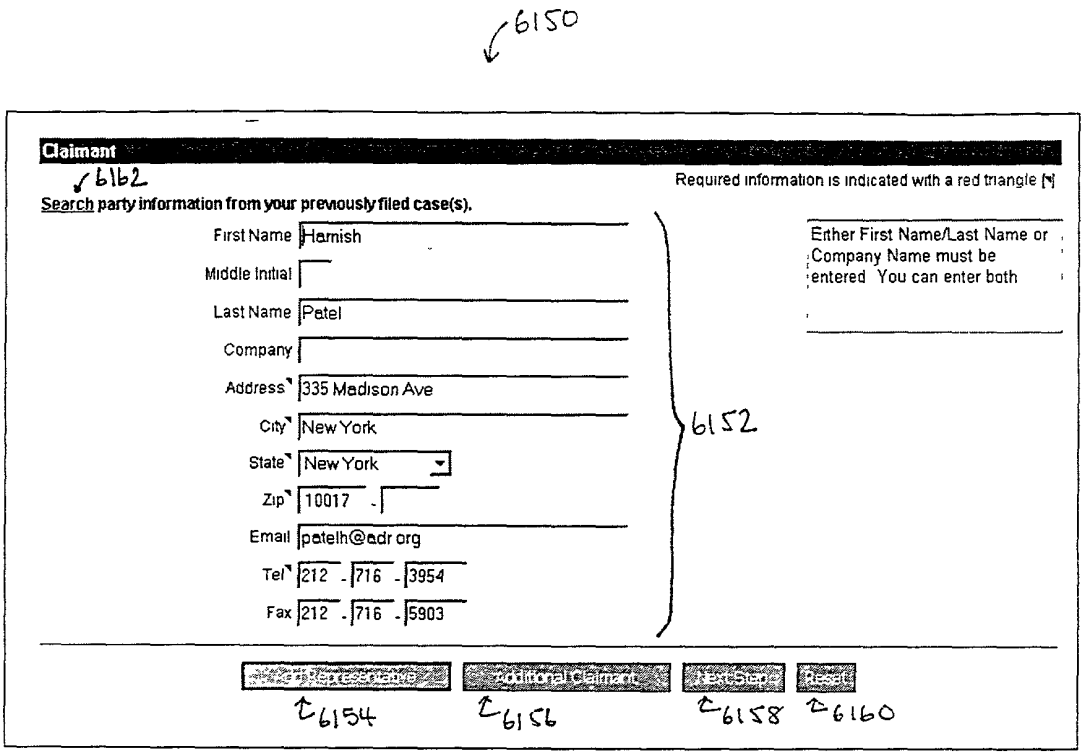

6150
Claimant
6162
Search party information from your previously filed case(s).
Required information is indicated with a red triangle [*]
First Name Hamish
Middle Initial
Last Name Patel
Company
Address* 335 Madison Ave
City* New York
State* New York
Zip* 10017
Email patelh@adr.org
Tel* 212 - 716 - 3954
Fax 212 - 716 - 5903
Either First Name/Last Name or Company Name must be entered. You can enter both
6152
Additional Claimant
Next Step
Reset
6154
6156
6158
6160

FIG. 61

Patent Application Publication Sep. 25, 2014

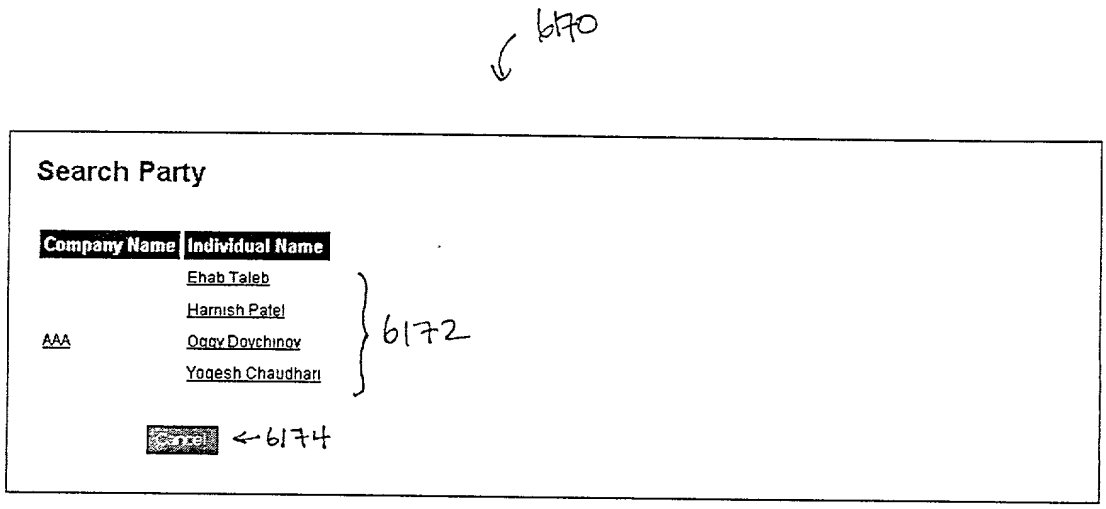


FIG. 62

Attorney Docket No. 3525.0

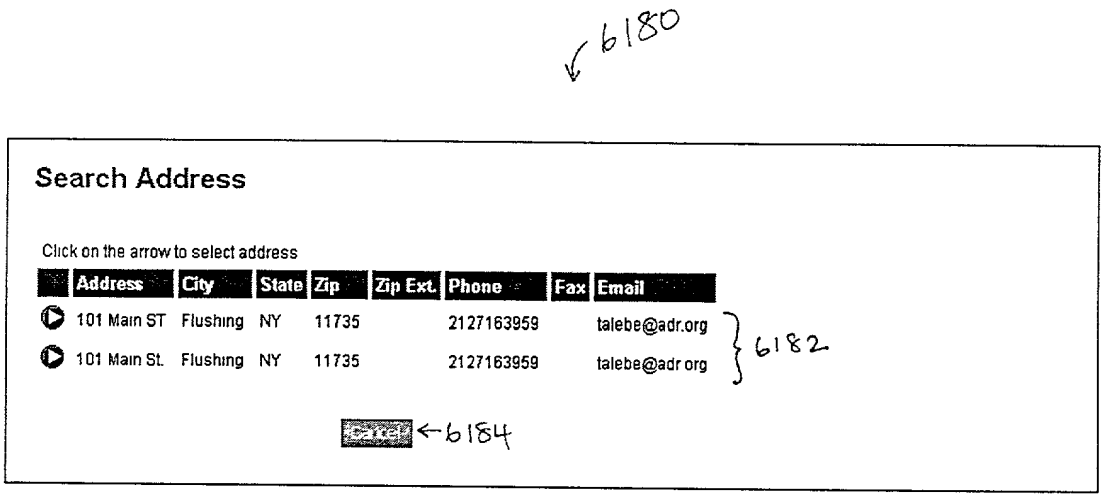


FIG. 63

6250

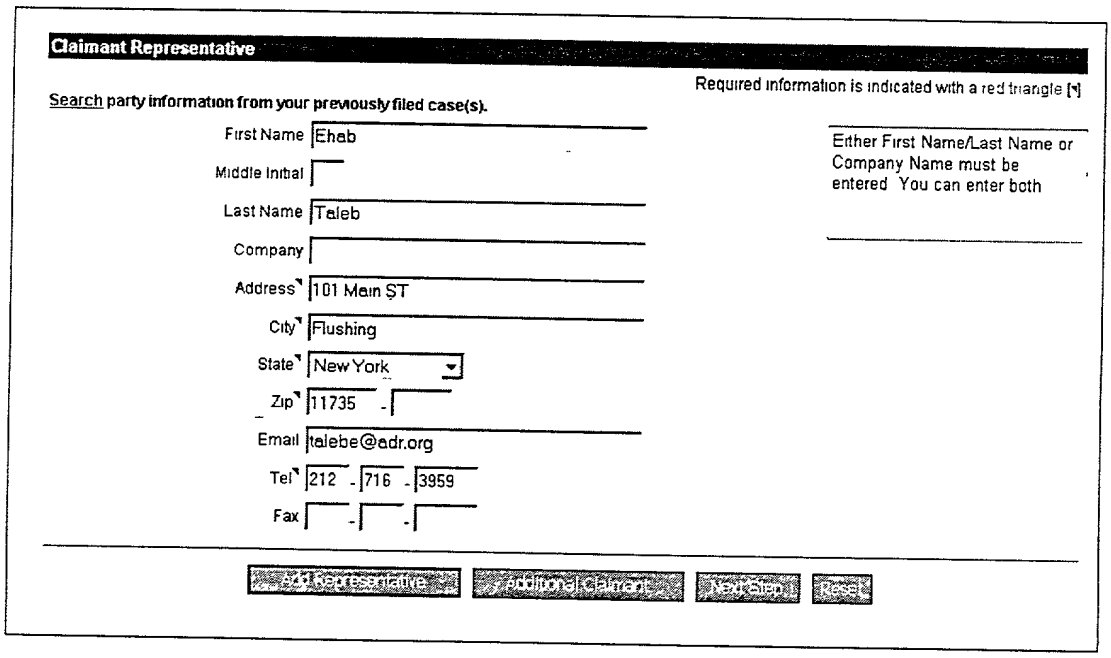

Claimant Representative
Search party information from your previously filed case(s).
Required information is indicated with a red triangle [*]
First Name Ehab
Middle Initial
Last Name Taleb
Company
Address* 101 Main ST
City* Flushing
State* New York
Zip* 11735
Email talebe@adr.org
Tel* 212 - 716 - 3959
Fax
Either First Name/Last Name or Company Name must be entered You can enter both
Additional Claimant
Next Step
Reset

FIG. 64

6350

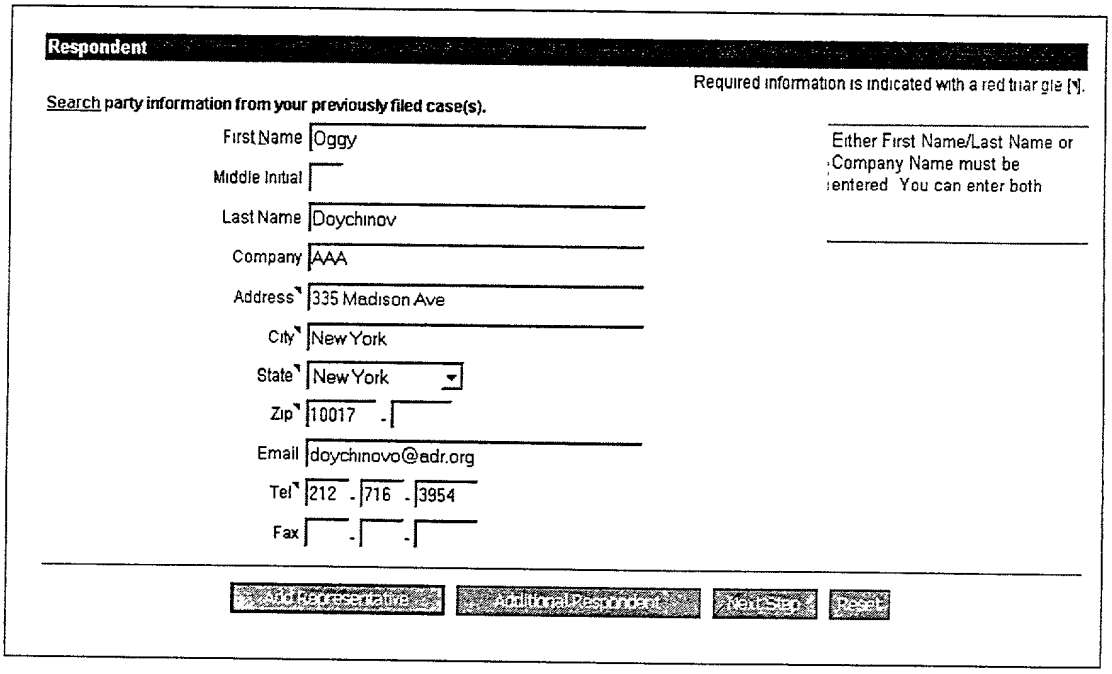

Respondent
Required information is indicated with a red triangle [▼].
Search party information from your previously filed case(s).
First Name Oggy
Middle Initial
Last Name Doychinov
Company AAA
Address▼ 335 Madison Ave
City▼ New York
State▼ New York
Zip▼ 10017 -
Email doychinovo@adr.org
Tel▼ 212 - 716 - 3954
Fax - -
Either First Name/Last Name or Company Name must be entered You can enter both
Add Representative
Additional Respondent
Next Step
Reset

FIG. 65

6450

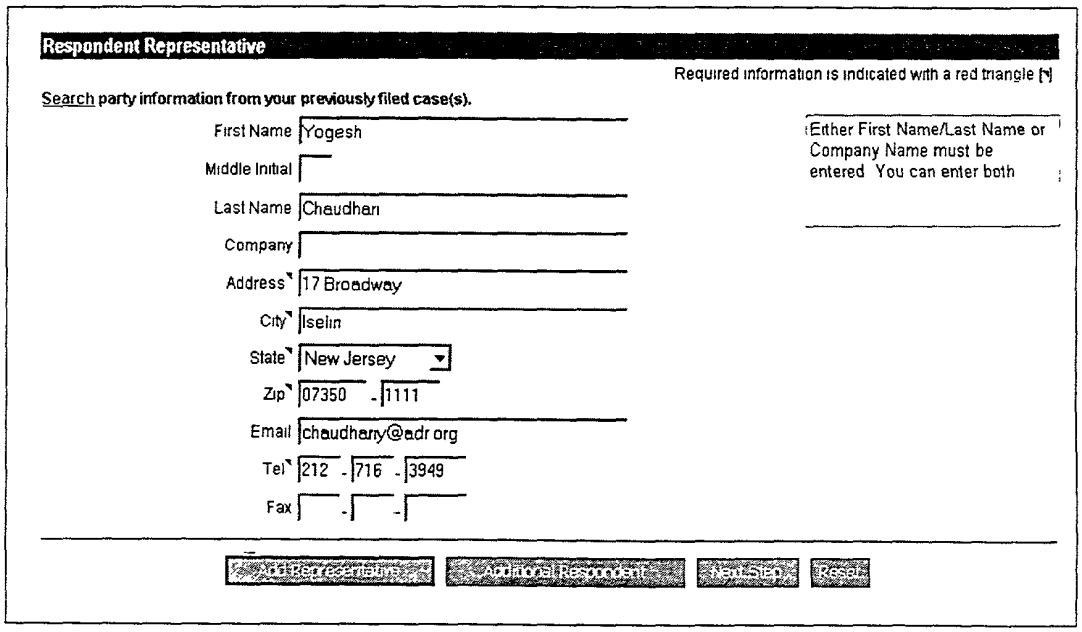

Respondent Representative
Required information is indicated with a red triangle [*]
Search party information from your previously filed case(s).
First Name Yogesh
Middle Initial
Last Name Chaudhari
Company
Address* 17 Broadway
City* Iselin
State* New Jersey
Zip* 07350 - 1111
Email chaudhary@adr.org
Tel* 212 - 716 - 3949
Fax - -
Either First Name/Last Name or Company Name must be entered You can enter both
Add Representative
Additional Respondent
Next Step
Reset

FIG. 66

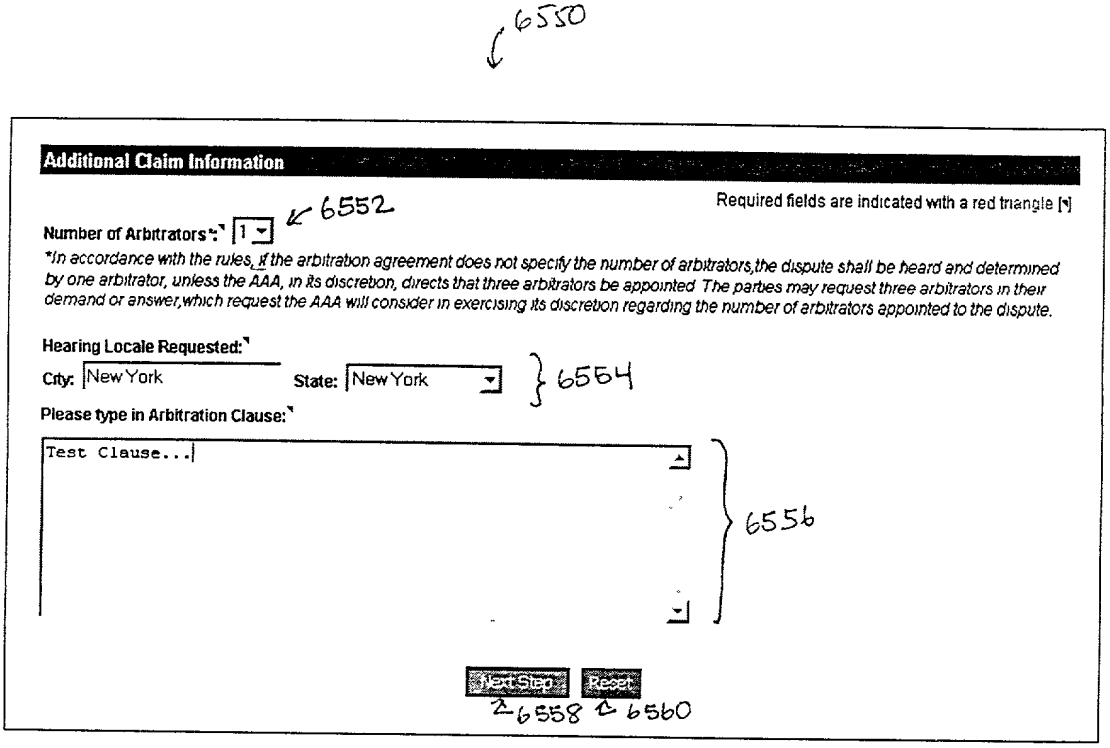

6550
Additional Claim Information
Required fields are indicated with a red triangle [*]
Number of Arbitrators:* 1
6552
*In accordance with the rules, if the arbitration agreement does not specify the number of arbitrators, the dispute shall be heard and determined by one arbitrator, unless the AAA, in its discretion, directs that three arbitrators be appointed. The parties may request three arbitrators in their demand or answer, which request the AAA will consider in exercising its discretion regarding the number of arbitrators appointed to the dispute.
Hearing Locale Requested:*
City: New York
State: New York
6554
Please type in Arbitration Clause:*
Test Clause...
6556
Next Step
Reset
6558
6560

FIG. 67

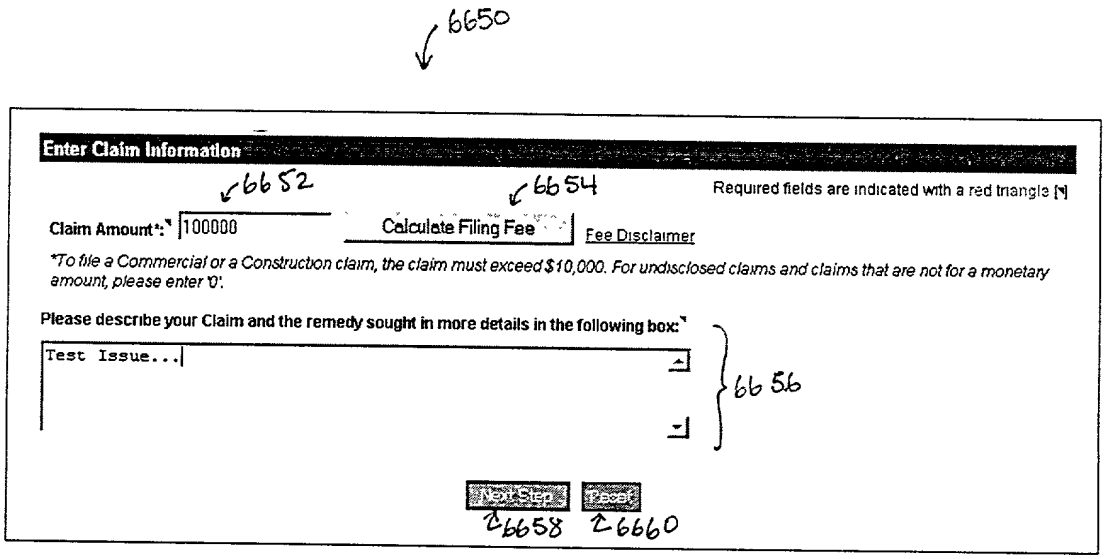

6650
Enter Claim Information
6652
6654
Required fields are indicated with a red triangle
Claim Amount*:
100000
Calculate Filing Fee
Fee Disclaimer
*To file a Commercial or a Construction claim, the claim must exceed $10,000. For undisclosed claims and claims that are not for a monetary amount, please enter '0'.
Please describe your Claim and the remedy sought in more details in the following box:
Test Issue...
6656
Next Step
Reset
6658
6660

FIG. 68

Case 1:20-cv-03010-APM Document 1-2 Filed 01/08/20 Page 70 of 75

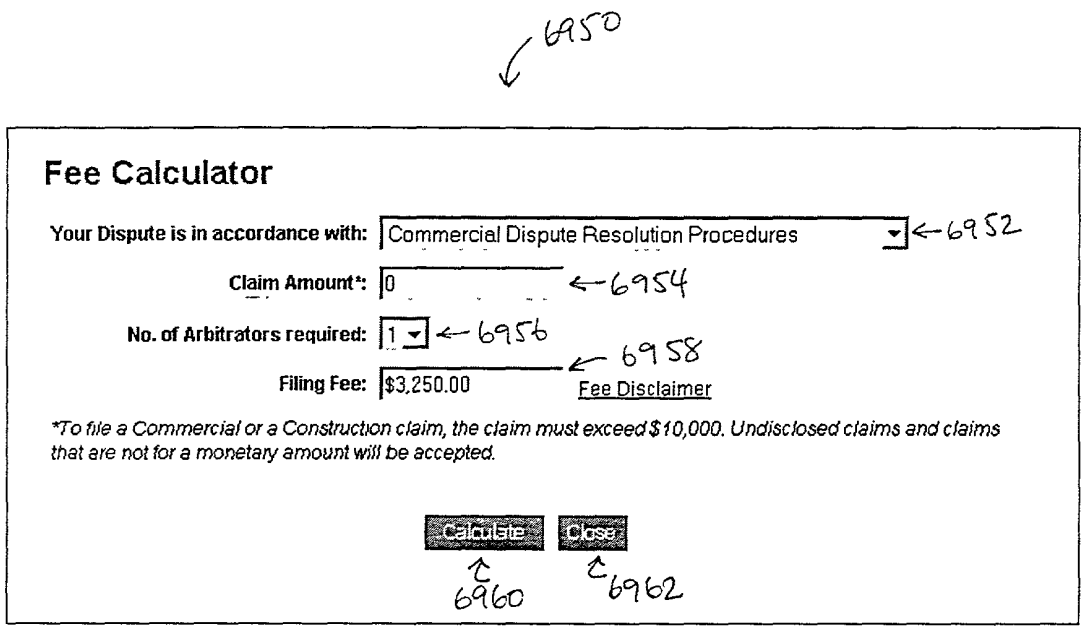


FIG. 69

7050

View Claims/Cases

You have the following claims/cases registered with the American Arbitration Association:

Incomplete Claim

Your claim request is incomplete. You have not entered all the required information for filing this claim.

Click **View** to view the details and continue with this claim. ← 7052

Click **Erase** to erase all the entered claim information. ← 7054

Date of Filing: 11/01/2001

Note: You cannot file a new claim if you have an incomplete claim. To file a new claim you must **Erase** this claim.

Pending Claim(s)

You have the following pending claim(s). It is currently under review by the Case Manager. To view the details click on the Confirmation Number link. If you have any questions, please contact our Case Manager

Confirmation Number	Date of Filing
002-2AL-56L	10/29/2001
002-4AV-XWF	10/12/2001
002-S2T-IE2	09/26/2001
002-O7V-AH3	08/02/2001

7056

Filed Case(s)

View all cases ← 7058

You have the following case(s) filed. To view the details click on the Confirmation Number link.

Confirmation Number	Case Number	Status
002-Y3G-LOY	11-114-000062-01	Active
002-LCV-XRN	11-489-L-000050-01	Active

7060

FIG. 70

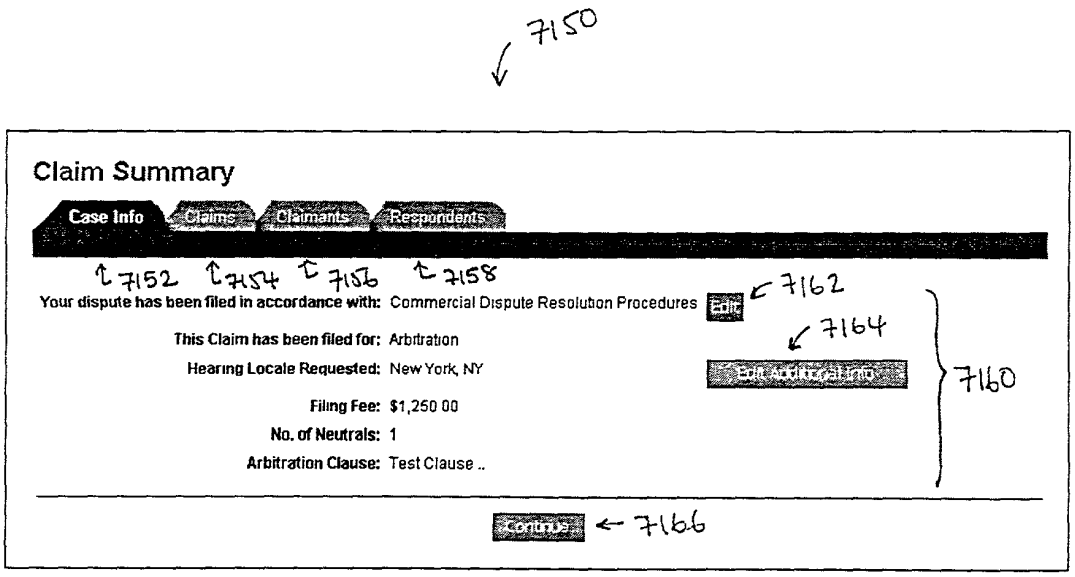

7150
Claim Summary
Case Info
Claims
Claimants
Respondents
7152
7154
7156
7158
Your dispute has been filed in accordance with: Commercial Dispute Resolution Procedures
Edit
7162
7164
This Claim has been filed for: Arbitration
Hearing Locale Requested: New York, NY
Filing Fee: $1,250.00
No. of Neutrals: 1
Arbitration Clause: Test Clause ..
7160
Continue
7166

FIG. 71

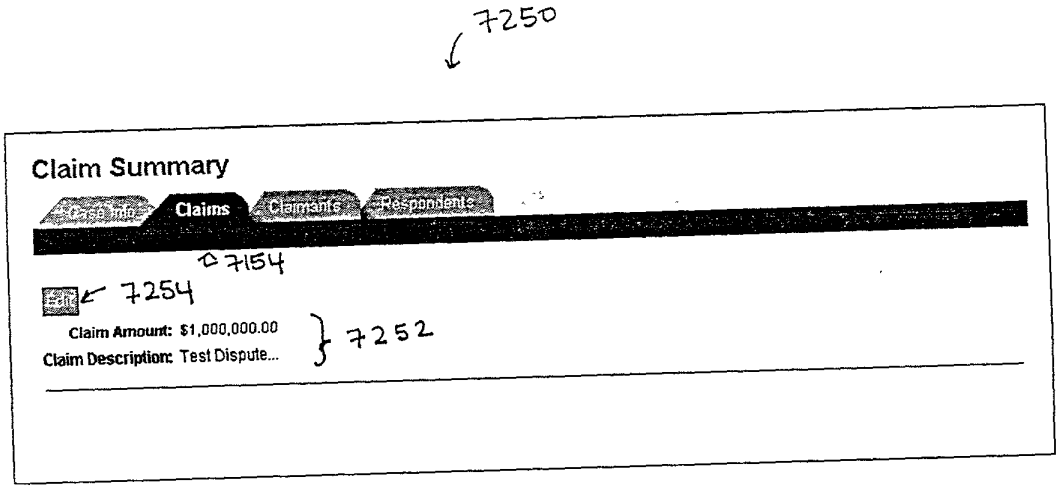

7250
Claim Summary
Case Info
Claims
Claimants
Respondents
7154
Edit
7254
Claim Amount: $1,000,000.00
Claim Description: Test Dispute...
7252

FIG. 72

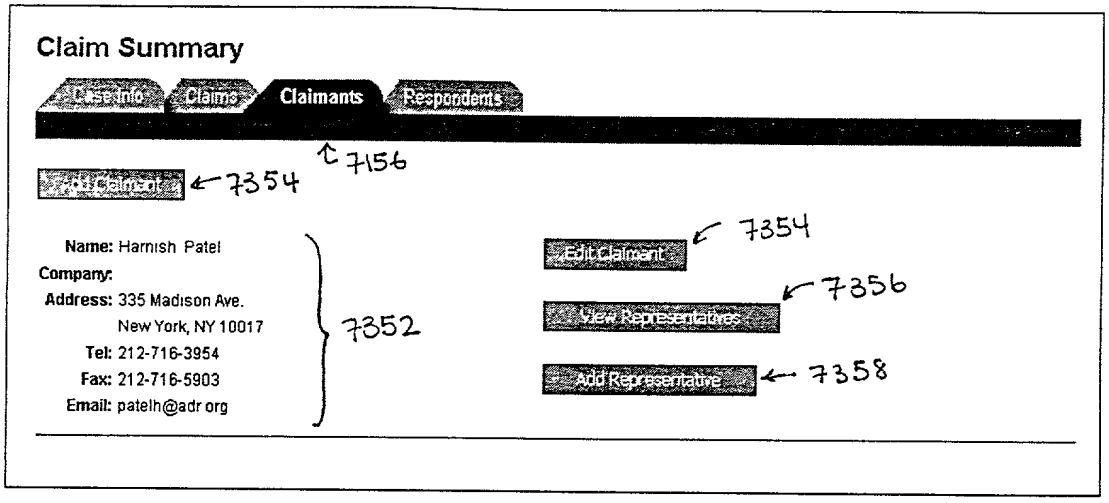

7350
Claim Summary
Case Info
Claims
Claimants
Respondents
7156
Add Claimant
7354
Name: Harnish Patel
Company:
Address: 335 Madison Ave.
New York, NY 10017
Tel: 212-716-3954
Fax: 212-716-5903
Email: patelh@adr.org
7352
Edit Claimant
7354
View Representative
7356
Add Representative
7358

FIG. 73

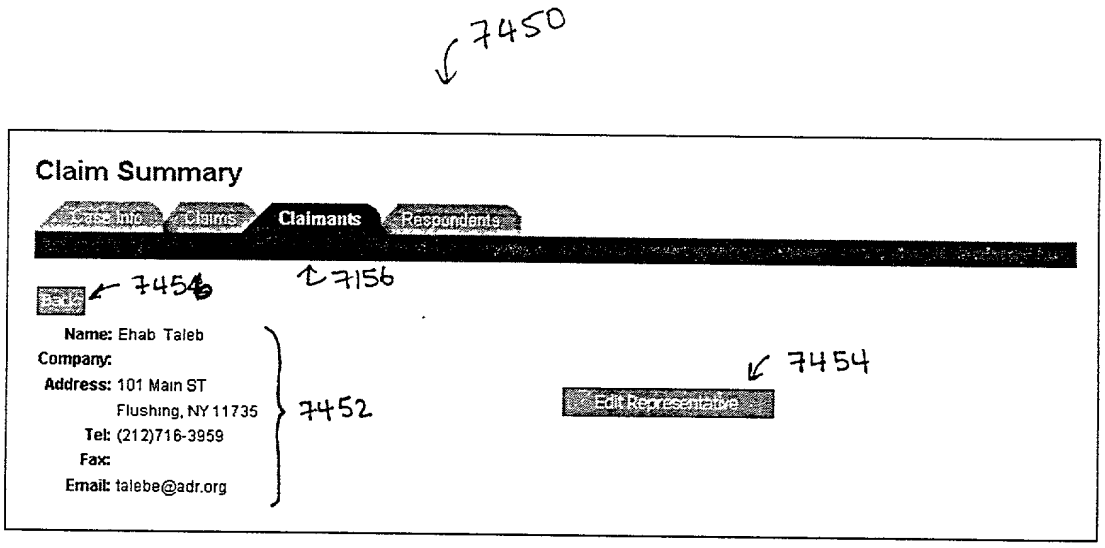

7450
Claim Summary
Case Info
Claims
Claimants
Respondents
7156
7454
Name: Ehab Taleb
Company:
Address: 101 Main ST
Flushing, NY 11735
Tel: (212)716-3959
Fax:
Email: talebe@adr.org
7452
7454
Edit Representative

FIG. 74

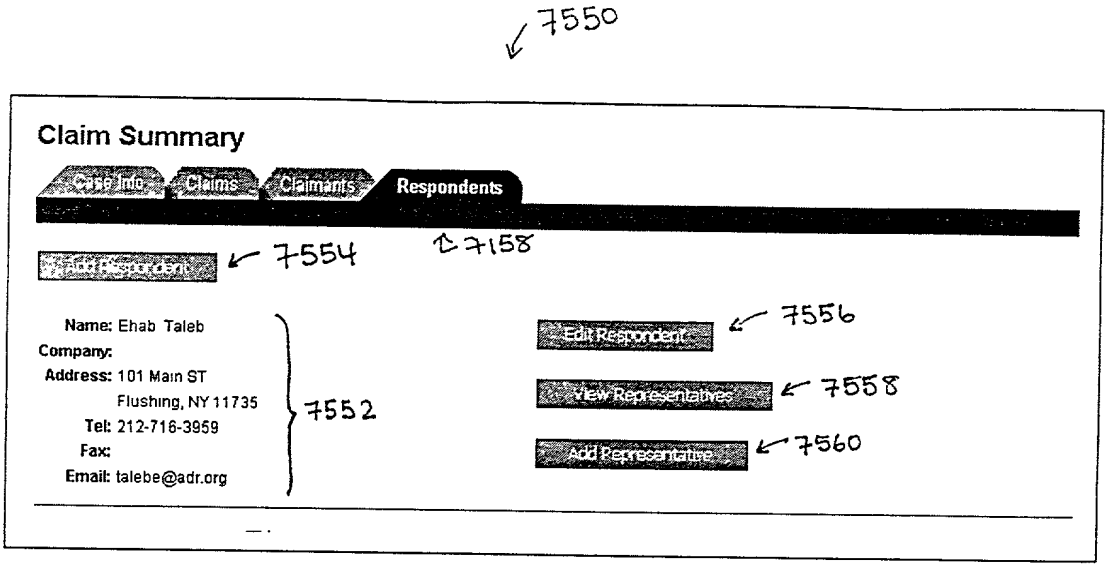

7550
Claim Summary
Case Info
Claims
Claimants
Respondents
Add Respondent
7554
7158
Name: Ehab Taleb
Company:
Address: 101 Main ST
Flushing, NY 11735
Tel: 212-716-3959
Fax:
Email: talebe@adr.org
7552
Edit Respondent
7556
View Representatives
7558
Add Representative
7560

FIG. 75

Case 3:20-cv-04688-RS Document 1-1 Filed 06/29/20 Page 76 of 94

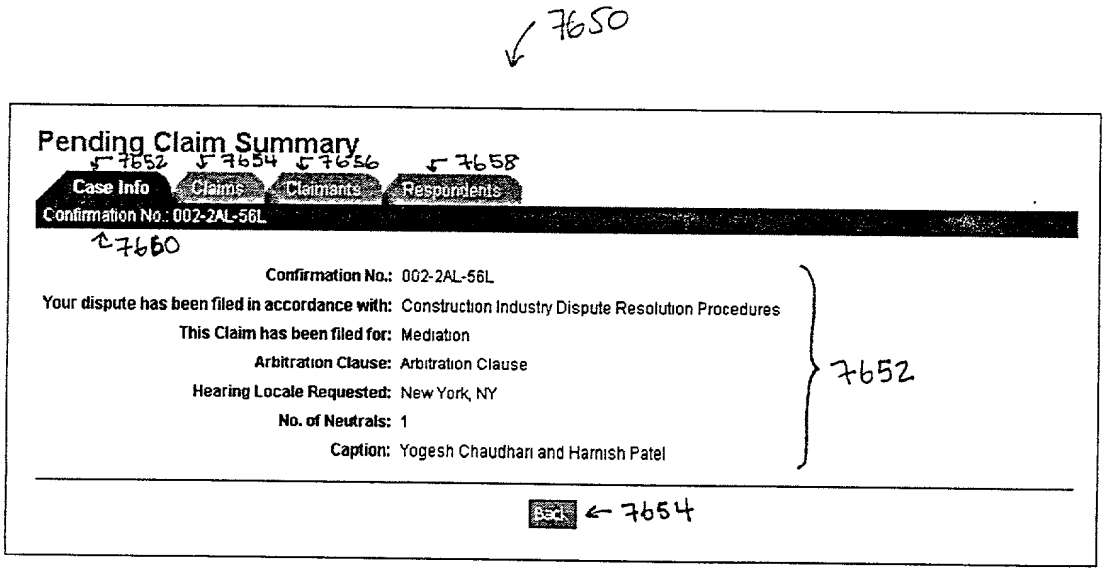


FIG. 76

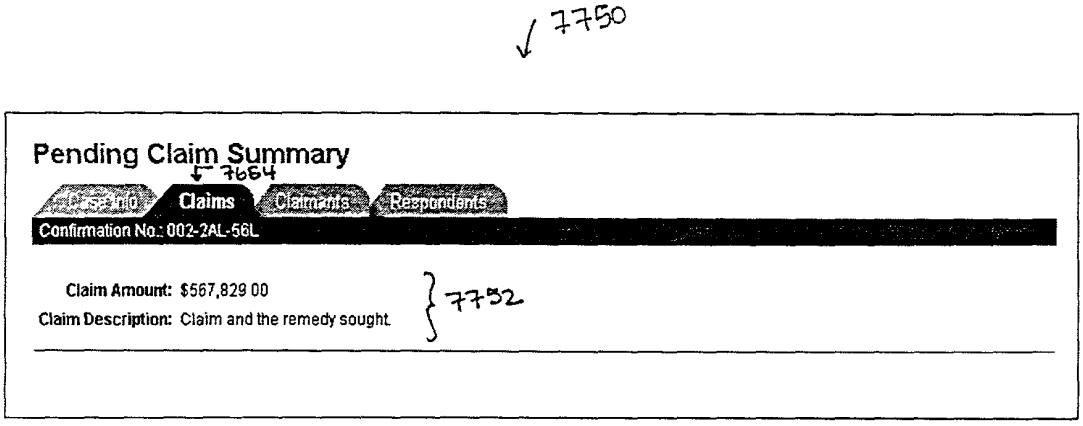

7750
Pending Claim Summary
7664
Claims
Claimants
Respondents
Confirmation No.: 002-2AL-56L
Claim Amount: $567,829 00
Claim Description: Claim and the remedy sought.
7752

FIG. 77

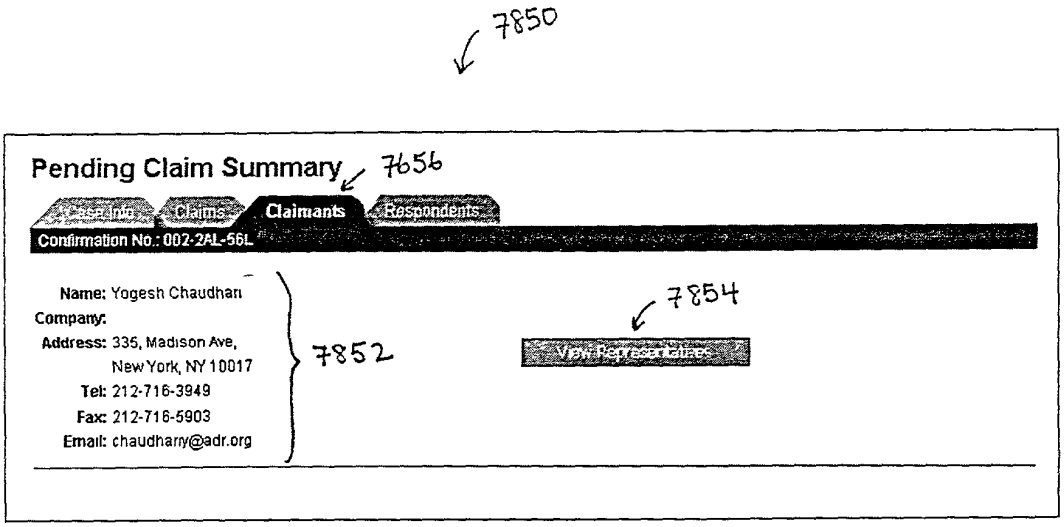

7850
Pending Claim Summary
7656
Case Info
Claims
Claimants
Respondents
Confirmation No.: 002-2AL-56L
Name: Yogesh Chaudhari
Company:
Address: 335, Madison Ave,
New York, NY 10017
Tel: 212-716-3949
Fax: 212-716-5903
Email: chaudharry@adr.org
7852
7854
View Representatives

FIG. 78

Filed 12/12/2008 Page 30 of 39

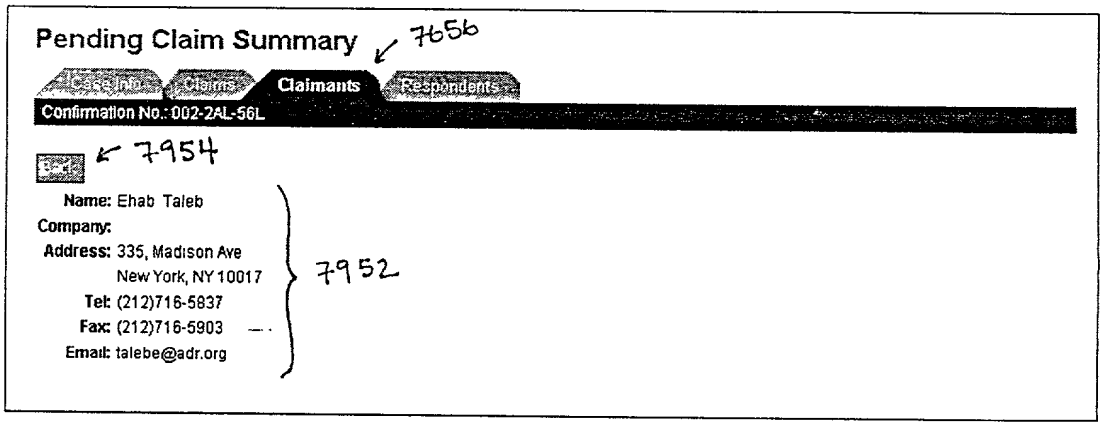


FIG. 79

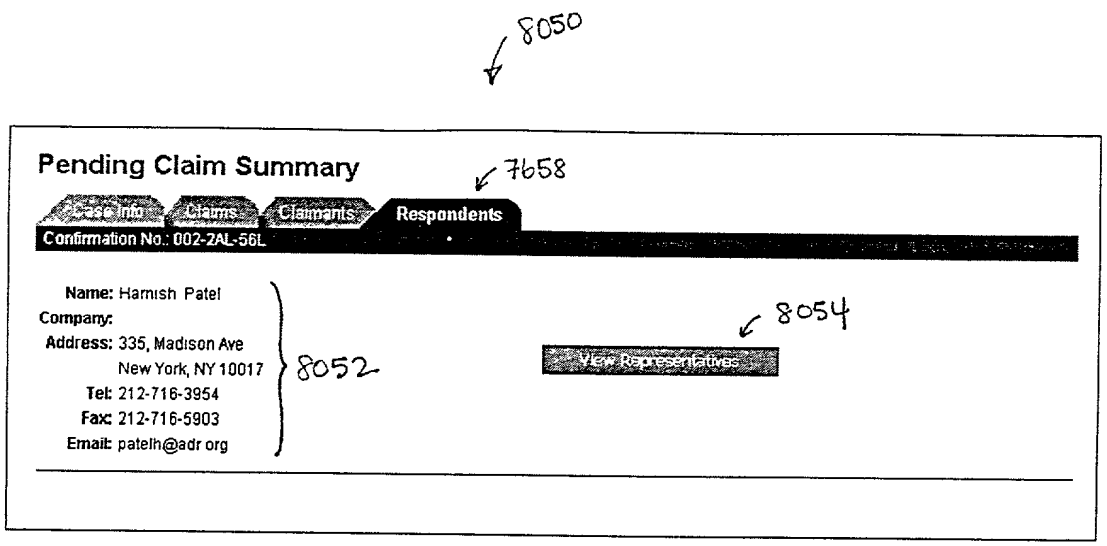

8050
Pending Claim Summary
7658
Case Info
Claims
Claimants
Respondents
Confirmation No.: 002-2AL-56L
Name: Harnish Patel
Company:
Address: 335, Madison Ave
New York, NY 10017
Tel: 212-716-3954
Fax: 212-716-5903
Email: patelh@adr.org
8052
8054
View Representatives

FIG. 80

Electronic Acknowledgement Receipt

8150

Pending Claim Summary

7658

Claim Info | Claims | Payments | **Respondents**

Confirmation No.: 002-2AL-56L

Add 8154

Name: Oggy Doytchinov
Company:
Address: 335, Madison Ave
New York, NY 10017
Tel: (212)716-3902
Fax: (212)716-5903
Email: DoytchinovO@adr.org

8152

FIG. 81

8250

Filed Case Summary

8252 Case Info | 8254 Claims | 8256 Claimants | 8258 Respondents | 8260 Message Board | 8262 Hearings | 8264 Documents

Confirmation No.: 002-LCV-XRN

8256

If you have any questions, please contact the Case Manager

Case No:	11-489-L-000050-01
Case Manager:	11 WEBUSER
Status:	Active
Hearing Locale:	New York, NY
No. of Neutrals:	1
Caption:	Harnish Patel and Oggy Doychinov and Ehab Taleb

Appointed Neutral(s):

Name	Type	Appointed By
William P Kennedy	Arbitrator	List

Task Description	Due date	Date Completed
Case Assigned	Oct 10, 2001	Oct 10, 2001
Case Initiation	Oct 10, 2001	
Arbitrator List Sent	Oct 11, 2001	Oct 11, 2001
Hearing Notice	Oct 11, 2001	Oct 11, 2001

8254

8252

8258

Back

FIG. 82

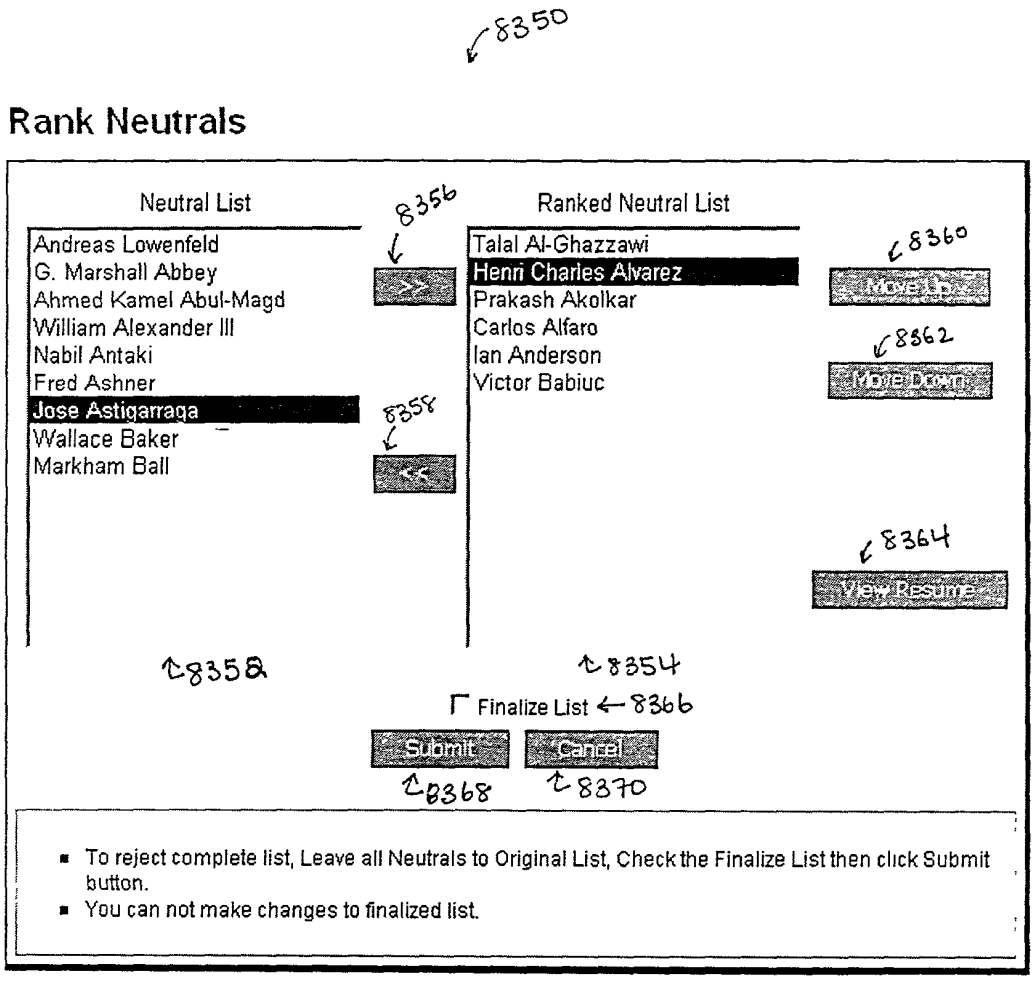

8350
Rank Neutrals
Neutral List
Andreas Lowenfeld
G. Marshall Abbey
Ahmed Kamel Abul-Magd
William Alexander III
Nabil Antaki
Fred Ashner
Jose Astigarraga
Wallace Baker
Markham Ball
8356
>>
8358
<<
Ranked Neutral List
Talal Al-Ghazzawi
Henri Charles Alvarez
Prakash Akolkar
Carlos Alfaro
Ian Anderson
Victor Babiuc
8360
Move Up
8362
Move Down
8364
View Resume
8358
8354
Finalize List
8366
Submit
Cancel
8368
8370
To reject complete list, Leave all Neutrals to Original List, Check the Finalize List then click Submit button.
You can not make changes to finalized list.

FIG. 83

C8450

• Print Resume • Close Window •

Contact American Arbitration Association
3 Phillips Lane
Dover, MA 02030

Commercial Panel

William P. Alexander III, Esq.

Current Employer-Title Law Office of William P. Alexander III - Owner

Profession Attorney - Business, International, Distributorship, Foreign-Owned U.S. Enterprises, Franchising, Construction; significant experience as arbitration counsel and arbitrator.

Work History Owner, Law Office of William P. Alexander III, 1988-present; Counsel, Dearborn and Ewing, 1985-88; Sponsored Attorney, Law Offices of I.S. Nazer, Al Khobar, Saudi Arabia, 1977-85; Projects Director, King Faisal Specialist Hospital and Research Centre, Riyadh, Saudi Arabia, 1975-77; State of Tennessee Judiciary, 1975.

Experience Over 20 years in private law practice, specializing in international and construction law. Primarily represent companies in distributorships; acquistion of U.S. companies, property and assets by foreign interests; technology licensing, multinational joint ventures; worldwide product manufacturing; franchising and international trade.

FIG. 84

Patent Application Publication Sep. 25, 2003 Sheet 85 of 89 US 2003/0182239 A1

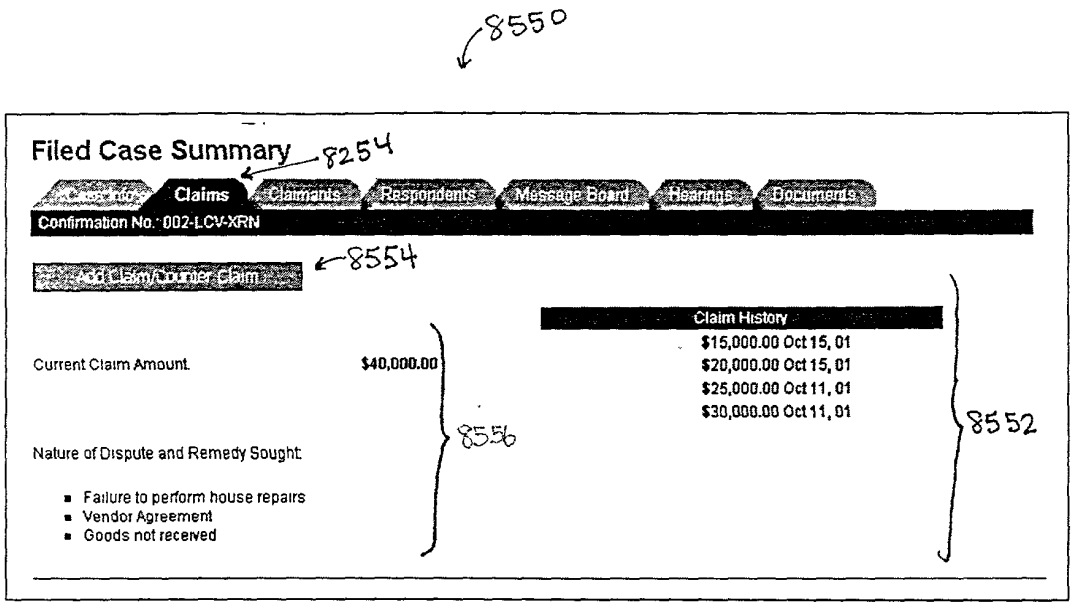


FIG. 85

3:15-cv-03748

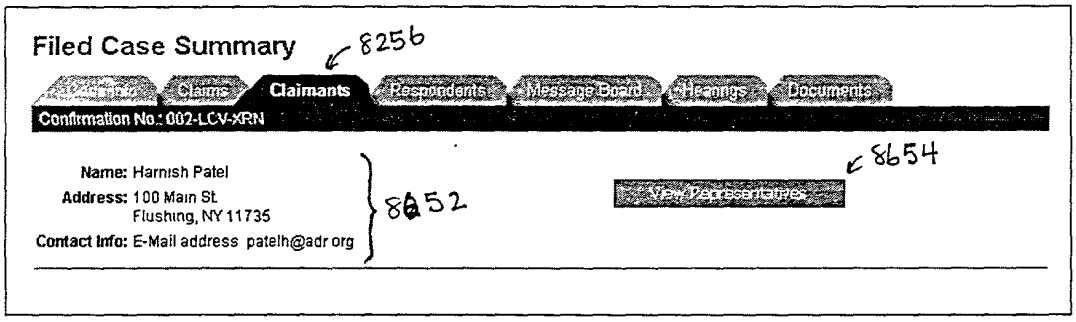


FIG. 86

Patent Application Publication Sep. 26, 2013 Sheet 87 of 250 US 2013/0254139 A1

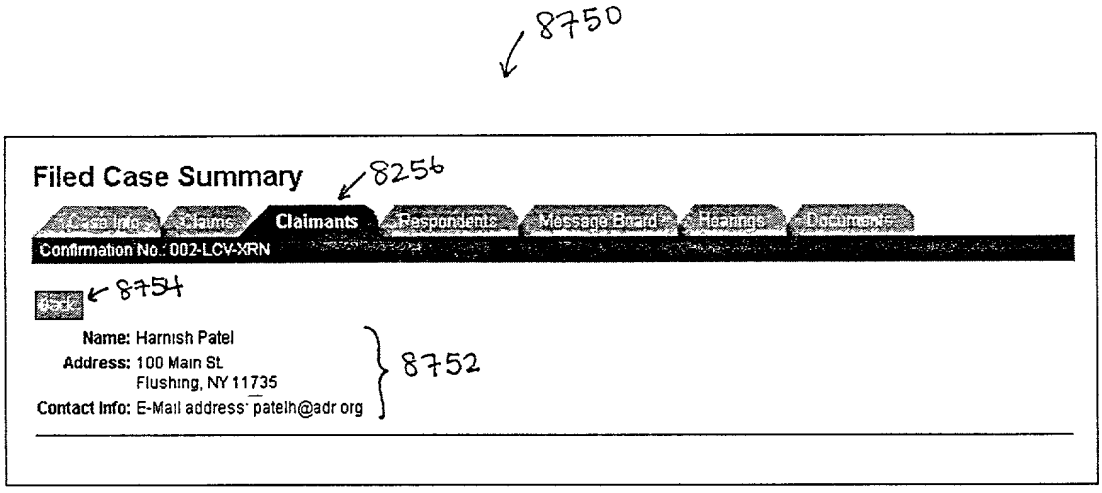


FIG. 87

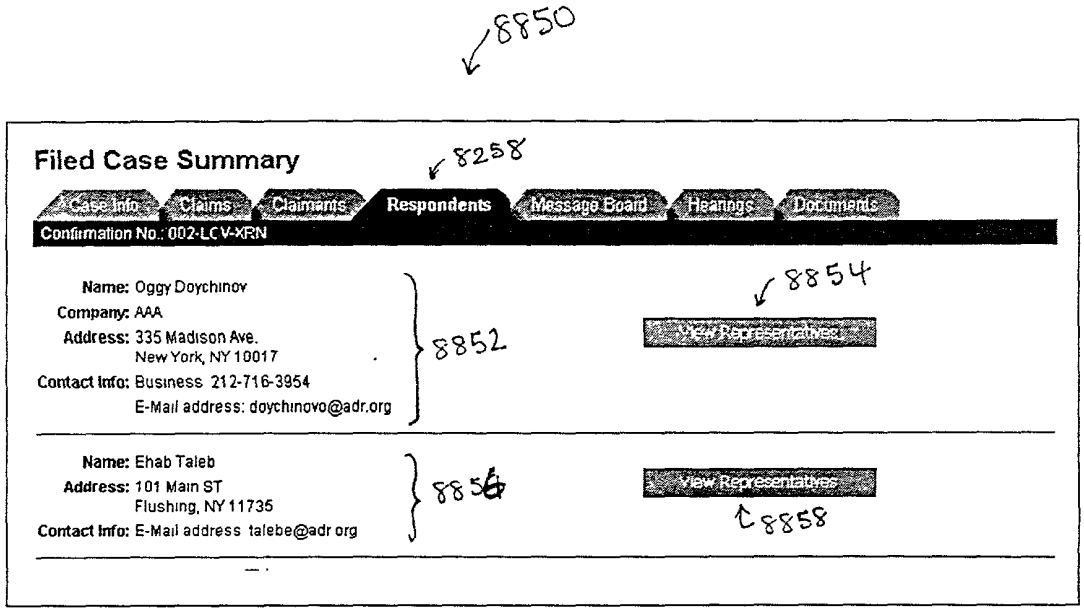

8850
Filed Case Summary
8858
Case Info
Claims
Claimants
Respondents
Message Board
Hearings
Documents
Confirmation No.: 002-LCV-XRN
Name: Oggy Doychinov
Company: AAA
Address: 335 Madison Ave.
New York, NY 10017
Contact Info: Business 212-716-3954
E-Mail address: doychinovo@adr.org
8852
8854
View Representatives
Name: Ehab Taleb
Address: 101 Main ST
Flushing, NY 11735
Contact Info: E-Mail address talebe@adr.org
8856
View Representatives
8858

FIG. 88

Exhibit 1 Page 53 of 53

8950

Filed Case Summary

8258

Case Info | Claims | Claimants | **Respondents** | Message Board | Hearings | Documents

Confirmation No.: 002-LCV-XRN

Add 8954

Name: Oggy Doychinov
Company: AAA
Address: 335 Madison Ave
New York, NY 10017
Contact Info: Business: 212-716-3954
E-Mail address doychinovo@adr.org

8952

FIG. 89

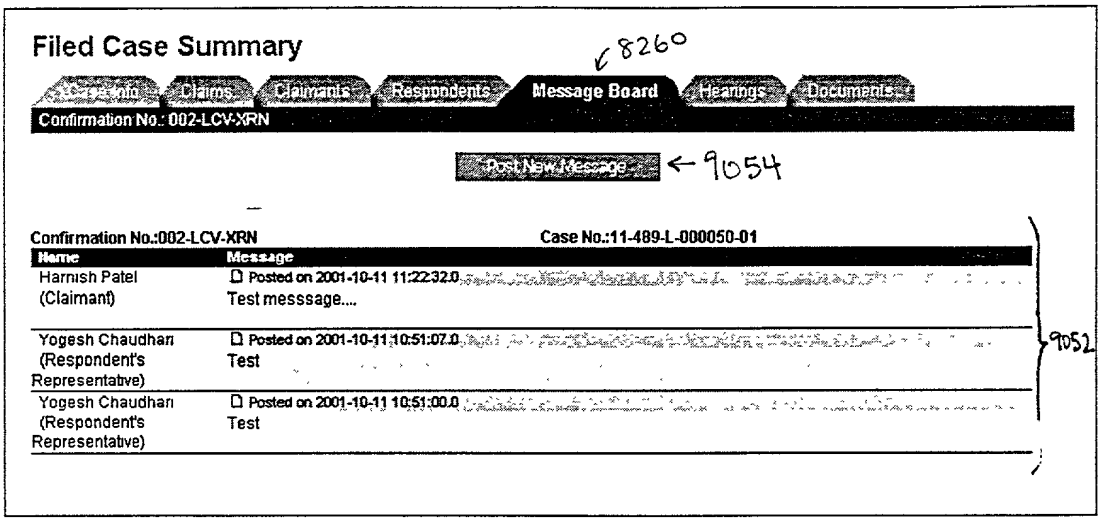

9050
Filed Case Summary
8260
Claims
Claimants
Respondents
Message Board
Hearings
Documents
Confirmation No.: 002-LCV-XRN
Post New Message
9054
Confirmation No.:002-LCV-XRN
Case No.:11-489-L-000050-01
Name
Message
Harnish Patel (Claimant)
Posted on 2001-10-11 11:22:32.0
Test messsage....
Yogesh Chaudhari (Respondent's Representative)
Posted on 2001-10-11 10:51:07.0
Test
Yogesh Chaudhari (Respondent's Representative)
Posted on 2001-10-11 10:51:00.0
Test
9052

FIG. 90

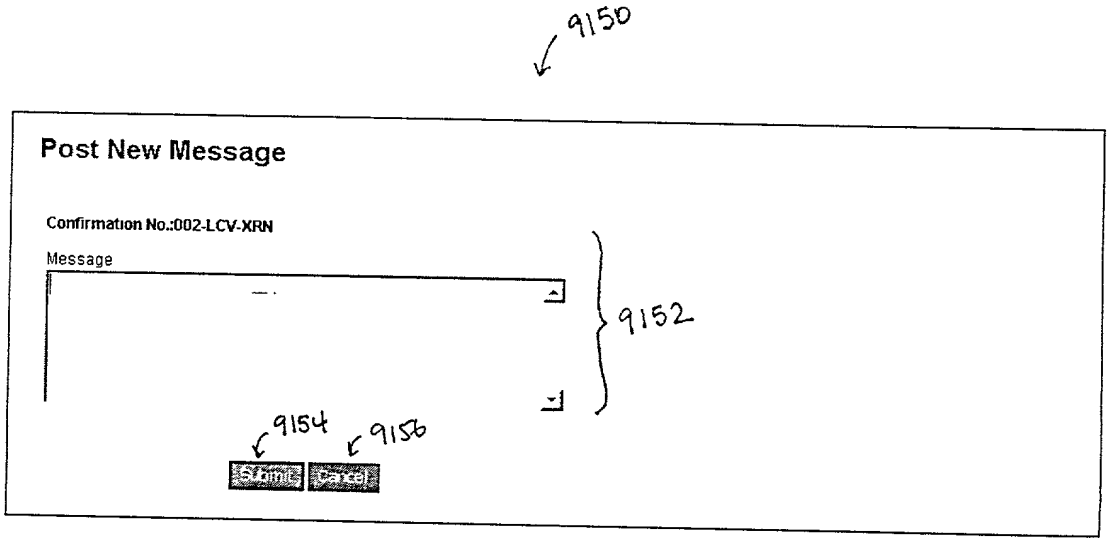

9150
Post New Message
Confirmation No.:002-LCV-XRN
Message
9152
9154
9156
Submit
Cancel

FIG. 91

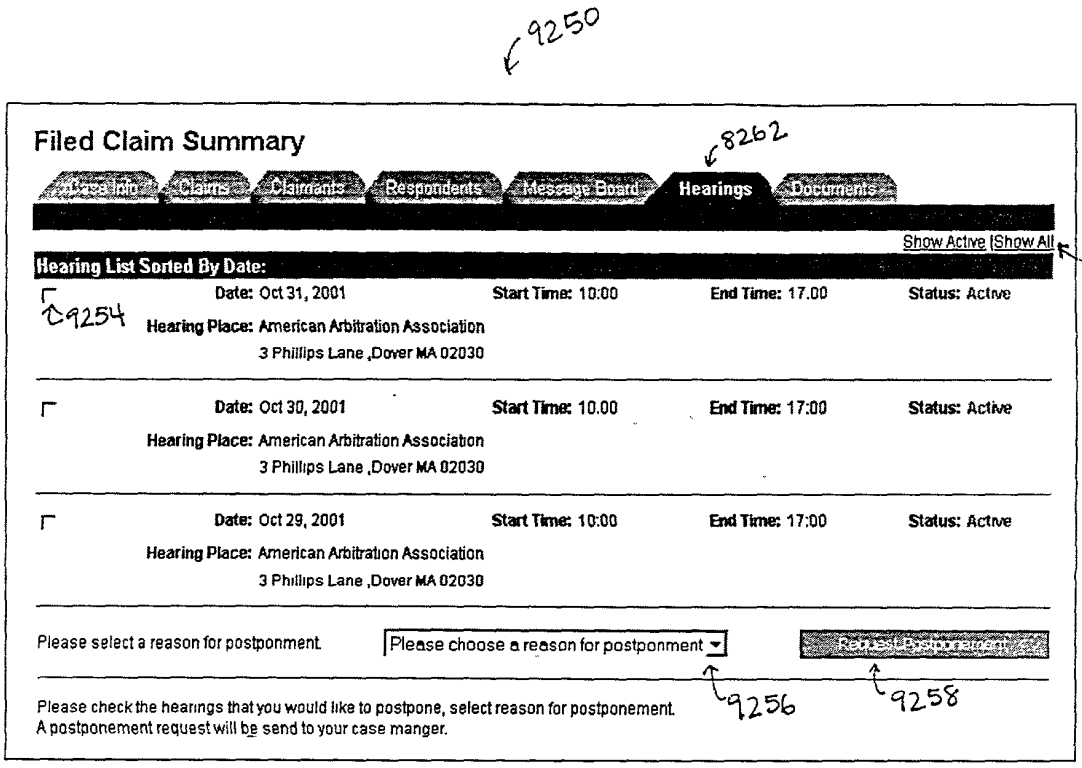

9250
Filed Claim Summary
8262
Case Info
Claims
Claimants
Respondents
Message Board
Hearings
Documents
Show Active |Show All
9260
Hearing List Sorted By Date:
9254
Date: Oct 31, 2001
Start Time: 10:00
End Time: 17:00
Status: Active
Hearing Place: American Arbitration Association
3 Phillips Lane ,Dover MA 02030
9252
Date: Oct 30, 2001
Start Time: 10:00
End Time: 17:00
Status: Active
Hearing Place: American Arbitration Association
3 Phillips Lane ,Dover MA 02030
Date: Oct 29, 2001
Start Time: 10:00
End Time: 17:00
Status: Active
Hearing Place: American Arbitration Association
3 Phillips Lane ,Dover MA 02030
Please select a reason for postponment.
Please choose a reason for postponment
Request postponement
9256
9258
Please check the hearings that you would like to postpone, select reason for postponement.
A postponement request will be send to your case manger.

FIG. 92

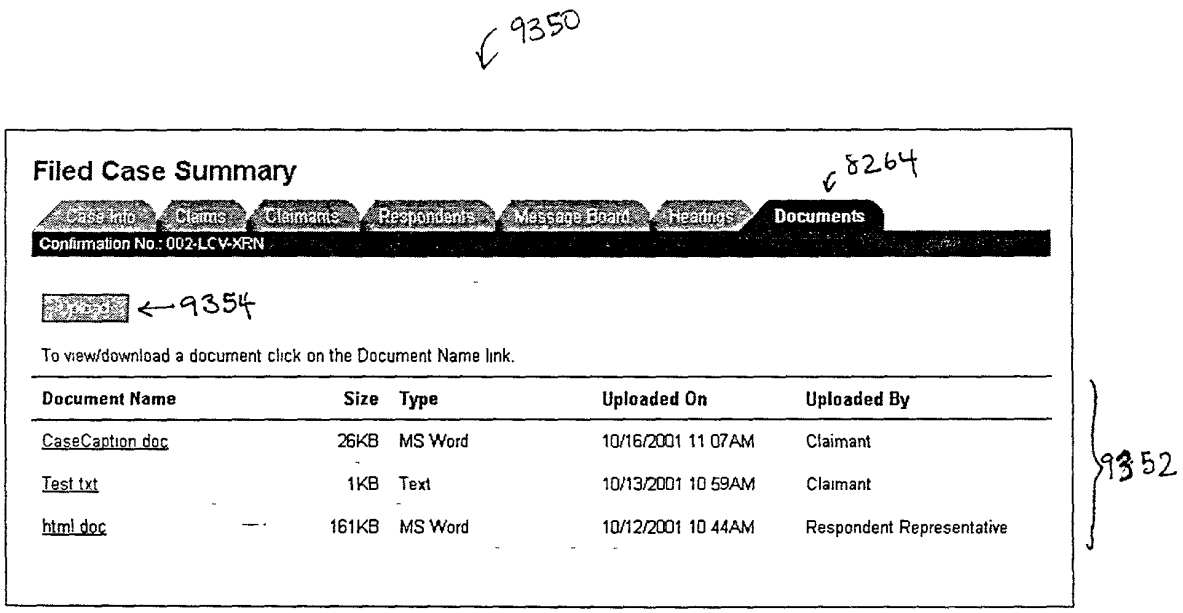

9350
Filed Case Summary
8264
Case Info
Claims
Claimants
Respondents
Message Board
Headings
Documents
Confirmation No.: 002-LCV-XRN
9354
To view/download a document click on the Document Name link.
Document Name
Size
Type
Uploaded On
Uploaded By
CaseCaption.doc
26KB
MS Word
10/16/2001 11:07AM
Claimant
Test.txt
1KB
Text
10/13/2001 10:59AM
Claimant
html.doc
161KB
MS Word
10/12/2001 10:44AM
Respondent Representative
9352

FIG. 93

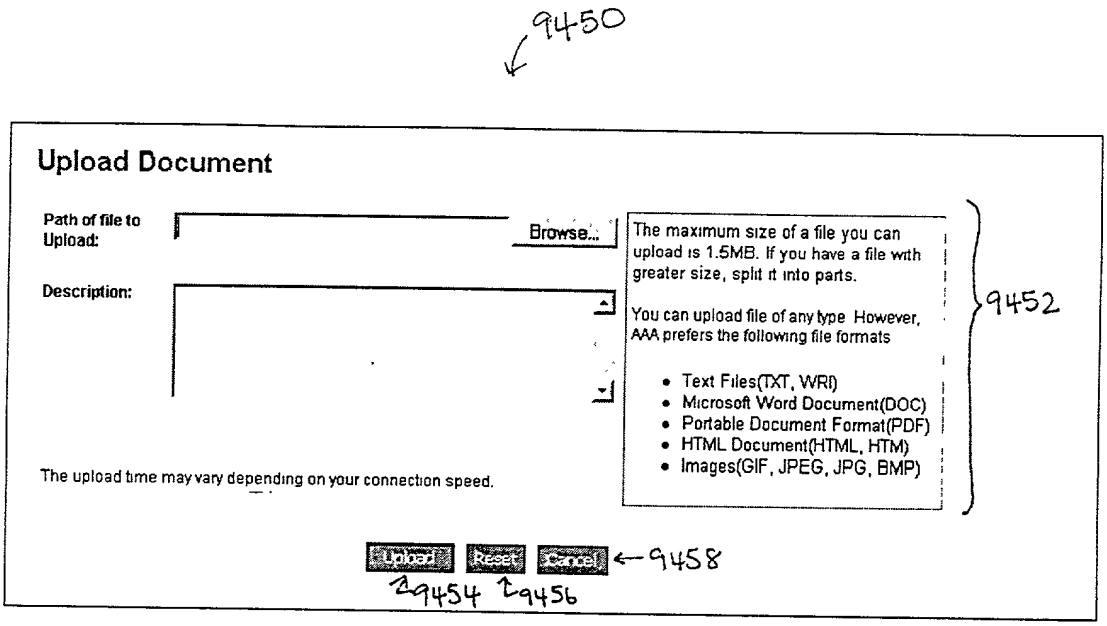

9450
Upload Document
Path of file to Upload:
Browse...
Description:
The maximum size of a file you can upload is 1.5MB. If you have a file with greater size, split it into parts.
You can upload file of any type However, AAA prefers the following file formats
Text Files(TXT, WRI)
Microsoft Word Document(DOC)
Portable Document Format(PDF)
HTML Document(HTML, HTM)
Images(GIF, JPEG, JPG, BMP)
9452
The upload time may vary depending on your connection speed.
Upload
Reset
Cancel
9458
9454
9456

FIG. 94

Document - Redacted

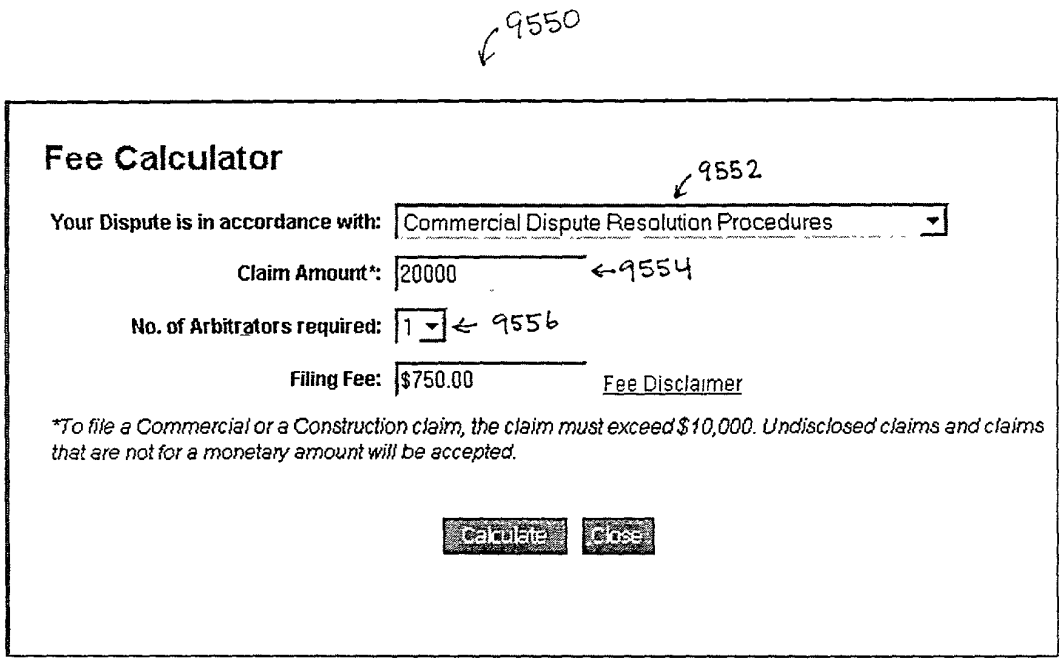


FIG. 95

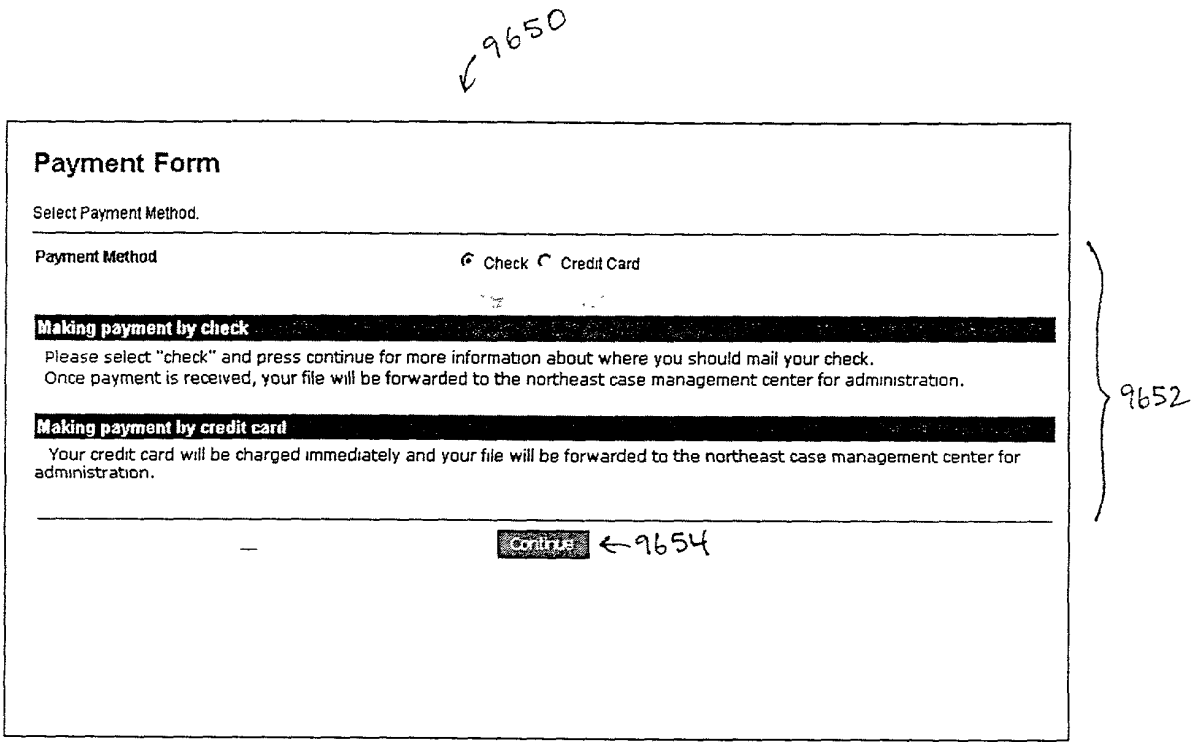

9650
Payment Form
Select Payment Method.
Payment Method
Check
Credit Card
Making payment by check
Please select "check" and press continue for more information about where you should mail your check.
Once payment is received, your file will be forwarded to the northeast case management center for administration.
Making payment by credit card
Your credit card will be charged immediately and your file will be forwarded to the northeast case management center for administration.
Continue
9654
9652

FIG. 96

9750

Online Filing Demand For Arbitration/Mediation Form

9752

This concludes your filing.
Thank you for submitting your claim to the AAA.
Your claim confirmation:**002-NV5-VFC**

Please mail your check for $750 along with a copy of this form and the claim confirmation number noted on your check to

American Arbitration Association
Att : Annie Luongo, Case Management
335 Madison Ave, 10th floor
New York, NY 10017

To institute proceedings, please send a copy of this form and the Arbitration Agreement to the opposing party

Printable Page

Your dispute has been filed in accordance with: Construction Industry Dispute Resolution Procedures

Claims

Claim Amount: $20,000 00
Claim Description: Failure to perform house repairs Vendor Agreement Goods not received
Arbitration Clause: Failure to perform house repairs Vendor Agreement Goods not received
Hearing Locale Requested: new york, NY
Filing Fee: $750.00
No. of Neutrals: 1

Claimant No: 1

Name: john smith
Address: 34 25 street
new york, NY 10001
Tel: 778-898-4444
Email: john@email com

Respondent No: 1

Name: tony doe
Address: 1233 45 street
new york, NY 10005
Tel: 778-258-3698
Email: tony@email com

To institute proceedings, please send a copy of this form and the Arbitration Agreement to the opposing party

Printable Page

Your demand for arbitration has been received.
Upon receipt of payment, this demand for arbitration/mediation will be successfully filed.
Now you can view your Claim/Cases, or continue with New Claim or Sign Out

9754 9756 9758

FIG. 97

9850

help

Credit Card Form

For your convenience we listed all credit cards that you used before.
Please select one or [Enter New] ← 9852

- MVC, **********5100, ExpDate 1/2006
 mike smith
 1212 broadway 10th ,
 new york NY US 10001
- AMEX, **********0005, ExpDate 1/2009
 mike smith
 1212 broadway 10th ,
 new york NY US 10001
- VISA, **********1111, ExpDate 5/2005
 ehab taleb
 34 - 25 st apt 2g ,
 astoria NY US 11025

9854

Continue ← 9856

FIG. 98

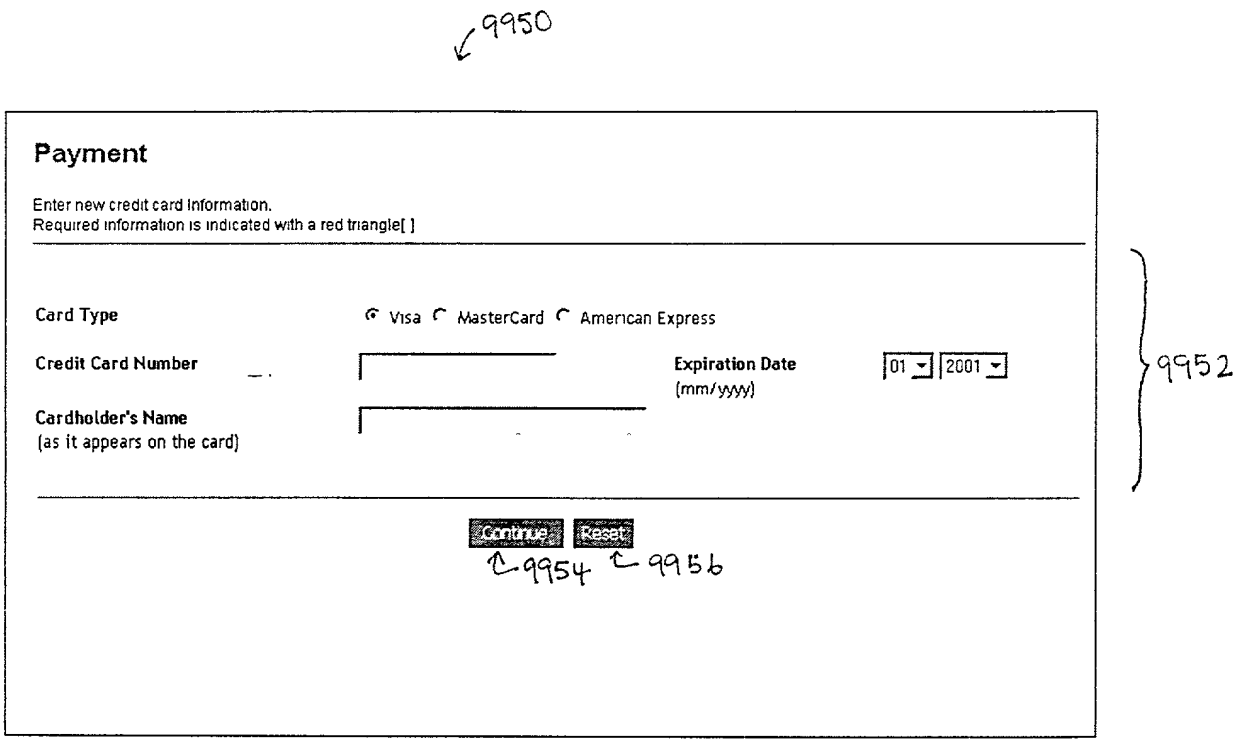

9950
Payment
Enter new credit card information.
Required information is indicated with a red triangle[]
Card Type
Visa
MasterCard
American Express
Credit Card Number
Expiration Date
(mm/yyyy)
01
2001
Cardholder's Name
(as it appears on the card)
Continue
Reset
9954
9956
9952

FIG. 99

9960

Payment Form

Edit credit card information —
Required fields are indicated with a red triangle[]

Account Settings

*** *Please supply a valid expiration date for your credit card.* ***

Card Type ○ Visa ○ MasterCard ◉ American Express

Credit Card Number 378546222021004 **Expiration Date** (mm/yyyy) 01 2001

Cardholder's Name (as it appears on the card) john smith

Continue Reset

FIG. 100

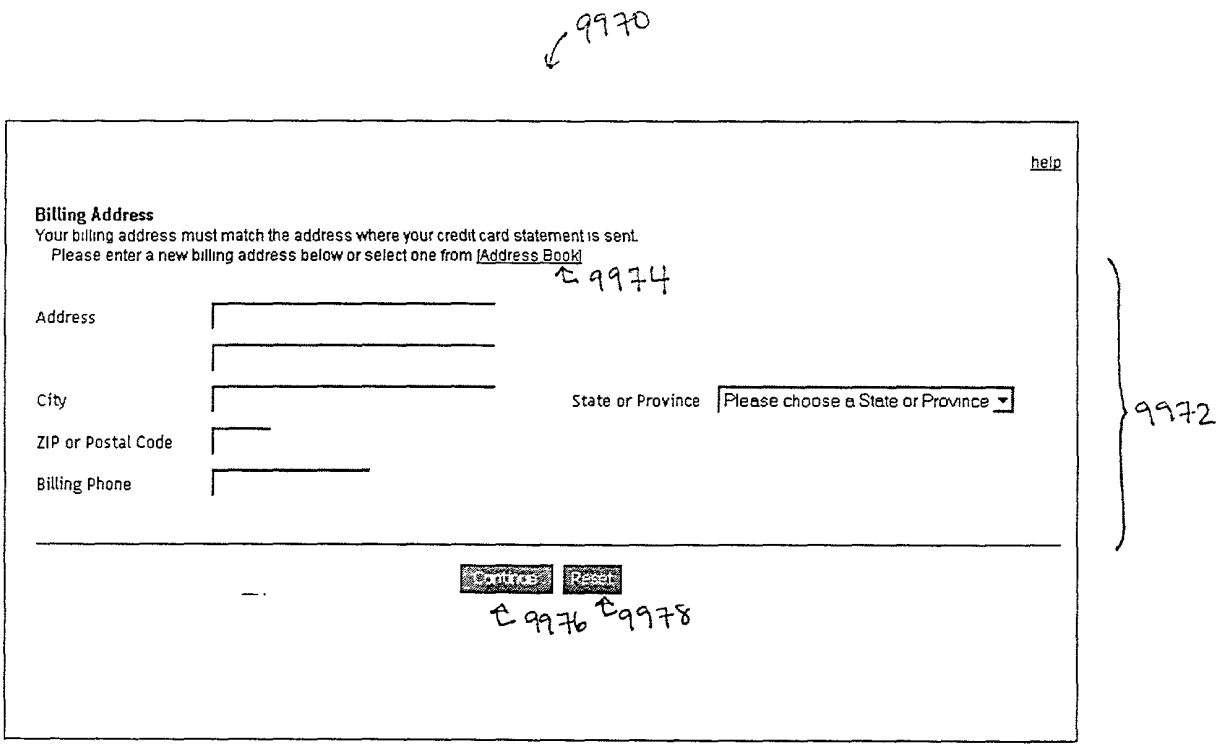

9970
help
Billing Address
Your billing address must match the address where your credit card statement is sent.
Please enter a new billing address below or select one from Address Book
9974
Address
City
State or Province
Please choose a State or Province
ZIP or Postal Code
Billing Phone
9972
Reset
9976
9978

FIG.101

9980

help

Billing Address

Your billing address must match the address where your credit card statement is sent.
Please choose a billing address below or enter a new one ← 9984

- (•) 1212 broadway 10th , new york NY US 10001
- () 34 - 25 st apt 2g , astoria NY US 11025

} 9982

Continue

FIG. 102

Document 1 Filed 12/22/2006 Page 4 of 5

9990

Review your Payment information. Press the "Submit" button when the information is correct

help

Billing Address Information
1212 broadway 10th,
new york NY US 10001
Change Billing Address

Credit Card Information
Filing Fee = $2750
john smith AMEX, **********1004, ExpDate 1/2007
Change Credit Card

9992

9994

Submit

(Please be patient while we process your information)

FIG.103

9995

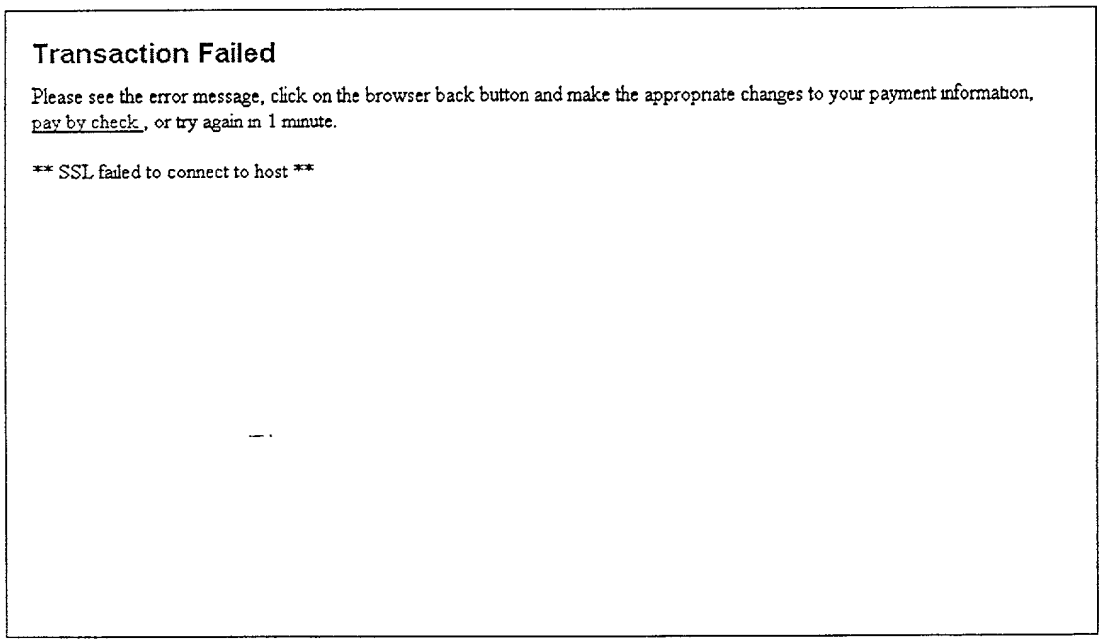

Transaction Failed
Please see the error message, click on the browser back button and make the appropriate changes to your payment information, pay by check , or try again in 1 minute.
** SSL failed to connect to host **

FIG. 104

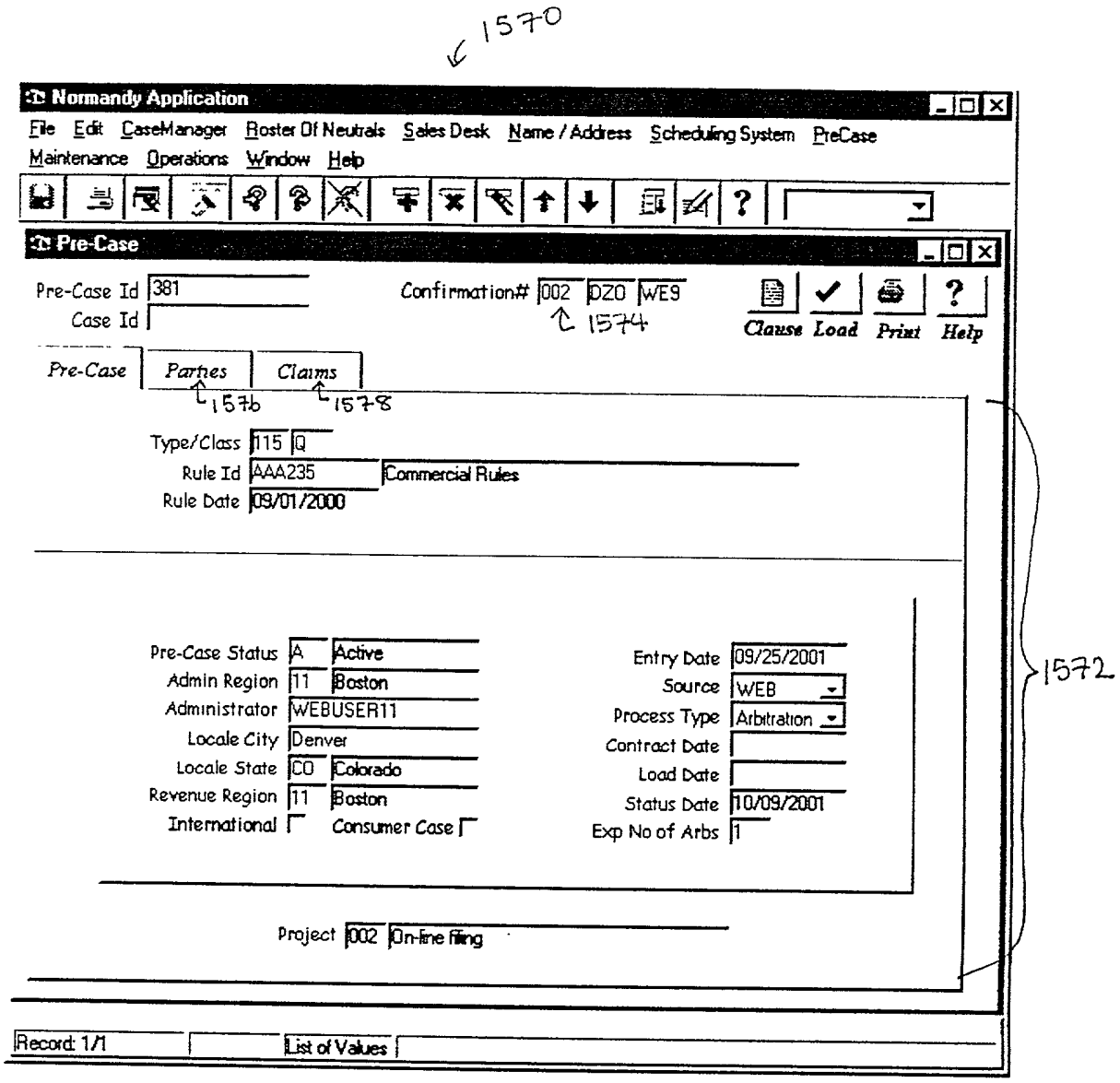

1570
Normandy Application
File Edit CaseManager Roster Of Neutrals Sales Desk Name / Address Scheduling System PreCase
Maintenance Operations Window Help
Pre-Case
Pre-Case Id 381
Case Id
Confirmation# 002 DZO WEB
1574
Clause Load Print Help
Pre-Case Parties Claims
1576 1578
Type/Class 115 Q
Rule Id AAA235 Commercial Rules
Rule Date 09/01/2000
Pre-Case Status A Active
Admin Region 11 Boston
Administrator WEBUSER11
Locale City Denver
Locale State CO Colorado
Revenue Region 11 Boston
International Consumer Case
Entry Date 09/25/2001
Source WEB
Process Type Arbitration
Contract Date
Load Date
Status Date 10/09/2001
Exp No of Arbs 1
Project 002 On-line filing
1572
Record: 1/1
List of Values

FIG. 105

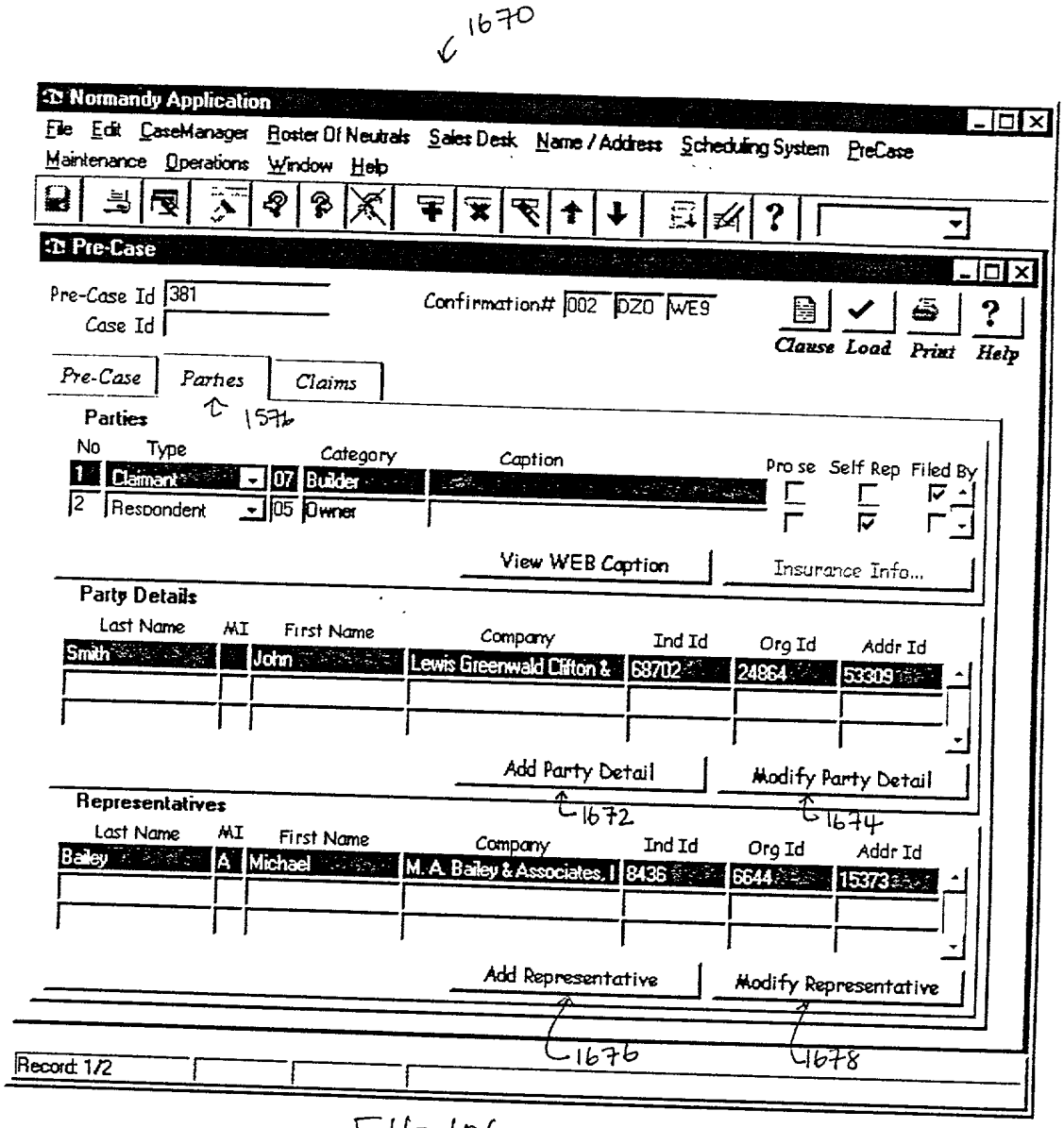

1670
Normandy Application
File Edit CaseManager Roster Of Neutrals Sales Desk Name / Address Scheduling System PreCase
Maintenance Operations Window Help
Pre-Case
Pre-Case Id 381
Case Id
Confirmation# 002 DZO WE9
Clause Load Print Help
Pre-Case Parties Claims
1574
Parties
No Type Category Caption Pro se Self Rep Filed By
1 Claimant 07 Builder
2 Respondent 05 Owner
View WEB Caption
Insurance Info...
Party Details
Last Name MI First Name Company Ind Id Org Id Addr Id
Smith John Lewis Greenwald Clifton & 68702 24864 53309
Add Party Detail
Modify Party Detail
1672
1674
Representatives
Last Name MI First Name Company Ind Id Org Id Addr Id
Bailey A Michael M. A. Bailey & Associates, I 8436 6644 15373
Add Representative
Modify Representative
1676
1678
Record: 1/2

FIG. 10G

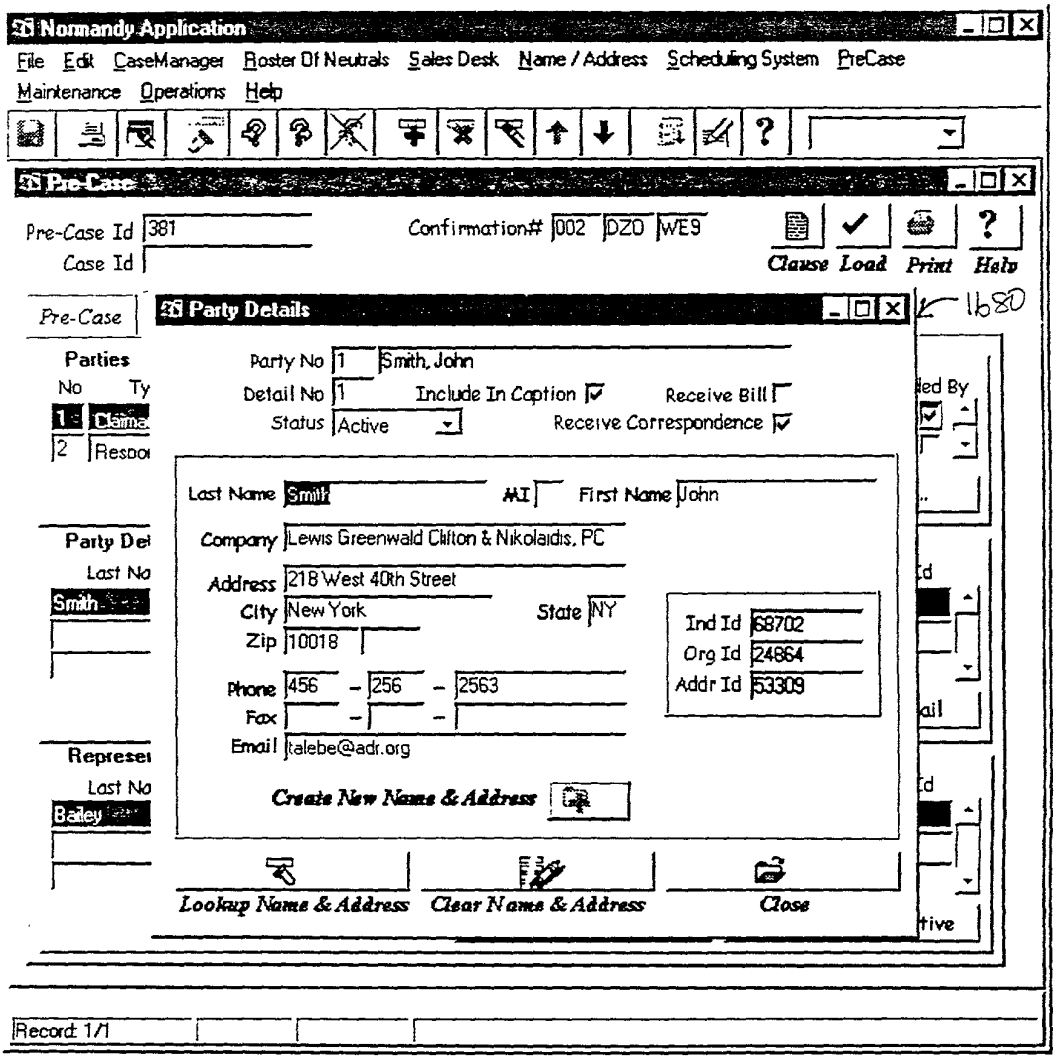

Normandy Application
File Edit CaseManager Roster Of Neutrals Sales Desk Name / Address Scheduling System PreCase
Maintenance Operations Help
Pre-Case
Pre-Case Id 381
Case Id
Confirmation# 002 DZO WE9
Clause Load Print Help
Pre-Case
Party Details
1680
Parties
No Ty
1 Claima
2 Respor
Party No 1 Smith, John
Detail No 1 Include In Caption Receive Bill
Status Active Receive Correspondence
Last Name Smith MI First Name John
Company Lewis Greenwald Clifton & Nikolaidis, PC
Address 218 West 40th Street
City New York State NY
Zip 10018
Phone 456 - 256 - 2563
Fax - -
Email talebe@adr.org
Ind Id 68702
Org Id 24864
Addr Id 53309
Create New Name & Address
Party Del
Last No
Smith
Represer
Last No
Bailey
Lookup Name & Address
Clear Name & Address
Close
Record: 1/1

FIG. 107

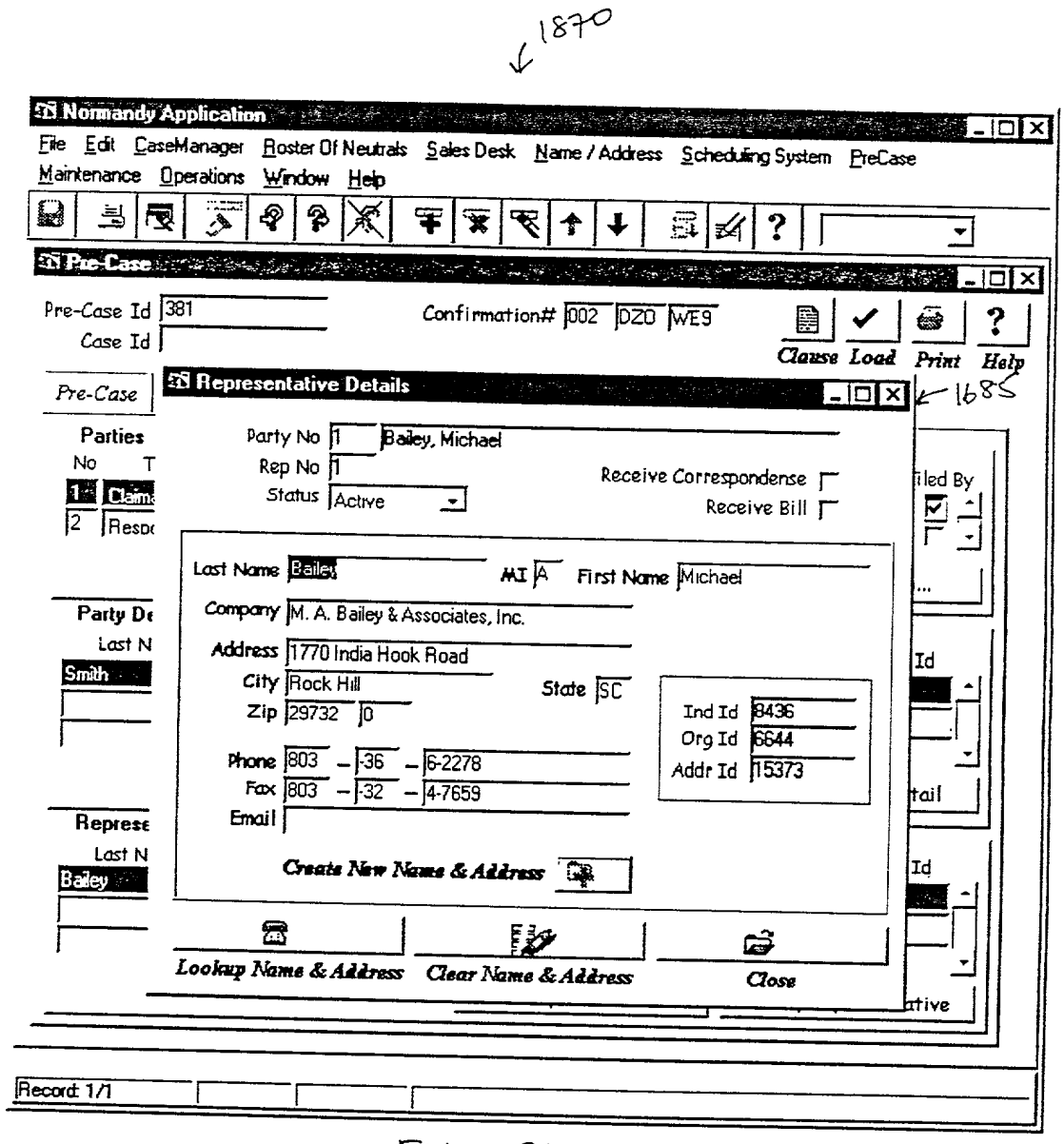

1870
Normandy Application
File Edit CaseManager Roster Of Neutrals Sales Desk Name / Address Scheduling System PreCase
Maintenance Operations Window Help
Pre-Case
Pre-Case Id 381
Case Id
Confirmation# 002 DZO WE9
Clause Load Print Help
1685
Pre-Case
Representative Details
Parties
No T
1 Claim
2 Respo
Party No 1 Bailey, Michael
Rep No 1
Status Active
Receive Correspondense
Receive Bill
Last Name Bailey MI A First Name Michael
Company M. A. Bailey & Associates, Inc.
Address 1770 India Hook Road
City Rock Hill State SC
Zip 29732 0
Phone 803 - 36 - 6-2278
Fax 803 - 32 - 4-7659
Email
Ind Id 8436
Org Id 6644
Addr Id 15373
Create New Name & Address
Lookup Name & Address
Clear Name & Address
Close
Party De
Last N
Smith
Represe
Last N
Bailey
Filed By
Id
tail
Id
ative
Record: 1/1

FIG. 108

PCT/US2005/045359

1970

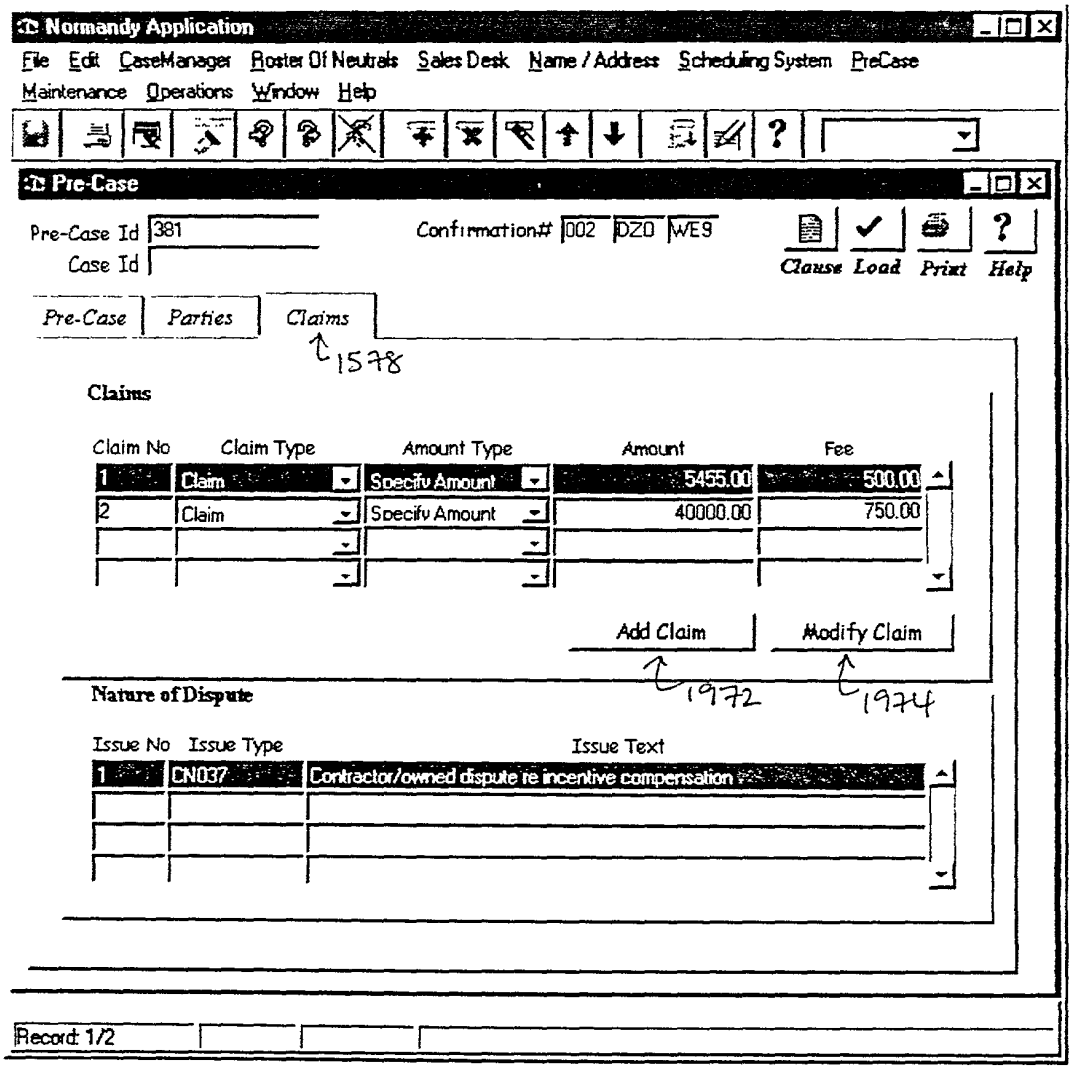


FIG. 109

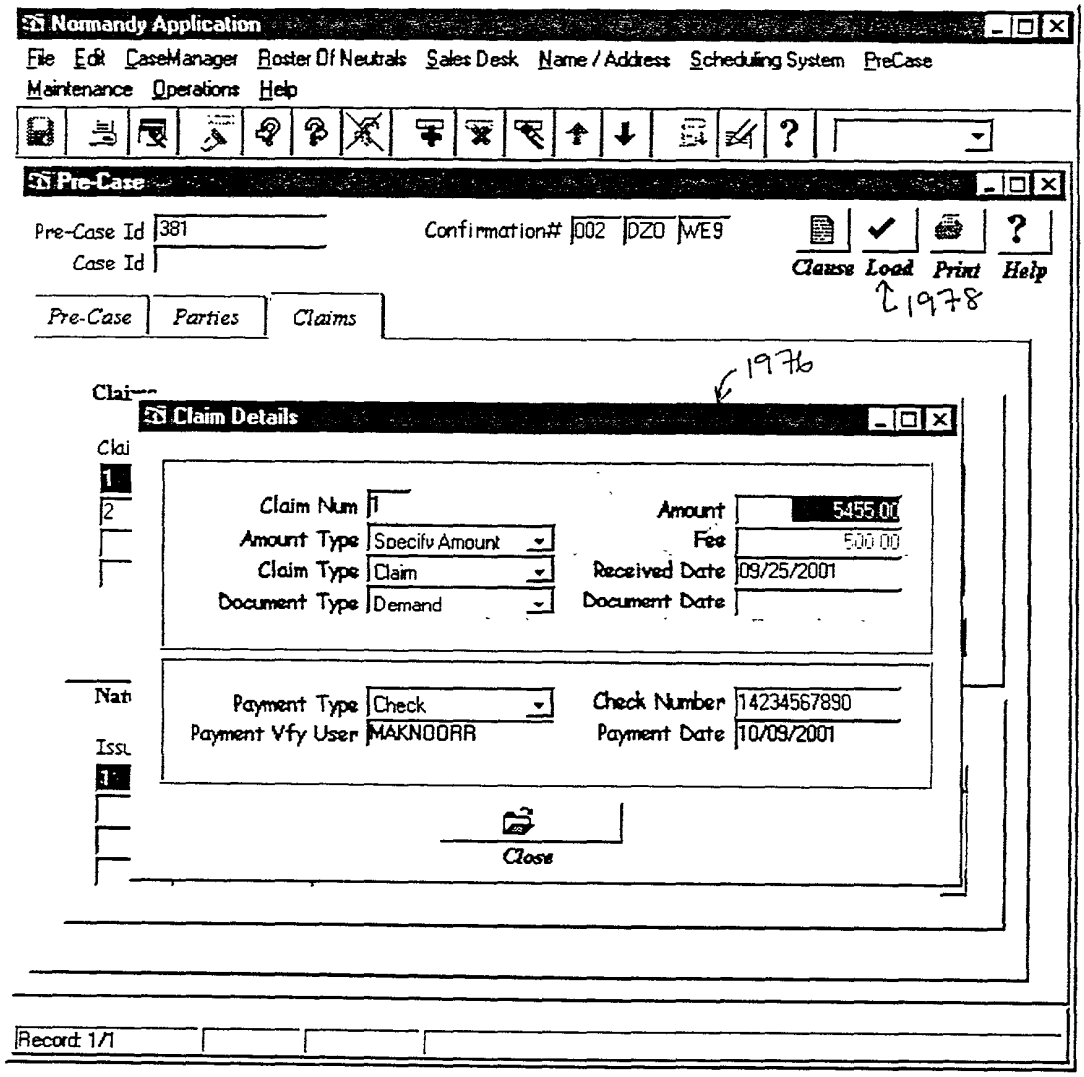

Normandy Application
File Edit CaseManager Roster Of Neutrals Sales Desk Name / Address Scheduling System PreCase Maintenance Operations Help
Pre-Case
Pre-Case Id 381
Case Id
Confirmation# 002 DZO WE9
Clause Load Print Help
1978
Pre-Case Parties Claims
1976
Claim Details
Claim Num 1
Amount 5455.00
Amount Type Specify Amount
Fee 500.00
Claim Type Claim
Received Date 09/25/2001
Document Type Demand
Document Date
Payment Type Check
Check Number 14234567890
Payment Vfy User MAKNOORR
Payment Date 10/09/2001
Close
Record: 1/1

FIG. 110

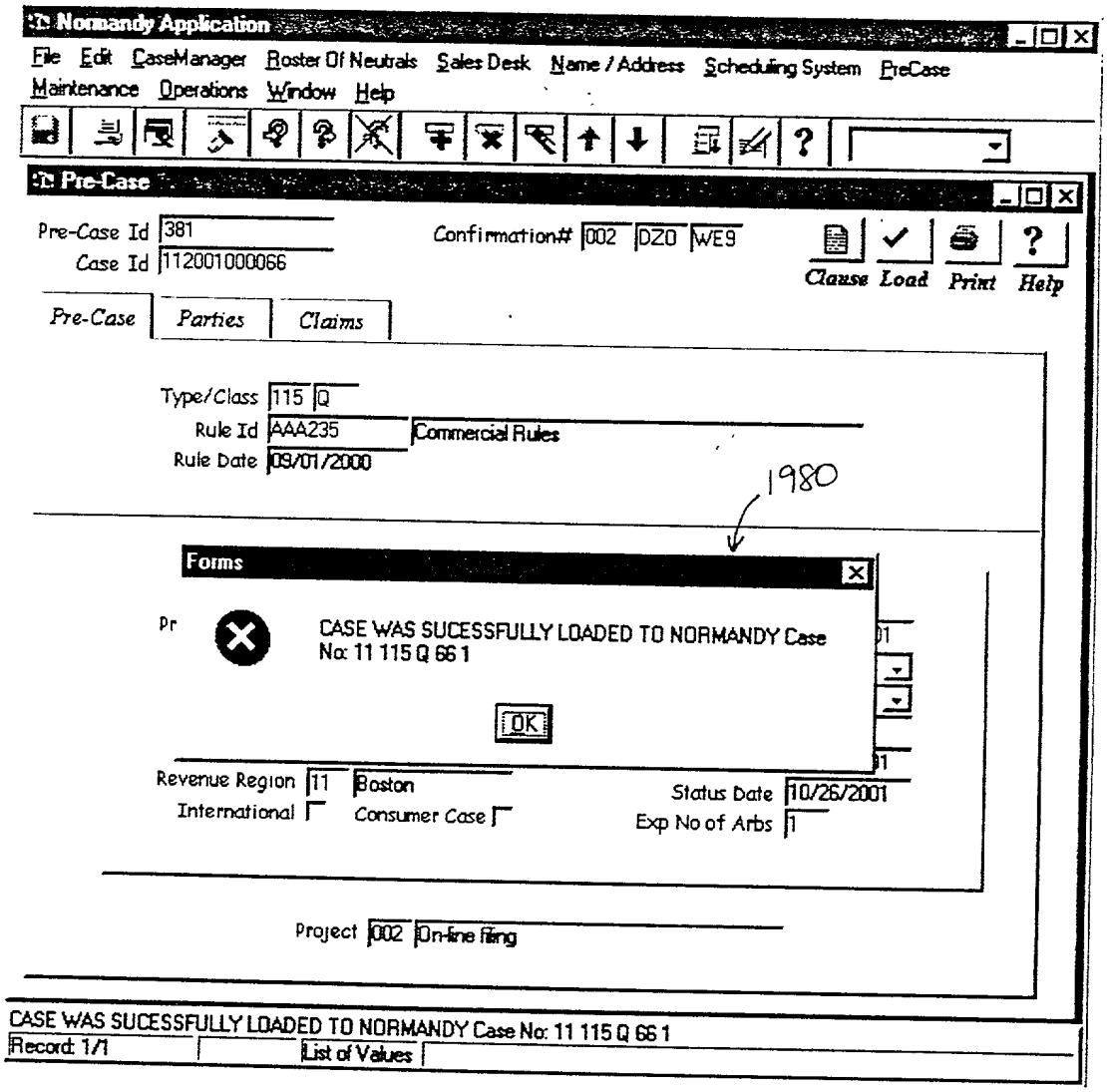

Normandy Application
File Edit CaseManager Roster Of Neutrals Sales Desk Name / Address Scheduling System PreCase
Maintenance Operations Window Help
Pre-Case
Pre-Case Id 381
Case Id 112001000066
Confirmation# 002 DZO WE9
Clause Load Print Help
Pre-Case Parties Claims
Type/Class 115 Q
Rule Id AAA235 Commercial Rules
Rule Date 09/01/2000
1980
Forms
CASE WAS SUCESSFULLY LOADED TO NORMANDY Case No: 11 115 Q 66 1
OK
Revenue Region 11 Boston
International
Consumer Case
Status Date 10/26/2001
Exp No of Arbs 1
Project 002 On-line filing
CASE WAS SUCESSFULLY LOADED TO NORMANDY Case No: 11 115 Q 66 1
Record: 1/1
List of Values

FIG. 111

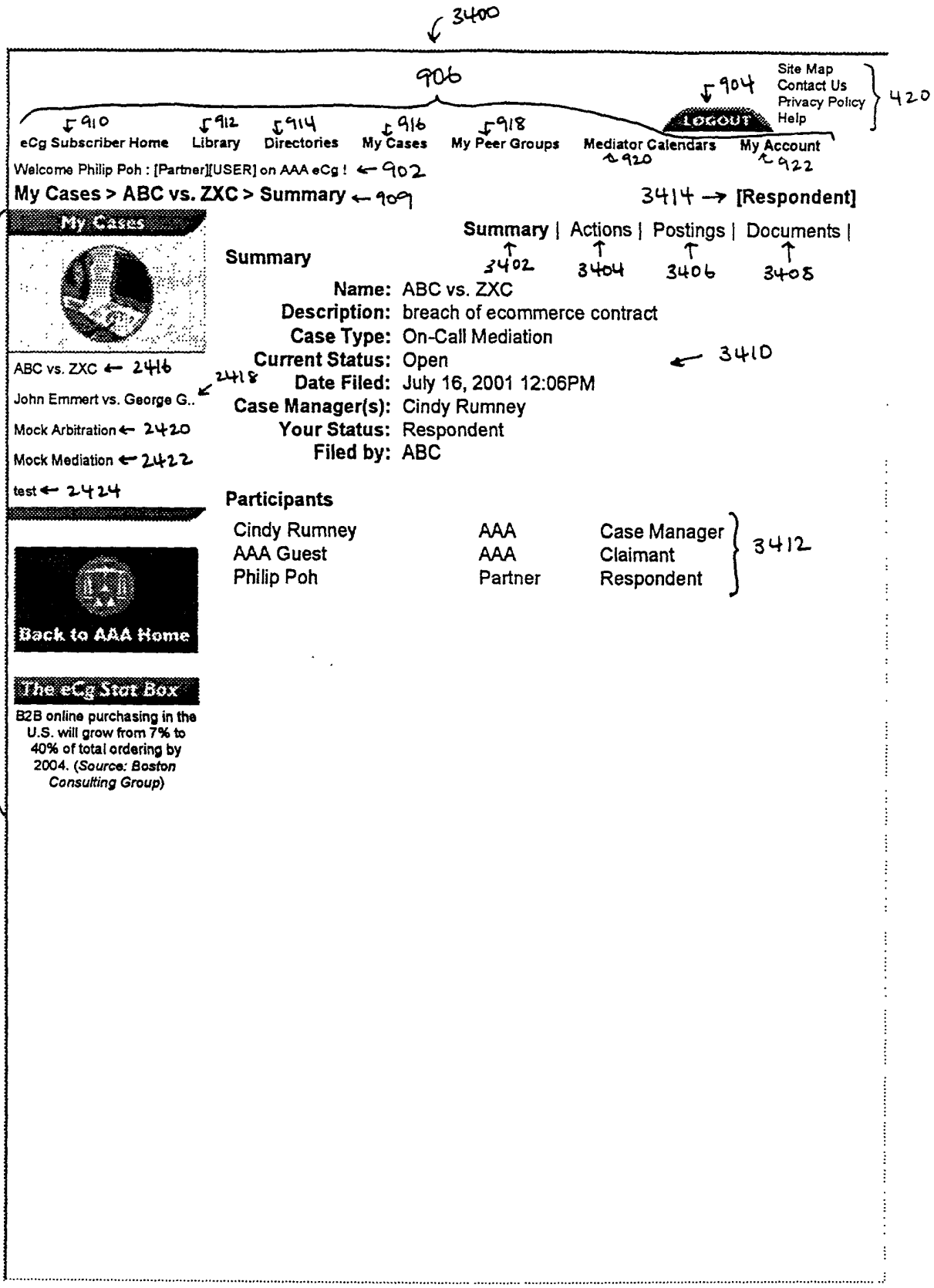

3400
906
904
Site Map
Contact Us
Privacy Policy
Help
420
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
Mediator Calendars 920
My Account 922
LOGOUT
Welcome Philip Poh : [Partner][USER] on AAA eCg ! ← 902
My Cases > ABC vs. ZXC > Summary ← 909
3414 → [Respondent]
My Cases
ABC vs. ZXC ← 2416
John Emmert vs. George G..
2418
Mock Arbitration ← 2420
Mock Mediation ← 2422
test ← 2424
2402
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
Summary | Actions | Postings | Documents |
3402
3404
3406
3408
Summary
Name: ABC vs. ZXC
Description: breach of ecommerce contract
Case Type: On-Call Mediation
Current Status: Open ← 3410
Date Filed: July 16, 2001 12:06PM
Case Manager(s): Cindy Rumney
Your Status: Respondent
Filed by: ABC
Participants
Cindy Rumney AAA Case Manager
AAA Guest AAA Claimant
Philip Poh Partner Respondent
3412

FIG. 112

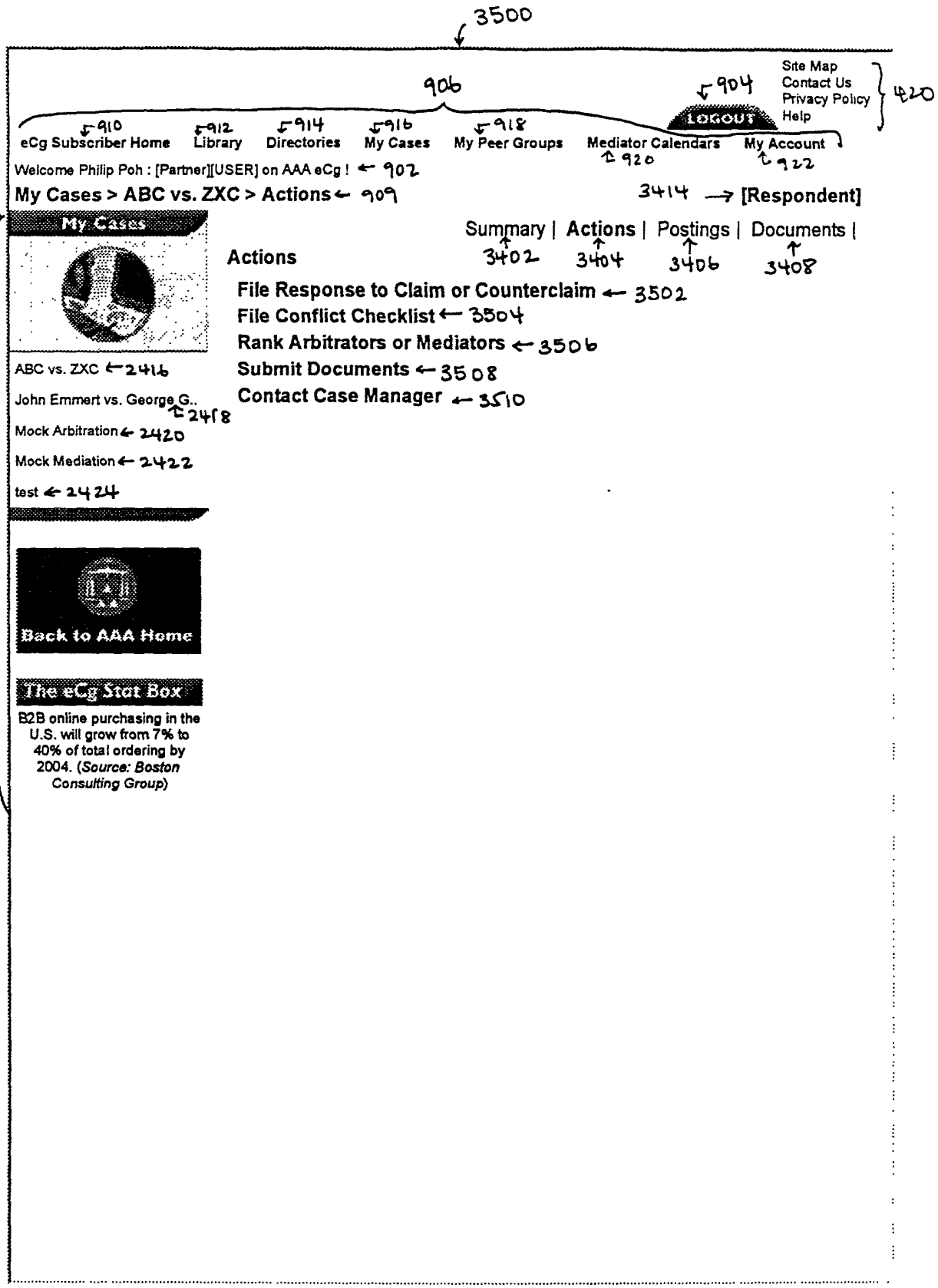

3500
906
904
LOGOUT
Site Map
Contact Us
Privacy Policy
Help
420
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
Mediator Calendars 920
My Account 922
Welcome Philip Poh : [Partner][USER] on AAA eCg ! 902
My Cases > ABC vs. ZXC > Actions 909
3414 [Respondent]
My Cases
ABC vs. ZXC 2416
John Emmert vs. George G.. 2418
Mock Arbitration 2420
Mock Mediation 2422
test 2424
2402
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
Summary | Actions | Postings | Documents |
3402 3404 3406 3408
Actions
File Response to Claim or Counterclaim 3502
File Conflict Checklist 3504
Rank Arbitrators or Mediators 3506
Submit Documents 3508
Contact Case Manager 3510

FIG. 113

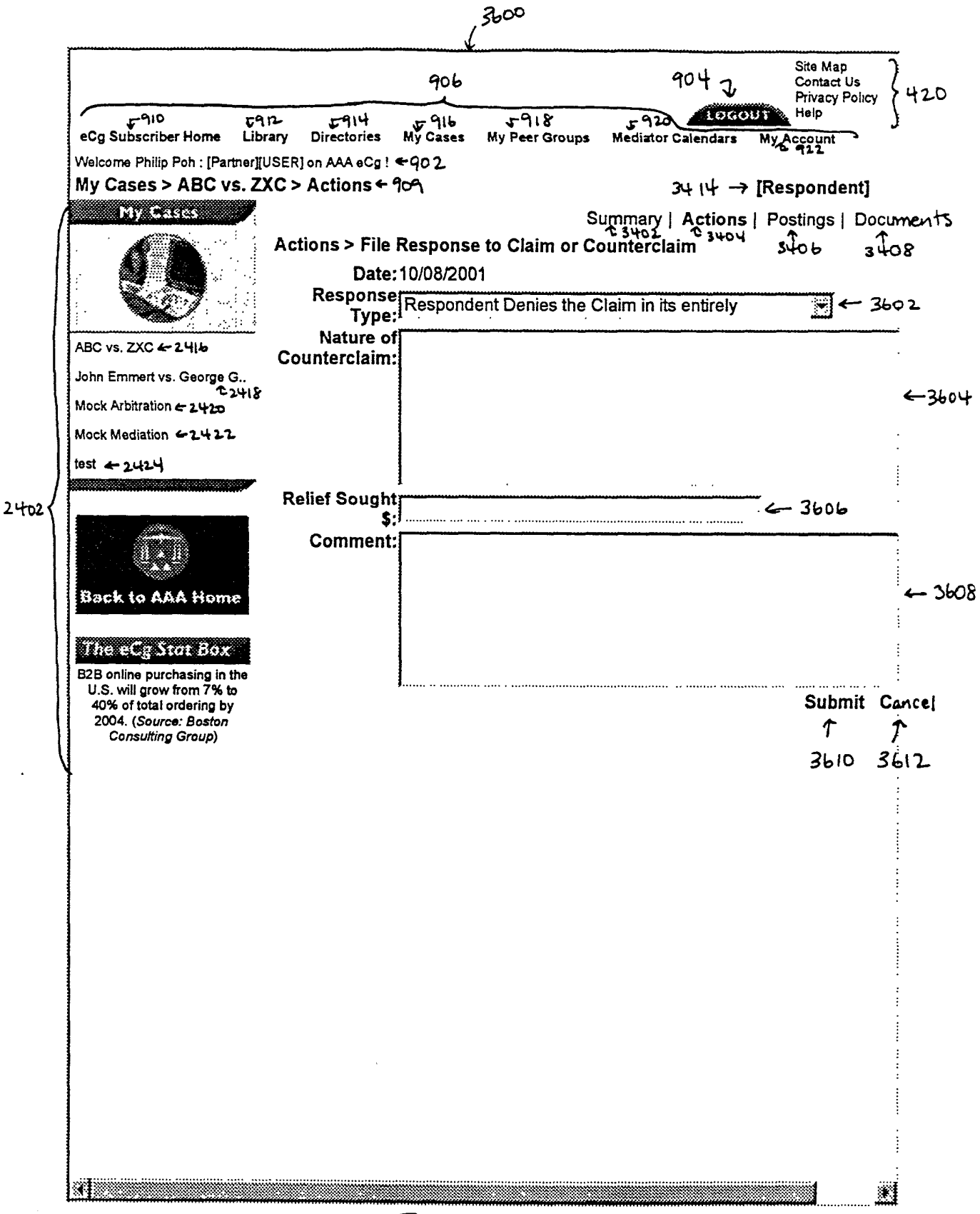

3600
906
904
Site Map
Contact Us
Privacy Policy
Help
420
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
920 Mediator Calendars
LOGOUT
My Account 922
Welcome Philip Poh : [Partner][USER] on AAA eCg ! 902
My Cases > ABC vs. ZXC > Actions 909
3414 → [Respondent]
My Cases
ABC vs. ZXC 2416
John Emmert vs. George G.. 2418
Mock Arbitration 2420
Mock Mediation 2422
test 2424
2402
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
Summary 3402 | Actions 3404 | Postings 3406 | Documents 3408
Actions > File Response to Claim or Counterclaim
Date: 10/08/2001
Response Type: Respondent Denies the Claim in its entirely 3602
Nature of Counterclaim: 3604
Relief Sought $: 3606
Comment: 3608
Submit 3610
Cancel 3612

FIG. 114

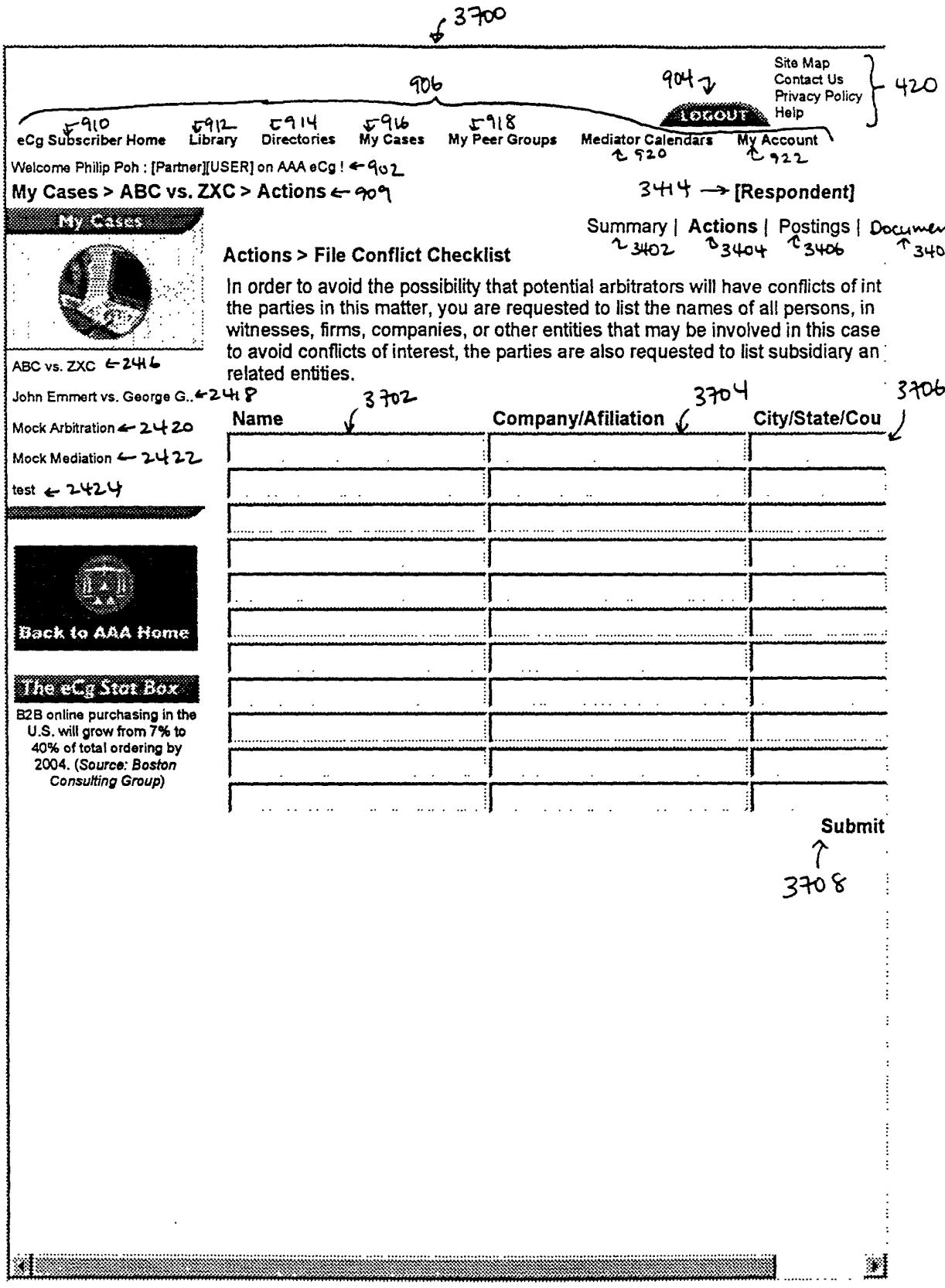

3700
906
904
Site Map
Contact Us
Privacy Policy
Help
420
LOGOUT
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
Mediator Calendars 920
My Account 922
Welcome Philip Poh : [Partner][USER] on AAA eCg ! 902
My Cases > ABC vs. ZXC > Actions 909
3414 → [Respondent]
My Cases
Summary | Actions | Postings | Documents
3402 3404 3406 3408
Actions > File Conflict Checklist
In order to avoid the possibility that potential arbitrators will have conflicts of int
the parties in this matter, you are requested to list the names of all persons, in
witnesses, firms, companies, or other entities that may be involved in this case
to avoid conflicts of interest, the parties are also requested to list subsidiary an
related entities.
ABC vs. ZXC 2416
John Emmert vs. George G.. 2418
Mock Arbitration 2420
Mock Mediation 2422
test 2424
3702
3704
3706
Name
Company/Afiliation
City/State/Cou
Submit
3708
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)

FIG. 115

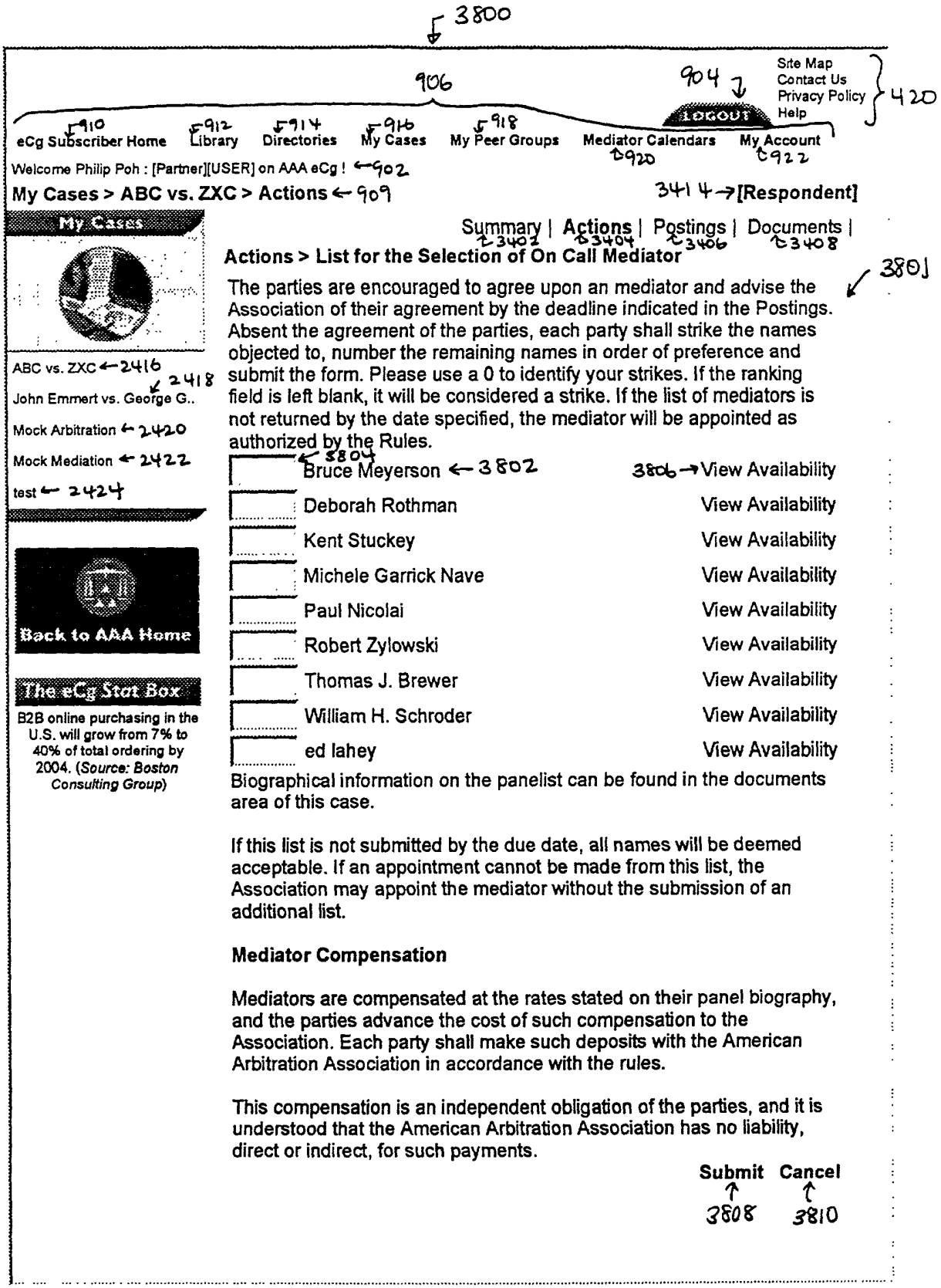

3800
906
904
LOGOUT
Site Map
Contact Us
Privacy Policy
Help
420
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
Mediator Calendars 920
My Account 922
Welcome Philip Poh : [Partner][USER] on AAA eCg ! 902
My Cases > ABC vs. ZXC > Actions 909
3414 [Respondent]
My Cases
ABC vs. ZXC 2416
John Emmert vs. George G.. 2418
Mock Arbitration 2420
Mock Mediation 2422
test 2424
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
Summary | Actions | Postings | Documents |
3402 3404 3406 3408
Actions > List for the Selection of On Call Mediator
3801
The parties are encouraged to agree upon an mediator and advise the Association of their agreement by the deadline indicated in the Postings. Absent the agreement of the parties, each party shall strike the names objected to, number the remaining names in order of preference and submit the form. Please use a 0 to identify your strikes. If the ranking field is left blank, it will be considered a strike. If the list of mediators is not returned by the date specified, the mediator will be appointed as authorized by the Rules.
3804
Bruce Meyerson 3802 3806 View Availability
Deborah Rothman View Availability
Kent Stuckey View Availability
Michele Garrick Nave View Availability
Paul Nicolai View Availability
Robert Zylowski View Availability
Thomas J. Brewer View Availability
William H. Schroder View Availability
ed lahey View Availability
Biographical information on the panelist can be found in the documents area of this case.
If this list is not submitted by the due date, all names will be deemed acceptable. If an appointment cannot be made from this list, the Association may appoint the mediator without the submission of an additional list.
Mediator Compensation
Mediators are compensated at the rates stated on their panel biography, and the parties advance the cost of such compensation to the Association. Each party shall make such deposits with the American Arbitration Association in accordance with the rules.
This compensation is an independent obligation of the parties, and it is understood that the American Arbitration Association has no liability, direct or indirect, for such payments.
Submit 3808
Cancel 3810

FIG. 11b

My Cases

ABC vs. ZXC
John Emmert vs. George G..
Mock Arbitration
Mock Mediation
test

Back to AAA Home

The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | **Actions** | Postings | Documents |

Actions > List for the Selection of On Call Mediator

The parties are encouraged to agree upon an mediator and advise the Association of their agreement by the deadline indicated in the Postings. Absent the agreement of the parties, each party shall strike the names objected to, number the remaining names in order of preference and submit the form. Please use a 0 to identify your strikes. If the ranking field is left blank, it will be considered a strike. If the list of mediators is not returned by the date specified, the mediator will be appointed as authorized by the Rules.

0	Bruce Meyerson	View Availability
0	Deborah Rothman	View Availability
1	Kent Stuckey	View Availability
2	Michele Garrick Nave	View Availability
3	Paul Nicolai	View Availability
7	Robert Zylowski	View Availability
6	Thomas J. Brewer	View Availability
5	William H. Schroder	View Availability
4	ed lahey	View Availability

Biographical information on the panelist can be found in the documents area of this case.

If this list is not submitted by the due date, all names will be deemed acceptable. If an appointment cannot be made from this list, the Association may appoint the mediator without the submission of an additional list.

Mediator Compensation

Mediators are compensated at the rates stated on their panel biography, and the parties advance the cost of such compensation to the Association. Each party shall make such deposits with the American Arbitration Association in accordance with the rules.

This compensation is an independent obligation of the parties, and it is understood that the American Arbitration Association has no liability, direct or indirect, for such payments.

Submit Cancel

FIG. 117

4000

Site Map
Contact Us
Privacy Policy
Help

LOGOUT

eCg Subscriber Home | Library | Directories | My Cases | My Peer Groups | Mediator Calendars | My Account

Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > ABC vs. ZXC > Actions **[Respondent]**

My Cases



ABC vs. ZXC

John Emmert vs. George G..

Mock Arbitration

Mock Mediation

test

Back to AAA Home

The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | **Actions** | Postings | Documents |

Actions > List for the Selection of On Call Mediator

List for the Selection of On Call Mediator Submitted ← 4002

FIG. 118

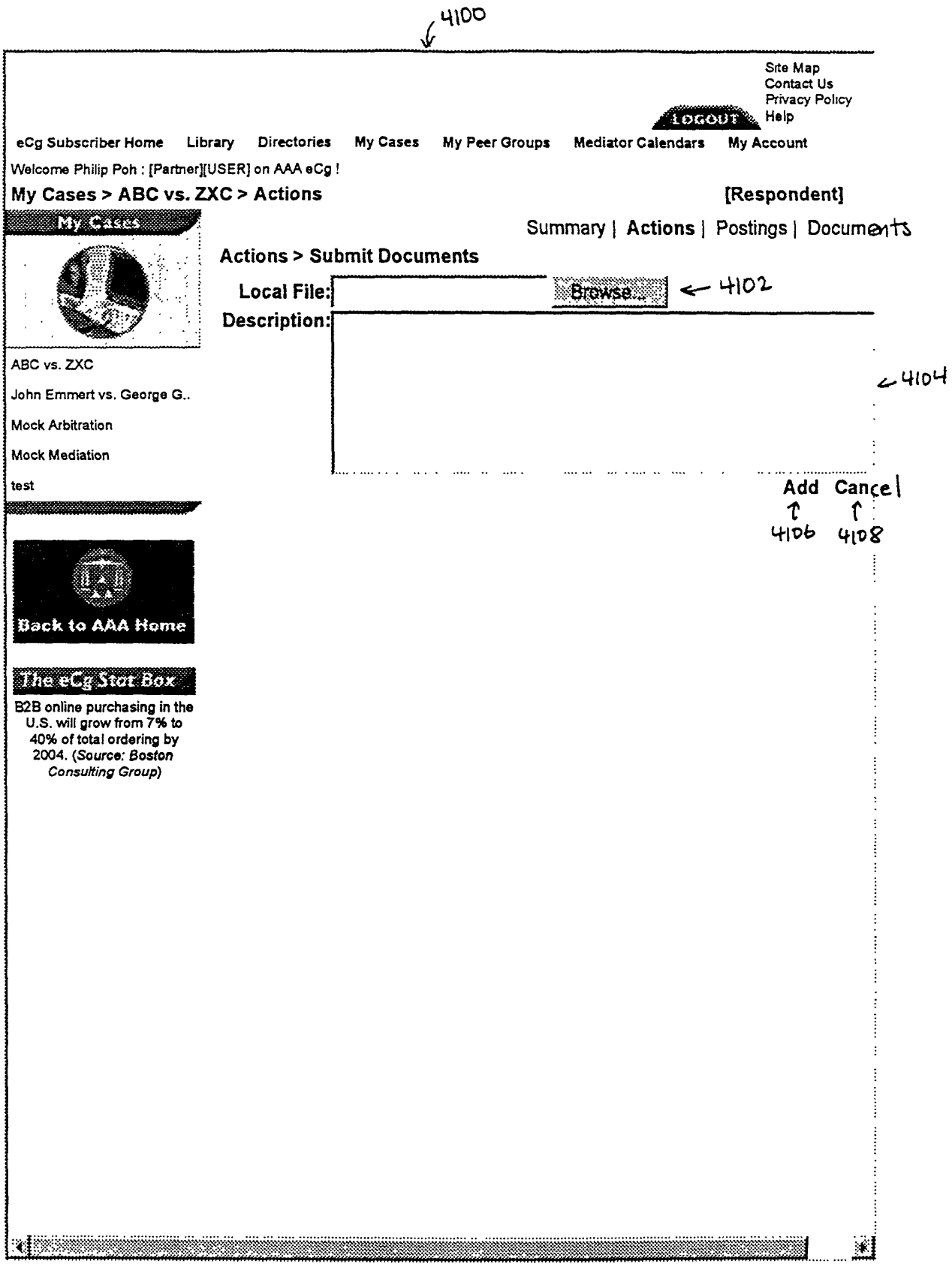

4100
Site Map
Contact Us
Privacy Policy
Help
LOGOUT
eCg Subscriber Home
Library
Directories
My Cases
My Peer Groups
Mediator Calendars
My Account
Welcome Philip Poh : [Partner][USER] on AAA eCg !
My Cases > ABC vs. ZXC > Actions
[Respondent]
My Cases
Summary | Actions | Postings | Documents
Actions > Submit Documents
Local File:
Browse...
4102
Description:
4104
ABC vs. ZXC
John Emmert vs. George G..
Mock Arbitration
Mock Mediation
test
Add
Cancel
4106
4108
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)

FIG. 119

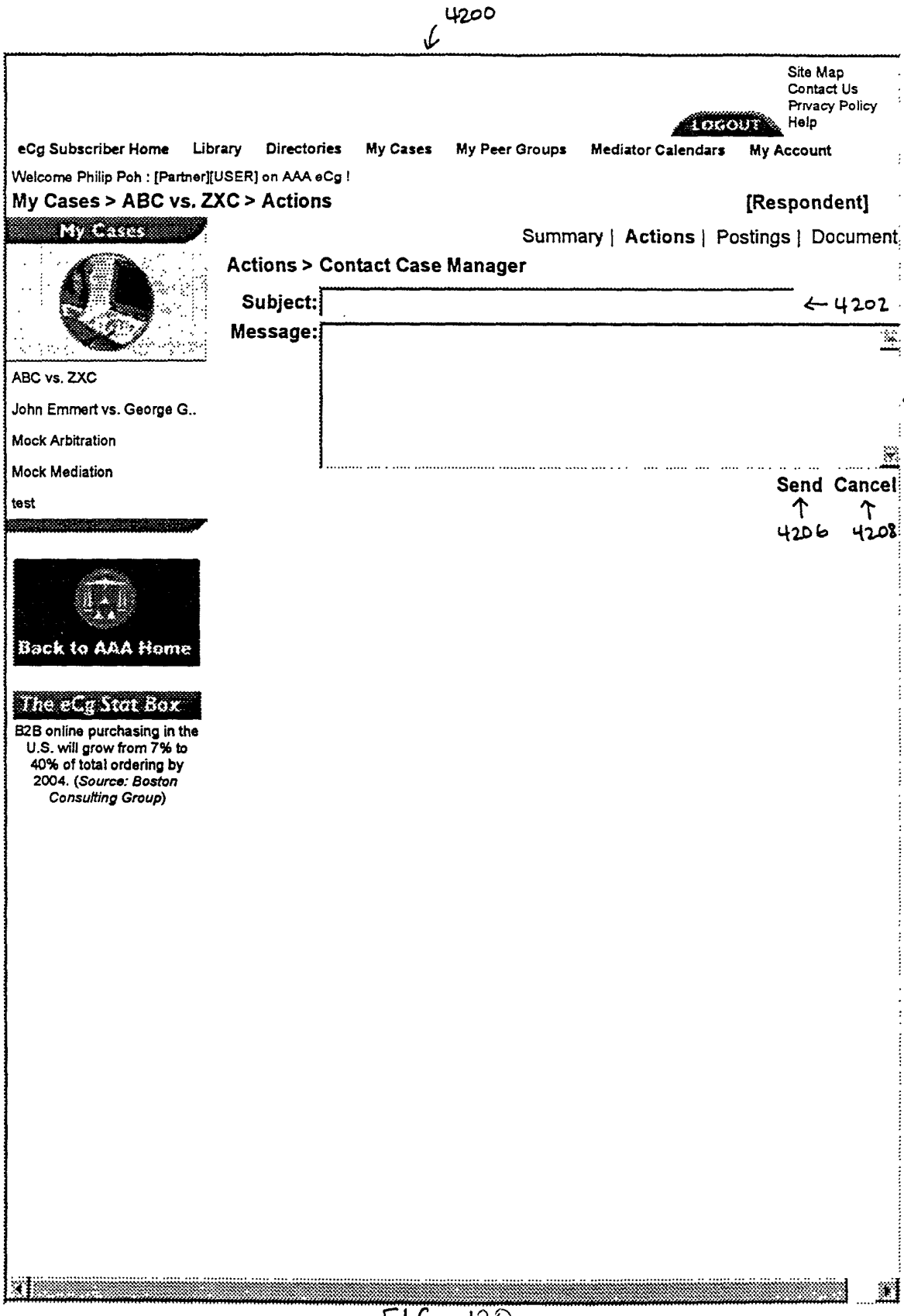

4200
Site Map
Contact Us
Privacy Policy
Help
LOGOUT
eCg Subscriber Home
Library
Directories
My Cases
My Peer Groups
Mediator Calendars
My Account
Welcome Philip Poh : [Partner][USER] on AAA eCg !
My Cases > ABC vs. ZXC > Actions
[Respondent]
My Cases
Summary | Actions | Postings | Document
Actions > Contact Case Manager
Subject:
4202
Message:
4204
ABC vs. ZXC
John Emmert vs. George G..
Mock Arbitration
Mock Mediation
test
Send
Cancel
4206
4208
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)

FIG. 120

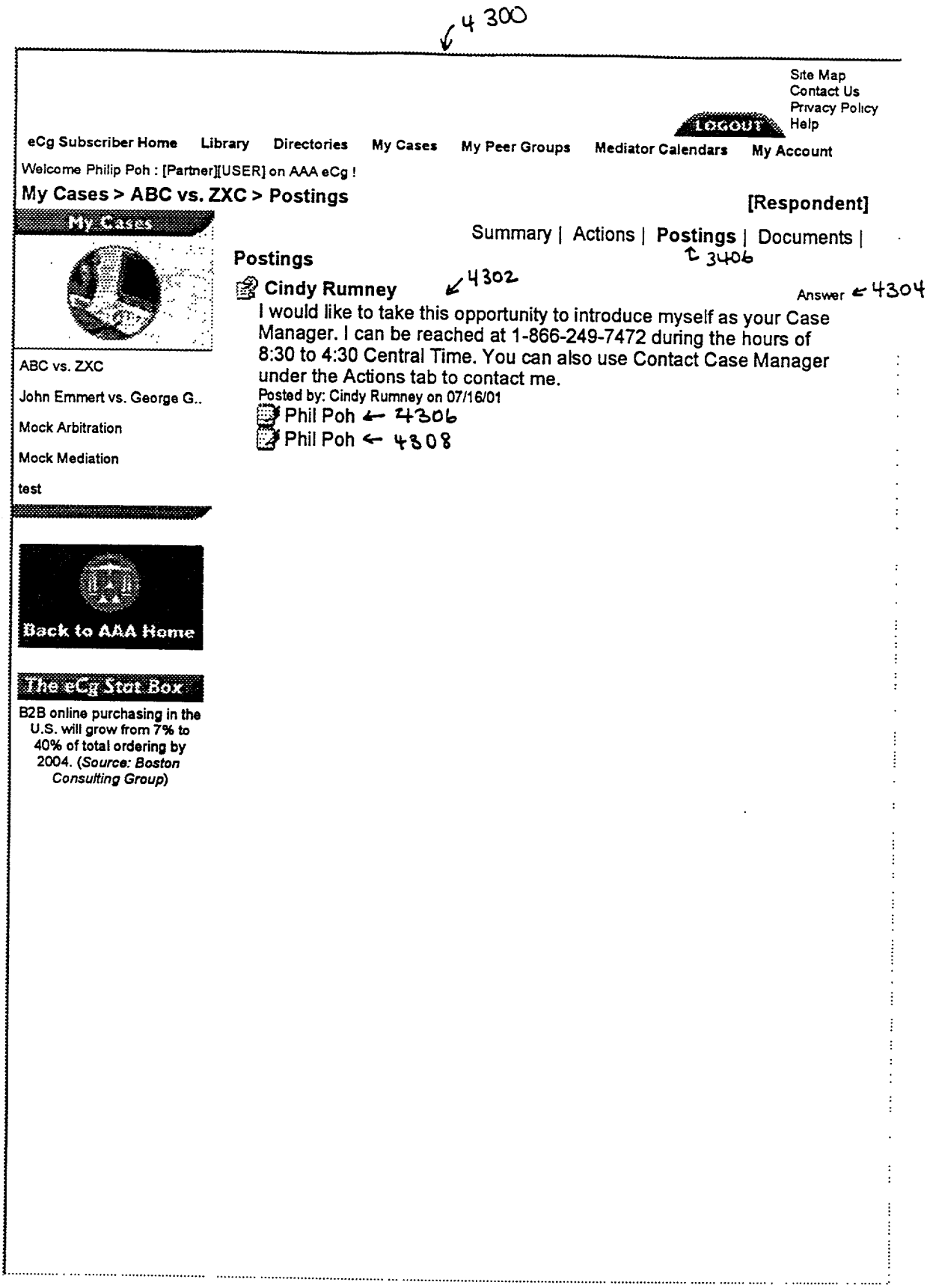

4300
Site Map
Contact Us
Privacy Policy
Help
LOGOUT
eCg Subscriber Home
Library
Directories
My Cases
My Peer Groups
Mediator Calendars
My Account
Welcome Philip Poh : [Partner][USER] on AAA eCg !
My Cases > ABC vs. ZXC > Postings
[Respondent]
My Cases
Summary | Actions | Postings | Documents |
3406
Postings
Cindy Rumney
4302
Answer 4304
I would like to take this opportunity to introduce myself as your Case Manager. I can be reached at 1-866-249-7472 during the hours of 8:30 to 4:30 Central Time. You can also use Contact Case Manager under the Actions tab to contact me.
Posted by: Cindy Rumney on 07/16/01
Phil Poh 4306
Phil Poh 4308
ABC vs. ZXC
John Emmert vs. George G..
Mock Arbitration
Mock Mediation
test
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)

FIG. 121

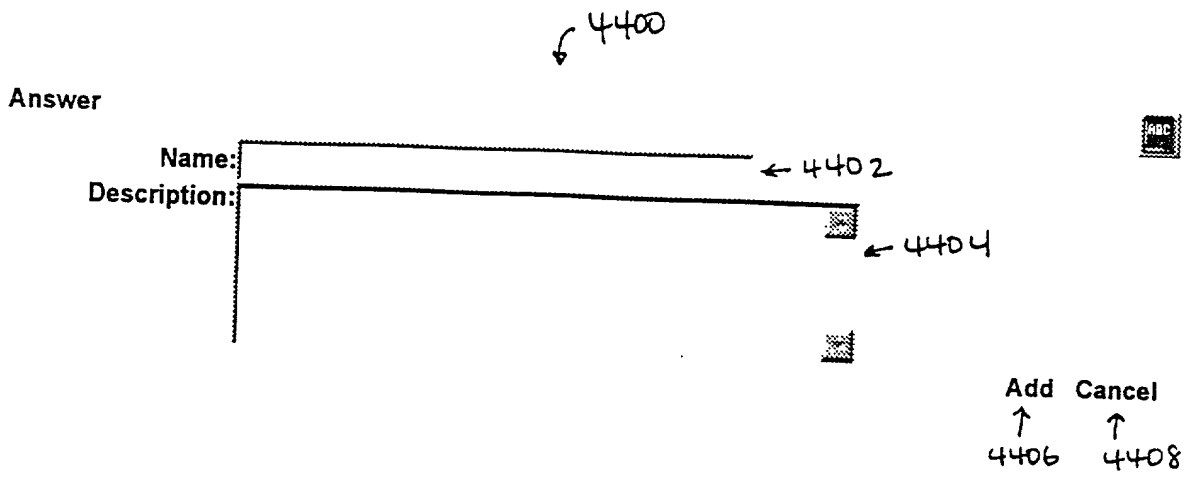

4400
Answer
Name:
Description:
4402
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FIG. 122

My Cases



ABC vs. ZXC

John Emmert vs. George G..

Mock Arbitration

Mock Mediation

test

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B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | Postings | **Documents** | 3408

Documents

List for the Selection of On Call Mediator ← 4502 Case Action: List for the Selection of On Call Mediator M--R Posted by: Philip Poh on 10/08/01	View ← 4504	1KB
Mediator Biographies.doc No Description. M-CR Posted by: Cindy Rumney on 07/16/01	Download ← 4506	25KB
Receipt of Request for On Call Mediation.doc No Description. M-CR Posted by: Cindy Rumney on 07/16/01	Download	37KB
Claim Form File a Claim: Initial Claim Form. MNCR Posted by: Cindy Rumney on 07/16/01	View	1KB

FIG. 123

Form Display > List for the Selection of On Call Mediator

Posted by: Philip Poh [Respondent] on 10/08/01

The parties are encouraged to agree upon an mediator and advise the Association of their agreement by the deadline indicated in the Postings. Absent the agreement of the parties, each party shall strike the names objected to, number the remaining names in order of preference and submit the form. Please use a 0 to identify your strikes. If the ranking field is left blank, it will be considered a strike. If the list of mediators is not returned by the date specified, the mediator will be appointed as authorized by the Rules.

Bruce Meyerson	0
Deborah Rothman	0
Kent Stuckey	1
Michele Garrick Nave	2
Paul Nicolai	3
ed lahey	4
William H. Schroder	5
Thomas J. Brewer	6
Robert Zylowski	7

Biographical information on the panelist can be found in the documents area of this case.

If this list is not submitted by the due date, all names will be deemed acceptable. If an appointment cannot be made from this list, the Association may appoint the mediator without the submission of an additional list.

Mediator Compensation

Mediators are compensated at the rates stated on their panel biography, and the parties advance the cost of such compensation to the Association. Each party shall make such deposits with the American Arbitration Association in accordance with the rules.

This compensation is an independent obligation of the parties, and it is understood that the American Arbitration Association has no liability, direct or indirect, for such payments.

Close

FIG. 124

Paul Peter Nicolai, Esq.
Springfield, MA

Current Employer/Title	Nicolai Law Group, P.C. - President
Profession	Attorney
Work History	President, Nicolai Law Group, P.C., 1990-present; Principal, Nicolai Law Offices, 1988-90; Company Counsel/Staff Counsel/Assistant Clerk, Friendly Ice Cream Corporation, 1976-88.
Experience	Lawyer representing public and private companies and divisions of international companies. Experience includes in-house general counsel of a multistate restaurant company working with the legal department of a Fortune 300 food manufacturer. Actively works in acquisitions; complex contracts; intellectual property transactions, development, protection, and documentation; trademark, copyright, and trade secrets; entity structuring; shareholder and partner relations and disputes; private placements; venture capital; commercial real estate; commercial borrowing; employment; international contracting; Internet and information processing and exchange; arbitration; mediation; civil litigation; antitrust compliance; government contracting; marine services; securities; unfair trade practices; mergers and divestitures; construction law; and professional services, including legal, accounting, credit and credit reporting, insurance, and medical. Clients engaged in heavy machinery; software and high technology development including marine, broadcasting, Internet, telecommunications, data warehousing, and tax assessment and collection; intellectual property based companies including game developers, credit reporting and database companies, and engineering consultants; general contract construction companies; aircraft maintenance; accounting firms; medical professionals; food manufacturers; restaurants; insurance agencies; property management; real estate and securities brokerages; financial advisors; real estate developers; franchisors; utilities service companies; and others.
Alternative Dispute Resolution Experience	Member of AAA Commercial Regional Panel since 1990. Member of Massachusetts Bar Association Fee Arbitration Panel since 1996. Member of Hampden County Bar Association Arbitrator Panel since 1997. Litigated cases before arbitration panels from NASD, AAA, and private panels or arbitrators. Conducted hundreds of mediations and arbitrations as a member of

FIG. 125

PAGE 4 of 5

This will acknowledge receipt of a request for an On Call Mediation, of a controversy arising out of a contract between the above-captioned parties, containing a clause providing for administration by this Association. A copy of our Commercial Dispute Resolution Procedures, as amended and in effect September 1, 2000, may be found in the Library.

Absent a response within 24 hours of this posting, we assume that the parties are in agreement to proceed with administration under the AAA's Model Procedures for On Call Mediation. A copy of these procedures can also be found in the Library.

Please review the Rank Arbitrators or Mediators form under the Actions tab and follow the directions on the form. Neutral biographies can be found under the Documents tab. We encourage the parties to agree to a mediator and advise the Association of your selection within 24 hours of this posting. If the parties are unable to agree upon a mediator, the AAA shall appoint one.

We direct the parties' attention to the Mediator Calendars menu item on the toolbar. The availability of each mediator can be reviewed to assist the parties in selecting a mediator, and scheduling a mediation session by conference call.

Please utilize Contact Case Manager form under the Actions tab to communicate regarding mediator selection and scheduling. The Case Manager is available to assist the parties throughout this process.

We ask both parties to complete the Conflicts Checklist form within 24 hours. The form can also be found under the Actions tab. Please list those witnesses you expect to present, as well as any persons or entities with an interest in these proceedings. This form will have restricted viewing and will only be accessible by the submitting party, the Mediator, and the Case Manager.

Sincerely,
Case Manager

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FIG. 126

Form Display > Claim Form

Does your agreement have a clause providing for administration by the American Arbitration Association?

☑ Yes (Please note that if there is no agreement to use American Arbitration Association services, we will have no authority to proceed with this matter unless the parties agree to submit this case to the AAA)

☐ If not, check here if all parties agree to submit this case to AAA

Does your agreement stipulate the use of the American Arbitration Association *Supplementary Procedures for Online Arbitration*? Click here to review these procedures.

☑ Yes (Please note that if there is no agreement to use American Arbitration Association *Supplementary Procedures for Online Arbitration*, we will not be able to proceed with this claim using an online process unless the parties agree to use the AAA *Supplementary Procedures for Online Arbitration*)

☐ If not, check here if all parties agree to use of the American Arbitration Association *Supplementary Procedures for Online Arbitration*

***Please note that by completing this form and submitting the claim, you have authorized the American Arbitration Association to begin proceedings.**

Resolution Process: On-Call Mediation
Filing Date: 07/16/01

Claimant (Filing Party)

Company: ABC
Representative:
Firm Name: ABC
Address: 5 ABC st.
City/State/ZIP/Country: NY NY 10019 USA
Phone: 212-716-5800
Fax: 212-716-5910
E-mail: abc@abc.com

Respondent 1

Company: ZXC
Representative:
Firm Name: ZXC
Address: ZXC st.
City/State/ZIP/Country: NY NY10019 USA
Phone: 2122321000
Fax: 2122321001
E-mail: zxc@zxc.com

Other

Contract/Transaction ID: 0000
Nature of Dispute: breach of ecommerce contract
Relief Sought: damages in the amount of $2000

FIG. 127

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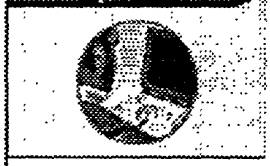
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Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > John Emmert vs. George George > Summary **[Claimant]**

My Cases



ABC vs. ZXC

John Emmert vs. George G..

Mock Arbitration

Mock Mediation

test



The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | Postings | Documents |

Summary

Name: John Emmert vs. George George
Description: consultant dispute on quality of work
Case Type: Documents-Only Arbitration
Current Status: Open
Date Filed: June 14, 2001 12:32PM
Case Manager(s): Cindy Rumney
Your Status: Claimant
Filed by: John Emmert

Participants

Cindy Rumney	AAA	Case Manager
Philip Poh	Partner	Claimant
AAA Guest	AAA	Respondent

FIG. 128

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Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > John Emmert vs. George George > Actions **[Claimant]**

My Cases



ABC vs. ZXC

John Emmert vs. George G..

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The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | **Actions** | Postings | Documents |

Actions

File Conflict Checklist
Rank Arbitrators or Mediators
Submit Documents
Contact Case Manager

FIG. 129

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My Cases > John Emmert vs. George George > Postings **[Claimant]**

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ABC vs. ZXC

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Mock Arbitration

Mock Mediation

test



The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | **Postings** | Documents |

Postings

Cindy Rumney Answer

I would like to take this opportunity to introduce myself as your Case Manager. I can be reached at 1-866-249-7472 during the hours of 8:30 to 4:30 Central Time. You can also use the Contact Case Manager under the Actions tab to contact me.

Posted by: Cindy Rumney on 06/14/01

Cindy Rumney Answer

This will confirm that we did not receive a response from the Respondent. Please review the Rank Arbitrators or Mediators form under the Actions tab and follow the directions on the form. Neutral biographies can be found under the Documents tab. The parties shall submit their preferences within seven (7) days of this posting.

Posted by: Cindy Rumney on 08/06/01

Cindy Rumney Answer

In accordance with Section 20 of the Procedures, the parties shall submit their initial briefs within seven (7) days of this posting. Said briefs may not exceed six thousand words, exclusive of any exhibits.

Posted by: Cindy Rumney on 08/07/01

FIG. 130

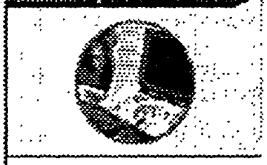
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Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > John Emmert vs. George George > Documents **[Claimant]**

My Cases



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Summary | Actions | Postings | **Documents** |

Documents

List for the Selection of an Arbitrator (Expedited) View
Case Action: List for the Selection of an Arbitrator (Expedited)
M-C- Posted by: John Emmert on 08/10/01 1KB

List for the Selection of an Arbitrator (Expedited) View
Case Action: List for the Selection of an Arbitrator (Expedited)
M-C- Posted by: Philip Poh on 08/06/01 1KB

Arbitrator Bios - Sample.doc Download
No Description.
M-CR Posted by: Cindy Rumney on 08/06/01 62KB

Receipt of a Demand for Arbitration.doc Download
No Description.
M-CR Posted by: Cindy Rumney on 06/14/01 35KB

Claim Form View
File a Claim: Initial Claim Form.
MNCR Posted by: Cindy Rumney on 06/12/01 1KB

FIG. 131

PATENT PENDING

Form Display > List for the Selection of an Arbitrator (Expedited)

Posted by: Philip Poh [Claimant] on 08/06/01

The parties are encouraged to agree upon an arbitrator and advise the Association of their agreement by the deadline indicated in the Postings. Absent the agreement of the parties, each party shall strike **up to two** names objected to, number the remaining names in order of preference and submit the form. Please use a 0 to identify your strikes. If the ranking field is left blank, it will be considered a strike. If the list of arbitrators is not returned by the date specified, the arbitrator will be appointed as authorized by the Rules.

Michele Garrick Nave, Esq.	
Thomas J. Brewer, Esq.	0
Paul Peter Nicolai, Esq.	0
Edward V. Lahey, Esq	1
Richard K. Jeydel, Esq.	2
Biographical information on the panelist can be found in the documents area of this case.	3

If this list is not submitted by the due date, all names will be deemed acceptable. If an appointment cannot be made from this list, the Association may appoint the arbitrator without the submission of an additional list.

Arbitrator Compensation

Arbitrators are compensated at the rates stated on their panel biography, and the parties advance the cost of such compensation to the Association subject to final apportionment in the award. Each party shall make such deposits with the American Arbitration Association in accordance with the rules.

This compensation is an independent obligation of the parties, and it is understood that the American Arbitration Association has no liability, direct or indirect, for such payments.

Close

FIG. 132

Richard K. Jeydel, Esq.
Michele Garrick Nave, Esq.
Thomas J. Brewer, Esq.
Edward V. Lahey, Jr., Esq.
Paul Peter Nicolai, Esq.

PAGE 23/30 * RCVD AT 3/20/2002 3:50:0

Richard K. Jeydel, Esq.
New York, NY

Current Employer/Title	Kanematsu USA Inc. – Senior Vice President, Secretary, General Counsel
Profession	Attorney
Work History	Senior Vice President, Secretary and General Counsel, Kanematsu USA Inc., 1991-present; Vice President/General Counsel/Corporate Counsel, Kanematsu-Gosho USA, Inc., 1976-91; Associate, McCarter & English, 1976-79; Captain, Judge Advocate General Corps, U.S. Army, 1975-76.
eCommerce Experience	Represents the U.S. subsidiary of a major Japanese trading company with a focus on semiconductor, software and other high-tech products. As a member of the AAA commercial and international arbitrator and mediator panels, handled a significant number of cases in these areas, some of which involved eCommerce issues. Experience with clients in their B2B forays.
Other Experience	Practice focuses on corporate, international, and litigation law. Represents a major Japanese trading company with business in nearly every field throughout the world. Work is split between drafting and negotiating contracts (distribution, joint ventures, investment, and construction) and litigation/arbitration/mediation.
Alternative Dispute	Attended AAA Mediator Conference, New Orleans, 3/01; AAA

FIG. 133



This will acknowledge receipt of a Demand for Arbitration, of a controversy arising out of a contract between the above-captioned parties, containing a clause providing for administration by this Association. A copy of our Commercial Dispute Resolution Procedures, as amended and in effect September 1, 2000, may be found in the Library.

Absent a response within seven (7) days of this posting, we assume that the parties are in agreement to proceed with administration under the AAA's Model Procedures for Documents Only Arbitration. A copy of these procedures can also be found in the Library.

Respondent's attention is directed to Section 4 of the Procedures. If Respondent does not answer within seven (7) days, we will assume that the claim is denied. If Respondent wishes to answer and/or counterclaim, complete the appropriate form under the Actions tab.

We ask both parties to complete the Conflicts Checklist form within seven (7) days. The form can be found under the Actions tab. Please list those witnesses you expect to present, as well as any persons or entities with an interest in these proceedings. This form will have restricted viewing and will only be accessible by the submitting party, the Arbitrator, and the Case Manager.

Sincerely,
Case Manager

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FIG 134

Form Display > Claim Form

Does your agreement have a clause providing for administration by the American Arbitration Association?

☐ Yes (Please note that if there is no agreement to use American Arbitration Association services, we will have no authority to proceed with this matter unless the parties agree to submit this case to the AAA)

☐ If not, check here if all parties agree to submit this case to AAA

Does your agreement stipulate the use of the American Arbitration Association *Supplementary Procedures for Online Arbitration*? Click here to review these procedures.

☐ Yes (Please note that if there is no agreement to use American Arbitration Association *Supplementary Procedures for Online Arbitration*, we will not be able to proceed with this claim using an online process unless the parties agree to use the AAA *Supplementary Procedures for Online Arbitration*)

☐ If not, check here if all parties agree to use of the American Arbitration Association *Supplementary Procedures for Online Arbitration*

***Please note that by completing this form and submitting the claim, you have authorized the American Arbitration Association to begin proceedings.**

Resolution Process: Documents-Only Arbitration
Filing Date: 06/12/01

Claimant (Filing Party)

Company: John Emmert
Representative: William K. Slate II
Firm Name: AAA
Address: 335 Madison Ave.
City/State/ZIP/Country: New York, NY 11530
Phone: 212-716-3934
Fax: 212-716-5901
E-mail: emmertj@adr.org

Respondent 1

Company: George George
Representative:
Firm Name: XXX
Address: 123 boll rd
City/State/ZIP/Country: NY,NY,10012
Phone: 212-484-4800
Fax: 212-484-4800
E-mail: george@hotmail.com

Other

Contract/Transaction ID: 123-45-678
Nature of Dispute: consultant dispute on quality of work
Relief Sought: 1000000

FIG. 135

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Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > Mock Arbitration > Summary **[Claimant]**

My Cases



ABC vs. ZXC

John Emmert vs. George G..

Mock Arbitration

Mock Mediation

test



The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | Postings | Documents |

Summary

Name: Mock Arbitration
Description: Parts delivered do not meet specifications as provided in the purchase order.
Case Type: Documents-Only Arbitration
Current Status: Open
Date Filed: June 27, 2001 01:01PM
Case Manager(s): Rob Zylowski Cindy Rumney
Your Status: Claimant
Filed by: Meyerson Manufacturing

Participants

Rob Zylowski	RapidApp	Case Manager
Cindy Rumney	AAA	Case Manager
Philip Poh	Partner	Claimant

FIG. 136

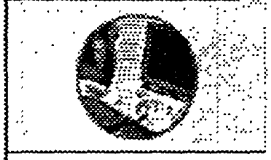
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B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | **Actions** | Postings | Documents |

Actions

File Conflict Checklist
Rank Arbitrators or Mediators
Submit Documents
Contact Case Manager

FIG. 137

My Cases



ABC vs. ZXC

John Emmert vs. George G..

Mock Arbitration

Mock Mediation

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The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Actions > List for the Selection of an Arbitrator (Expedited)

The parties are encouraged to agree upon an arbitrator and advise the Association of their agreement by the deadline indicated in the Postings. Absent the agreement of the parties, each party shall strike **up to two** names objected to, number the remaining names in order of preference and submit the form. Please use a 0 to identify your strikes. If the ranking field is left blank, it will be considered a strike. If the list of arbitrators is not returned by the date specified, the arbitrator will be appointed as authorized by the Rules.

[] Ed Lahey, Esq.

[] Jonathan Bain, Esq.

[] Paul Nicolai, Esq.

[] Thomas J. Brewer, Esq.

[] William Welch, Esq.

Biographical information on the panelist can be found in the documents area of this case.

If this list is not submitted by the due date, all names will be deemed acceptable. If an appointment cannot be made from this list, the Association may appoint the arbitrator without the submission of an additional list.

Arbitrator Compensation

Arbitrators are compensated at the rates stated on their panel biography, and the parties advance the cost of such compensation to the Association subject to final apportionment in the award. Each party shall make such deposits with the American Arbitration Association in accordance with the rules.

This compensation is an independent obligation of the parties, and it is understood that the American Arbitration Association has no liability, direct or indirect, for such payments.

Submit Cancel

FIG. 138

My Cases

ABC vs. ZXC

John Emmert vs. George G..

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Mock Mediation

test

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The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Actions > List for the Selection of an Arbitrator (Expedited)

The parties are encouraged to agree upon an arbitrator and advise the Association of their agreement by the deadline indicated in the Postings. Absent the agreement of the parties, each party shall strike **up to two** names objected to, number the remaining names in order of preference and submit the form. Please use a 0 to identify your strikes. If the ranking field is left blank, it will be considered a strike. If the list of arbitrators is not returned by the date specified, the arbitrator will be appointed as authorized by the Rules.

0	Ed Lahey, Esq.
1	Jonathan Bain, Esq.
2	Paul Nicolai, Esq.
3	Thomas J. Brewer, Esq.
0	William Welch, Esq.

Biographical information on the panelist can be found in the documents area of this case.

If this list is not submitted by the due date, all names will be deemed acceptable. If an appointment cannot be made from this list, the Association may appoint the arbitrator without the submission of an additional list.

Arbitrator Compensation

Arbitrators are compensated at the rates stated on their panel biography, and the parties advance the cost of such compensation to the Association subject to final apportionment in the award. Each party shall make such deposits with the American Arbitration Association in accordance with the rules.

This compensation is an independent obligation of the parties, and it is understood that the American Arbitration Association has no liability, direct or indirect, for such payments.

Submit **Cancel**

FIG. 139

My Cases

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Mock Mediation

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B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | **Postings** | Documents |

Postings

Cindy Rumney Answer

I would like to take this opportunity to introduce myself as your Case Manager. I can be reached at 1-866-249-7472 during the hours of 8:30 to 4:30 Central Time. You can also use Contact Case Manager under the Actions tab to contact me.

Posted by: Cindy Rumney on 06/27/01

Cindy Rumney Answer

This will confirm that we did not receive a response from the Respondent. Please review the Rank Arbitrators or Mediators form under the Actions tab and follow the directions on the form. Neutral biographies can be found under the Documents tab. The parties shall submit their preferences within one day of this posting.

Posted by: Cindy Rumney on 06/29/01

Bruce Meyerson

Cindy Rumney Answer

In accordance with Section 20 of the Procedures, the parties shall submit their initial briefs within one day of this posting. Said briefs may not exceed six thousand words, exclusive of any exhibits. Each party shall also submit $X,XXX.XX for estimated arbitrator compensation within one day of this posting.

Posted by: Cindy Rumney on 06/29/01

Cindy Rumney Answer

In accordance with Section 20 of the Procedures, the parties shall submit their reply briefs within one day of this posting. Said briefs may not exceed three thousand words, exclusive of any exhibits. The reply brief and any exhibits may not raise any new arguments and/or evidence.

Posted by: Cindy Rumney on 07/03/01

FIG. 140

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My Cases > Mock Arbitration > Postings **[Claimant]**

My Cases



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Mock Arbitration

Mock Mediation

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B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | **Postings** | Documents |

Postings > Cindy Rumney

Cindy Rumney Answer

This will confirm that we did not receive a response from the Respondent. Please review the Rank Arbitrators or Mediators form under the Actions tab and follow the directions on the form. Neutral biographies can be found under the Documents tab. The parties shall submit their preferences within one day of this posting.

Posted by: Cindy Rumney on 06/29/01

Bruce Meyerson

Any of the listed arbitrators are acceptable.

Posted by Bruce Meyerson on 07/01/01

FIG. 141

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Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > Mock Arbitration > Documents **[Claimant]**

My Cases



ABC vs. ZXC

John Emmert vs. George G..

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B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | Postings | **Documents** |

Documents

List for the Selection of an Arbitrator (Expedited) View
Case Action: List for the Selection of an Arbitrator (Expedited)
M-C- Posted by: Philip Poh on 08/07/01 1KB

AAA Document.doc Download
Here is the document I am sending in this arbitration. Bruce Meyerson.
M-C- Posted by: Bruce Meyerson on 07/04/01 26KB

Response to Claim or Counterclaim View
Case Action:Response to Claim or Counterclaim
MNCR Posted by: Michele Garrick Nave on 07/03/01 1KB

Response to Claim or Counterclaim View
Case Action:Response to Claim or Counterclaim
MNCR Posted by: Michele Garrick Nave on 07/03/01 1KB

Notice of Appointment and Oath of the Arbitrator View
Case Action:Notice of Appointment and Oath of the Arbitrator
MNCR Posted by: Paul Nicolai on 07/03/01 1KB

List for the Selection of an Arbitrator (Expedited) View
Case Action: List for the Selection of an Arbitrator (Expedited)
M-C- Posted by: Bruce Meyerson on 07/02/01 1KB

Response to Claim or Counterclaim View
Case Action:Response to Claim or Counterclaim
MNCR Posted by: Michele Garrick Nave on 06/30/01 1KB

Response to Claim or Counterclaim View
Case Action:Response to Claim or Counterclaim
MNCR Posted by: Michele Garrick Nave on 06/30/01 1KB

Arbitrator Biographies.doc Download
No Description.
M-CR Posted by: Cindy Rumney on 06/29/01 26KB

Contact Case Manager View
Case Action:Contact Case Manager:Case Status
M-C- Posted by: Bruce Meyerson on 06/27/01 1KB

Receipt of a Demand for Arbitration.doc Download
No Description.
MNCR Posted by: Cindy Rumney on 06/27/01 35KB

Claim Form View
File a Claim: Initial Claim Form.
MNCR Posted by: Cindy Rumney on 06/27/01 1KB

FIG. 142

TODAY'S DATE

Form Display > List for the Selection of an Arbitrator (Expedited)

Posted by: Bruce Meyerson [Claimant] on 07/02/01

The parties are encouraged to agree upon an arbitrator and advise the Association of their agreement by the deadline indicated in the Postings. Absent the agreement of the parties, each party shall strike up to two names objected to, number the remaining names in order of preference and submit the form. Please use a 0 to identify your strikes. If the ranking field is left blank, it will be considered a strike. If the list of arbitrators is not returned by the date specified, the arbitrator will be appointed as authorized by the Rules.

Ed Lahey, Esq.	0
Jonathan Bain, Esq.	0
Paul Nicolai, Esq.	1
Thomas J. Brewer, Esq.	2
William Welch, Esq.	3

Biographical information on the panelist can be found in the documents area of this case.

If this list is not submitted by the due date, all names will be deemed acceptable. If an appointment cannot be made from this list, the Association may appoint the arbitrator without the submission of an additional list.

Arbitrator Compensation

Arbitrators are compensated at the rates stated on their panel biography, and the parties advance the cost of such compensation to the Association subject to final apportionment in the award. Each party shall make such deposits with the American Arbitration Association in accordance with the rules.

This compensation is an independent obligation of the parties, and it is understood that the American Arbitration Association has no liability, direct or indirect, for such payments.

Close

FIG. 143

Form Display > Response to Claim or Counterclaim

Date: 08/07/2001
Response Type: Respondent has a counterclaim
Nature of Counterclaim: Failure to pay bills in the amount of $1,000,000.00 which are overdue by 180 days.
Relief Sought $: $1,000,000.00
Comment:

FIG. 144

Form Display > Response to Claim or Counterclaim

Date: 08/07/2001
Response Type: Respondent Denies the Claim in its entirely
Nature of Counterclaim:
Relief Sought $:
Comment:

FIG. 14F

REDACTED

Form Display > Notice of Appointment and Oath of the Arbitrator

To: Paul Nicolai

You have been selected to be the arbitrator in the above case. If you are able to accept this responsibility, please complete and post this document.

It is most important that the parties have complete confidence in the arbitrator's impartiality. Therefore, please disclose any past or present relationship with the witnesses, parties, or their counsel, direct or indirect, whether financial, professional, social or of any other kind. If any relationship arises during the course of the arbitration or if there is any change at any time to the biographical information that you have provided to the AAA, it must also be disclosed. Any doubt should be resolved in favor of disclosure. If you are aware of such a relationship, please describe it below.

◉ I have nothing to disclose.

○ I hereby disclose the following:

☑ Paul Nicolai, being duly sworn, hereby accepts this appointment, attests that the panel biography provided by the American Arbitration Association is accurate and complete, and will faithfully and fairly hear and decide the matters in controversy between the parties, in accordance with their arbitration agreement, the Code of Ethics of Arbitrators in Commercial Disputes, and the rules of the American Arbitration Association, and will make an award according to the best of the arbitrator's understanding.

Dated: 07/03/01

Close

FIG. 146

MR. NICOLAI WILL BE THE ARBITRATOR FOR THE MOCK ARBITRATION CASE

The list can be found under the Actions tab – on a formal all bios would be provided to the parties.

Paul Peter Nicolai, Esq.
Springfield, MA

Current Employer/Title	Nicolai Law Group, P.C. - President
Profession	Attorney
Work History	President, Nicolai Law Group, P.C., 1990-present; Principal, Nicolai Law Offices, 1988-90; Company Counsel/Staff Counsel/Assistant Clerk, Friendly Ice Cream Corporation, 1976-88.
Experience	Lawyer representing public and private companies and divisions of international companies. Experience includes in-house general counsel of a multistate restaurant company working with the legal department of a Fortune 300 food manufacturer. Actively works in acquisitions; complex contracts; intellectual property transactions, development, protection, and documentation; trademark, copyright, and trade secrets; entity structuring; shareholder and partner relations and disputes; private placements; venture capital; commercial real estate; commercial borrowing; employment; international contracting; Internet and information processing and exchange; arbitration; mediation; civil litigation; antitrust compliance; government contracting; marine services; securities; unfair trade practices; mergers and divestitures; construction law; and professional services, including legal, accounting, credit and credit reporting, insurance, and medical. Clients engaged in heavy machinery; software and high technology development including marine, broadcasting, Internet, telecommunications, data warehousing, and tax assessment and collection; intellectual property based companies including game developers, credit reporting and database companies, and engineering consultants; general contract construction companies; aircraft maintenance; accounting firms; medical professionals; food manufacturers; restaurants; insurance agencies; property management; real estate and securities brokerages; financial advisors; real estate developers; franchisors; utilities service companies; and others.

FIG. 147

PATENT - UNMARKED

Form Display > Contact Case Manager

From: Bruce Meyerson <bmeyerson@qwest.net>
Date: 06/27/01
Subject: Case Status

Thank you Ms. Rumney. Once the Respondent has filed its Answer, please let me know. When might I expect the hearing to be held. Bruce Meyerson.

Close

FIG. 148



This will acknowledge receipt of a Demand for Arbitration, of a controversy arising out of a contract between the above-captioned parties, containing a clause providing for administration by this Association. A copy of our Commercial Dispute Resolution Procedures, as amended and in effect September 1, 2000, may be found in the Library.

Absent a response within seven (7) days of this posting, we assume that the parties are in agreement to proceed with administration under the AAA's Model Procedures for Documents Only Arbitration. A copy of these procedures can also be found in the Library.

Respondent's attention is directed to Section 4 of the Procedures. If Respondent does not answer within seven (7) days, we will assume that the claim is denied. If Respondent wishes to answer and/or counterclaim, complete the appropriate form under the Actions tab.

We ask both parties to complete the Conflicts Checklist form within seven (7) days. The form can be found under the Actions tab. Please list those witnesses you expect to present, as well as any persons or entities with an interest in these proceedings. This form will have restricted viewing and will only be accessible by the submitting party, the Arbitrator, and the Case Manager.

Sincerely,
Case Manager

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FIG 149

Form Display > Claim Form

Does your agreement have a clause providing for administration by the American Arbitration Association?

☐ Yes (Please note that if there is no agreement to use American Arbitration Association services, we will have no authority to proceed with this matter unless the parties agree to submit this case to the AAA)

☐ If not, check here if all parties agree to submit this case to AAA

Does your agreement stipulate the use of the American Arbitration Association *Supplementary Procedures for Online Arbitration*?
Click here to review these procedures.

☐ Yes (Please note that if there is no agreement to use American Arbitration Association *Supplementary Procedures for Online Arbitration*, we will not be able to proceed with this claim using an online process unless the parties agree to use the AAA *Supplementary Procedures for Online Arbitration*)

☐ If not, check here if all parties agree to use of the American Arbitration Association *Supplementary Procedures for Online Arbitration*

***Please note that by completing this form and submitting the claim, you have authorized the American Arbitration Association to begin proceedings.**

Resolution Process: Documents-Only Arbitration
Filing Date: 06/27/01

Claimant (Filing Party)

Company: Meyerson Manufacturing
Representative: Bruce E. Meyerson, Esq.
Firm Name: Bruce E. Meyerson, PLLC
Address: 5225 N. Central Avenue, Suite 235
City/State/ZIP/Country: Phoenix, AZ 85012
Phone: 602-277-4585
Fax: 602-248-2999
E-mail: bmeyerson@qwest.net

Respondent 1

Company: MGN Suppliers
Representative: Michelle Garrick-Nave, Esq.
Firm Name: Attorney at Law
Address: 3374 Rumson Road
Cleveland Heights, OH 44118

FIG. 150

My Cases > Mock Mediation > Summary **[Case Manager]**

My Cases



ABC vs. ZXC
John Emmert vs. George G..
Mock Arbitration
Mock Mediation
test

Back to AAA Home

The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | Postings | Documents |

Summary

Edit

Name: Mock Mediation
Description: Failure to pay for first shipment of goods, second delivery scheduled in two weeks.
Case Type: On-Call Mediation
Current Status: Open
Date Filed: June 27, 2001 12:56PM
Case Manager(s): Rob Zylowski Cindy Rumney Philip Poh
Your Status: Case Manager
Filed by: MGN Suppliers

Participants

Add | Edit

Rob Zylowski	RapidApp	Case Manager
Cindy Rumney	AAA	Case Manager
Philip Poh	Partner	Case Manager

FIG. 151

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eCg Subscriber Home | Library | Directories | My Cases | My Peer Groups | Mediator Calendars | My Account

Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > Mock Mediation > Actions **[Case Manager]**

Summary | **Actions** | Postings | Documents |

Actions

My Cases



ABC vs. ZXC

John Emmert vs. George G..

Mock Arbitration

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The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

FIG. 152

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eCg Subscriber Home | Library | Directories | My Cases | My Peer Groups | Mediator Calendars | My Account

Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > Mock Mediation > Postings **[Case Manager]**

Summary | Actions | **Postings** | Documents |

My Cases



ABC vs. ZXC

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Mock Arbitration

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test



The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Postings

Add Topic

Cindy Rumney Answer | Edit | Delete

I would like to take this opportunity to introduce myself as your Case Manager. I can be reached at 1-866-249-7472 during the hours of 8:30 to 4:30 Central Time. You can also use Contact Case Manager under the Actions tab to contact me.

Posted by: Cindy Rumney on 06/27/01

Cindy Rumney Answer | Edit | Delete

The Association has appointed Paul Nicolai to serve as the mediator. Please review the Mediator Calendars to view Mr. Nicolai's availability and Answer this posting with your preference for scheduling the on-call mediation session.

Posted by: Cindy Rumney on 07/03/01

FIG. 153

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eCg Subscriber Home | Library | Directories | My Cases | My Peer Groups | Mediator Calendars | My Account

Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > Mock Mediation > Documents **[Case Manager]**

My Cases



ABC vs. ZXC

John Emmert vs. George G..

Mock Arbitration

Mock Mediation

test



The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | Postings | **Documents** |

Documents

Add Document | Add Bookmark

Contact Case Manager View | Edit | Delete
Case Action:Contact Case Manager:LOGIN SCREEN
MN-- Posted by: Paul Nicolai on 07/03/01 1KB

Contact Case Manager View | Edit | Delete
Case Action:Contact Case Manager:MY CASES LIST
MN-- Posted by: Paul Nicolai on 07/03/01 1KB

Contact Case Manager View | Edit | Delete
Case Action:Contact Case Manager:Mock Mediation Claim Form
MN-- Posted by: Paul Nicolai on 07/03/01 1KB

Contact Case Manager View | Edit | Delete
Case Action:Contact Case Manager:Postings Function
MN-- Posted by: Paul Nicolai on 07/03/01 1KB

Notice of Appointment and Oath of the Mediator View | Edit | Delete
Case Action:Notice of Appointment and Oath of the Mediator
MNCR Posted by: Paul Nicolai on 07/03/01 1KB

Invitation to Mediator for Appointment.doc Download | Edit | Delete
No Description.
MN-- Posted by: Cindy Rumney on 07/03/01 36KB

Response to Claim or Counterclaim View | Edit | Delete
Case Action:Response to Claim or Counterclaim
MNCR Posted by: Bruce Meyerson on 06/28/01 1KB

Mediator Biographies.doc Download | Edit | Delete
No Description.
M-CR Posted by: Cindy Rumney on 06/27/01 26KB

Receipt of Request for On Call Mediation.doc Download | Edit | Delete
No Description.
M-CR Posted by: Cindy Rumney on 06/27/01 37KB

Claim Form View | Edit | Delete
File a Claim: Initial Claim Form.
MNCR Posted by: Cindy Rumney on 06/27/01 1KB

FIG. 154

PATENT - marked

Form Display > Notice of Appointment and Oath of the Mediator

To: Paul Nicolai

You have been selected to be the mediator in the above case. If you are able to accept this responsibility, please complete and post this document.

It is most important that the parties have complete confidence in the mediator's impartiality. Therefore, please disclose any past or present relationship with the witnesses, parties, or their counsel, direct or indirect, whether financial, professional, social or of any other kind. If any relationship arises during the course of the mediation or if there is any change at any time to the biographical information that you have provided to the AAA, it must also be disclosed. Any doubt should be resolved in favor of disclosure. If you are aware of such a relationship, please describe it below.

◉ I have nothing to disclose.

○ I hereby disclose the following:

☑ Paul Nicolai, being duly sworn, hereby accepts this appointment, attests that the panel biography provided by the American Arbitration Association is accurate and complete, and will faithfully and fairly work to settle the matters in controversy between the parties, in accordance with their mediation agreement, the Model Standards of Conduct for Mediators, and the rules of the American Arbitration Association.

Dated: 07/03/01

Close

FIG. 155



The parties to this matter have asked that we contact you to determine your availability to serve as mediator. This case has been filed in accordance with the AAA's Model Procedures for On Call Mediation. A copy of these procedures can be found in the Library.

The parties have agreed to schedule a mediation session on _____ at _____ by conference call. The Mediator Calendar has been modified to reflect this scheduling.

Should you desire pre-mediation position paper, please outline your requirements in a posting to the parties under the Postings tab.

Please review the following information that may be found under the Documents tab: Claim Form, Response to Claim or Counterclaim (if any), and any Conflict Checklists submitted by the parties.

We ask that you complete and submit the Notice of Appointment, including any disclosures you may have, within one day. This form can be found under the Actions tab.

Should you decline appointment, please respond through the Contact Case Manager form that can also be found under the Actions tab.

Sincerely,
Case Manager

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FIG. 15b

Form Display > Response to Claim or Counterclaim

Date: 08/07/2001
Response Type: Respondent Denies the Claim in its entirely
Nature of Counterclaim: No counterclaim
Relief Sought $:
Comment: Please notify me when a mediator is appointed. Will I get list to choose from?

FIG. 157



This will acknowledge receipt of a request for an On Call Mediation, of a controversy arising out of, a contract between the above-captioned parties, containing a clause providing for administration by this Association. A copy of our Commercial Dispute Resolution Procedures, as amended and in effect September 1, 2000, may be found in the Library.

Absent a response within 24 hours of this posting, we assume that the parties are in agreement to proceed with administration under the AAA's Model Procedures for On Call Mediation. A copy of these procedures can also be found in the Library.

Please review the Rank Arbitrators or Mediators form under the Actions tab and follow the directions on the form. Neutral biographies can be found under the Documents tab. We encourage the parties to agree to a mediator and advise the Association of your selection within 24 hours of this posting. If the parties are unable to agree upon a mediator, the AAA shall appoint one.

We direct the parties' attention to the Mediator Calendars menu item on the toolbar. The availability of each mediator can be reviewed to assist the parties in selecting a mediator, and scheduling a mediation session by conference call.

Please utilize Contact Case Manager form under the Actions tab to communicate regarding mediator selection and scheduling. The Case Manager is available to assist the parties throughout this process.

We ask both parties to complete the Conflicts Checklist form within 24 hours. The form can also be found under the Actions tab. Please list those witnesses you expect to present, as well as any persons or entities with an interest in these proceedings. This form will have restricted viewing and will only be accessible by the submitting party, the Mediator, and the Case Manager.

Sincerely,
Case Manager

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FIG. 158

EXHIBIT 15

Form Display > Claim Form

Does your agreement have a clause providing for administration by the American Arbitration Association?

☐ Yes (Please note that if there is no agreement to use American Arbitration Association services, we will have no authority to proceed with this matter unless the parties agree to submit this case to the AAA)

☐ If not, check here if all parties agree to submit this case to AAA

Does your agreement stipulate the use of the American Arbitration Association *Supplementary Procedures for Online Arbitration*?
Click here to review these procedures.

☐ Yes (Please note that if there is no agreement to use American Arbitration Association *Supplementary Procedures for Online Arbitration*, we will not be able to proceed with this claim using an online process unless the parties agree to use the AAA *Supplementary Procedures for Online Arbitration*)

☐ If not, check here if all parties agree to use of the American Arbitration Association *Supplementary Procedures for Online Arbitration*

***Please note that by completing this form and submitting the claim, you have authorized the American Arbitration Association to begin proceedings.**

Resolution Process: On-Call Mediation
Filing Date: 06/27/01

Claimant (Filing Party)

Company: MGN Suppliers
Representative: Michelle Garrick-Nave
Firm Name: Attorney at Law
Address: 3374 Rumson Road
City/State/ZIP/Country: Cleveland Heights, OH 44118
Phone: 216-397-0420
Fax: 216-397-0933
E-mail: mgarricknave@att.net

Respondent 1

Company: Meyerson Manufacturing
Representative: Bruce E. Meyerson, Esq.
Firm Name: Bruce E. Meyerson, PLLC
Address: 5225 N. Central Avenue, Suite 235
Phoenix, AZ 85012

FIG. 15A

Powered by eFax.com

City/State/ZIP/Country:
Phone: 602-277-4585
Fax: 602-248-2999
E-mail: bmeyerson@qwest.net

Contract/Transaction ID: 2000-00001
Nature of Dispute: Failure to pay for first shipment of goods, second delivery scheduled in two weeks.
Relief Sought: $23,000.00

Other

Payment Informations

○ Payment by Credit Card:
Credit Card Type: ◉ Visa ○ MC ○ Amex
Cardholder:
Card Number:
Expiration Date: /
Initial Fee:

◉ Payment by Check:

☑ Indicate here to confirm that a check for the filing fee made payable to the American Arbitration Association was mailed on to:

American Arbitration Association
eCommerce group
13455 Noel Road
Suite 1750
Dallas, TX 75240-6620

FIG. 160

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Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > test > Summary **[Neutral]**

My Cases



ABC vs. ZXC

John Emmert vs. George G..

Mock Arbitration

Mock Mediation

test

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The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | Postings | Documents |

Summary

Name: test
Description: Failure to deliver goods as defined by the terms of the transaction.
Case Type: Documents-Only Arbitration
Current Status: Closed
Date Filed: May 25, 2001 10:02AM
Case Manager(s): Rob Zylowski Cindy Rumney Cindy Rumney, Esq. Molly Bargenquest
Your Status: Neutral
Filed by: ABC Corporation

Participants

Cindy Rumney	AAA	Case Manager
Cindy Rumney, Esq.	AAA	Case Manager
Robert Zylowski	RapidApp	Neutral
Philip Poh	Partner	Neutral
AAA Guest	AAA	Respondent

FIG. 161

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Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > test > Actions **[Neutral]**

My Cases



ABC vs. ZXC

John Emmert vs. George G..

Mock Arbitration

Mock Mediation

test



The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | **Actions** | Postings | Documents |

Actions

File Notice of Appointment and Oath of the Arbitrator
Contact Case Manager

FIG. 162

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Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > test > Actions **[Neutral]**

My Cases



ABC vs. ZXC

John Emmert vs. George G..

Mock Arbitration

Mock Mediation

test



The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | **Actions** | Postings | Docum

Actions > File Notice of Appointment and Oath of the Arbitrator

To: Philip Poh

You have been selected to be the arbitrator in the above case. If you are able t accept this responsibility, please complete and post this document.

It is most important that the parties have complete confidence in the arbitrator' impartiality. Therefore, please disclose any past or present relationship with th witnesses, parties, or their counsel, direct or indirect, whether financial, professional, social or of any other kind. If any relationship arises during the course of the arbitration or if there is any change at any time to the biographica information that you have provided to the AAA, it must also be disclosed. Any doubt should be resolved in favor of disclosure. If you are aware of such a relationship, please describe it below.

(•) I have nothing to disclose.

() I hereby disclose the following:

[] Philip Poh, being duly sworn, hereby accepts this appointment, attests that panel biography provided by the American Arbitration Association is accurate complete, and will faithfully and fairly hear and decide the matters in controver between the parties, in accordance with their arbitration agreement, the Code Ethics of Arbitrators in Commercial Disputes, and the rules of the American Arbitration Association, and will make an award according to the best of the arbitrator's understanding.

Dated: 10/08/2001

Submit **Ca**

FIG. 163

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Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > test > Postings **[Neutral]**

My Cases



ABC vs. ZXC

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Mock Arbitration

Mock Mediation

test



The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | **Postings** | Documents |

Postings

Add Topic

Arbitrator Answer

I am available for a conference call on May 27, 2001 between the hours of 10:00 a.m. and 5:00 p.m. Central Time. Please advise of your availability.

Posted by: Cindy Rumney on 06/19/01

Respondent

Cindy Rumney Answer

I would like to take this opportunity to introduce myself as your Case Manager. I can be reached at 1-866-249-7472 during the hours of 8:30 to 4:30 Central Time. You can also use the Contact Case Manager under the Actions tab to contact me.

Posted by: Cindy Rumney on 06/01/01

Cindy Rumney Answer

This will acknowledge recipt of a request for On-Call Mediation from the Claimant. Please review the List for the Selection of Mediators under the Actions tab. From this area you can also check the availaility of any of the mediators. Please follow the instructions on the form. We ask the parties to submit their lists within 24 hours of this posting.

Posted by: Cindy Rumney on 06/01/01

FIG. 164

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Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Cases > test > Documents **[Neutral]**

My Cases



ABC vs. ZXC

John Emmert vs. George G..

Mock Arbitration

Mock Mediation

test



The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Summary | Actions | Postings | **Documents** |

Documents

Add Document | Add Bookmark

Invitation to Mediator for Appointment.doc Download
Invitation Letter
MN-- Posted by: Cindy Rumney, Esq. on 06/13/01 36KB

Notice of Appointment and Oath of the Mediator View
Case Action:Notice of Appointment and Oath of the Mediator
MNCR Posted by: Cindy Rumney, Esq. on 06/13/01 1KB

Claimant's Conflict Checklist View
Case Action:Claimant's Conflict Checklist
MNC- Posted by: Robert Zylowski on 06/05/01 1KB

Claim Form View
File a Case: Initial Claim Form.
MNCR Posted by: Cindy Rumney on 05/25/01 1KB

FIG. 165



The parties to this matter have asked that we contact you to determine your availability to serve as mediator. This case has been filed in accordance with the AAA's Model Procedures for On Call Mediation. A copy of these procedures can be found in the Library.

The parties have agreed to schedule a mediation session on _____ at _____ by conference call. The Mediator Calendar has been modified to reflect this scheduling.

Should you desire pre-mediation position paper, please outline your requirements in a posting to the parties under the Postings tab.

Please review the following information that may be found under the Documents tab: Claim Form, Response to Claim or Counterclaim (if any), and any Conflict Checklists submitted by the parties.

We ask that you complete and submit the Notice of Appointment, including any disclosures you may have, within one day. This form can be found under the Actions tab.

Should you decline appointment, please respond through the Contact Case Manager form that can also be found under the Actions tab.

Sincerely,
Case Manager

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FIG. 16b

Form Display > Notice of Appointment and Oath of the Mediator

To: Cindy Rumney, Esq.

You have been selected to be the arbitrator in the above case. If you are able to accept this responsibility, please complete and post this document.

It is most important that the parties have complete confidence in the arbitrator's impartiality. Therefore, please disclose any past or present relationship with the witnesses, parties, or their counsel, direct or indirect, whether financial, professional, social or of any other kind. If any relationship arises during the course of the arbitration or if there is any change at any time to the biographical information that you have provided to the AAA, it must also be disclosed. Any doubt should be resolved in favor of disclosure. If you are aware of such a relationship, please describe it below.

◉ I have nothing to disclose.

○ I hereby disclose the following:

☑ Cindy Rumney, Esq., being duly sworn, hereby accepts this appointment, attests that the panel biography provided by the American Arbitration Association is accurate and complete, and will faithfully and fairly hear and decide the matters in controversy between the parties, in accordance with their arbitration agreement, the Code of Ethics of Arbitrators in Commercial Disputes, and the rules of the American Arbitration Association, and will make an award according to the best of the arbitrator's understanding.

Dated: 06/13/01

Close

FIG. 167

Form Display > Claimant's Conflict Checklist

Posted by: Robert Zylowski [Neutral] on 06/05/01

In order to avoid the possibility that potential arbitrators will have conflicts of interest with the parties in this matter, you are requested to list the names of all persons, including witnesses, firms, companies, or other entities that may be involved in this case. In order to avoid conflicts of interest, the parties are also requested to list subsidiary and other related entities.

Name	Company/Afiliation	City/State/Country
Sam Smith	The Beetles	London

Close

FIG. 168

PRINT PREVIEW

Form Display > Claim Form

Does your agreement have a clause providing for administration by the American Arbitration Association?

☐ Yes (Please note that if there is no agreement to use American Arbitration Association services, we will have no authority to proceed with this matter unless the parties agree to submit this case to the AAA)

☐ If not, check here if all parties agree to submit this case to AAA

Does your agreement stipulate the use of the American Arbitration Association *Supplementary Procedures for Online Arbitration*?
Click here to review these procedures.

☐ Yes (Please note that if there is no agreement to use American Arbitration Association *Supplementary Procedures for Online Arbitration*, we will not be able to proceed with this claim using an online process unless the parties agree to use the AAA *Supplementary Procedures for Online Arbitration*)

☐ If not, check here if all parties agree to use of the American Arbitration Association *Supplementary Procedures for Online Arbitration*

***Please note that by completing this form and submitting the claim, you have authorized the American Arbitration Association to begin proceedings.**

Resolution Process: Documents-Only Arbitration
Filing Date: 05/25/01

Claimant (Filing Party)

Company: ABC Corporation
Representative: Rob Zylowski
Firm Name: Zylowski and Associates
Address: 335 Madison Avenue, 10th Floor
City/State/ZIP/Country: New York, NY 10017
Phone: 212-716-5800
Fax: 212-716-5902
E-mail: rzylowski@rcn.com

Respondent 1

Company: XYZ Inc.
Representative: Gerry Strathmann
Firm Name: Law Offices of G. Strathmann, P.C.
Address: 133 Federal Street, 10th Floor
Boston, MA 02110

FIG. 169

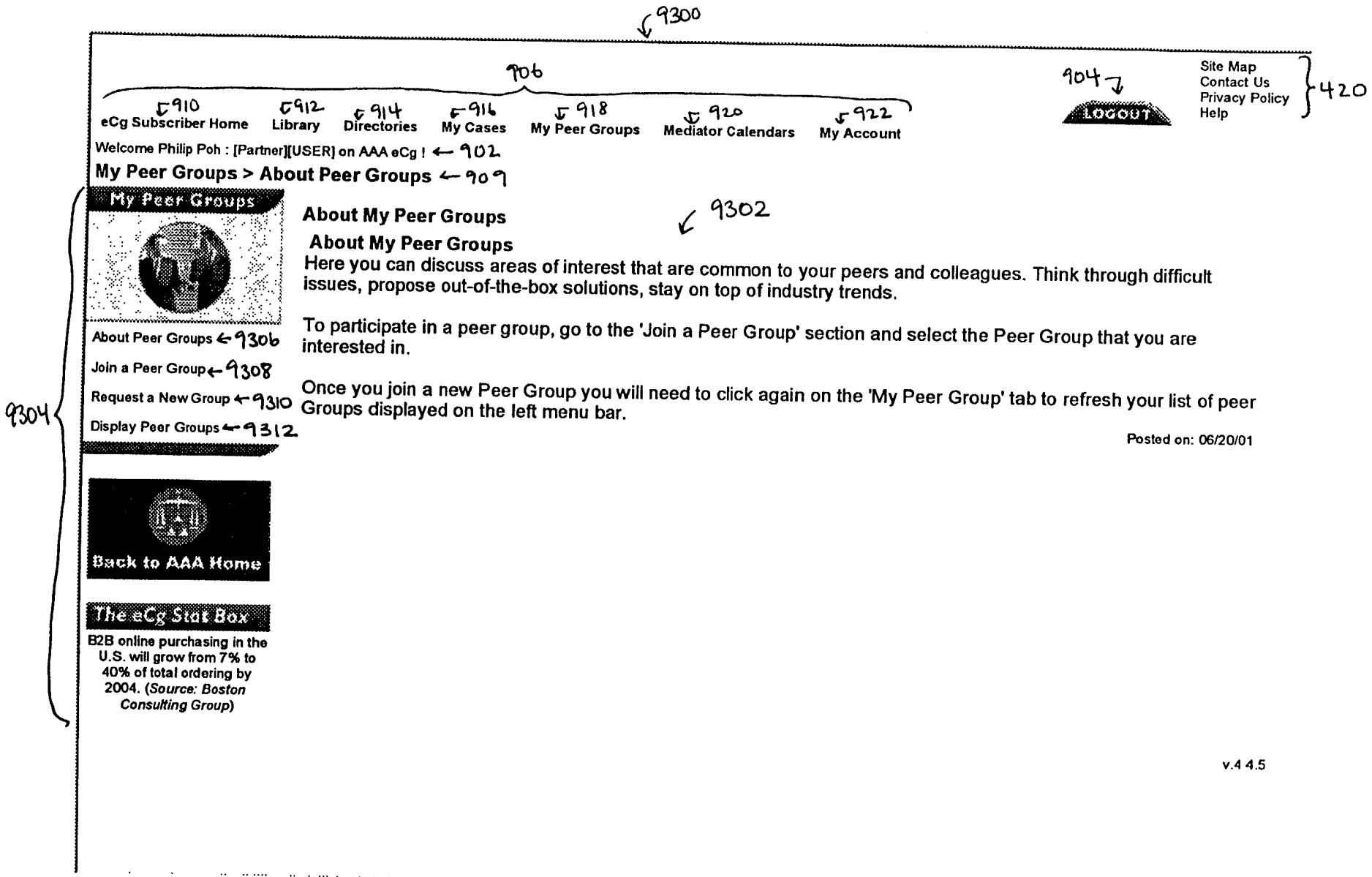

9300
906
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
920 Mediator Calendars
922 My Account
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LOGOUT
Site Map
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Welcome Philip Poh : [Partner][USER] on AAA eCg ! ← 902
My Peer Groups > About Peer Groups ← 909
My Peer Groups
About Peer Groups ← 9306
Join a Peer Group ← 9308
Request a New Group ← 9310
Display Peer Groups ← 9312
9304
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
About My Peer Groups
9302
About My Peer Groups
Here you can discuss areas of interest that are common to your peers and colleagues. Think through difficult issues, propose out-of-the-box solutions, stay on top of industry trends.
To participate in a peer group, go to the 'Join a Peer Group' section and select the Peer Group that you are interested in.
Once you join a new Peer Group you will need to click again on the 'My Peer Group' tab to refresh your list of peer Groups displayed on the left menu bar.
Posted on: 06/20/01
v.4 4.5

FIG. 170

9400

Site Map
Contact Us
Privacy Policy
Help

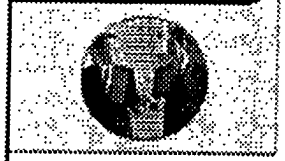
LOGOUT

eCg Subscriber Home | Library | Directories | My Cases | My Peer Groups | Mediator Calendars | My Account

Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Peer Groups > Join a Peer Group

My Peer Groups



About Peer Groups

Join a Peer Group ← 9308

Request a New Group

Display Peer Groups

Back to AAA Home

The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

9402

Join Peer Group

Search

Peer Groups are gatherings of B2B eCommerce Peers who wish to collaborate and share know-how on specific issues within various areas of their field.

A Peer Group is managed by a Peer Group Coordinator to ensure that the appropriate methodology is applied to successfully explore, discuss and exchange insights and know-how among each Group. Questions and answers, supporting materials and electronic events will facilitate the exchange and development of information on a particular issue.

Because participation is limited to AAA eCommerce group subscribers, you may share your experiences in an open, non-threatening environment, increasing the opportunity for all participants to gain short cuts and other critical know-how from their Peers.

Available Peer Group(s)

Library Related ← 1404

Communications Test Group ← 9406

test ← 9408

Corporate Communications Test

test from mac

v.4.4.5

FIG. 171

Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Peer Groups > Join a Peer Group

My Peer Groups



About Peer Groups

Join a Peer Group

Request a New Group

Display Peer Groups



The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Join Peer Group > Communications Test Group

Name: Communications Test Group
Description: Corporate Communications Test
Company: AAA
Type: Public
Category: Spec Team
Participants #: 1
Max. Allowed #: 20
Coordinator: Kersten Norlin
Creation Date: July 10, 2001 15:23
Used Space: 0KB (0%)
Allocated Space: 10MB

9502

Join

FIG. 172

9600

Site Map
Contact Us
Privacy Policy
Help

LOGOUT

eCg Subscriber Home | Library | Directories | My Cases | My Peer Groups | Mediator Calendars | My Account

Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Peer Groups > Join a Peer Group

My Peer Groups



About Peer Groups

Join a Peer Group

Request a New Group

Display Peer Groups

Back to AAA Home

The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Join Peer Group > Communications Test Group : Join Status

You have just been added as a participant in a Public Peer Group!
You are now welcome to contribute and share insights with your peers for this subject matter.

Now in order to use the Peer Group you just joined, please click on the My Peer Groups Top Navigation Tab to refresh the list or click **here**.

Thank You,
AAA Administration

9602

Join Another Peer Group **Done**

9604 9606

v.4.4.5

FIG. 173

PARTNER CONFIDENTIAL

9700

My Peer Groups > About Peer Groups



About Peer Groups
Communications Test Group
Join a Peer Group
Request a New Group
Display Peer Groups

9702

Back to AAA Home

The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source. Boston Consulting Group*)

About My Peer Groups

About My Peer Groups

Here you can discuss areas of interest that are common to your peers and colleagues. Think through difficult issues, propose out-of-the-box solutions, stay on top of industry trends.

To participate in a peer group, go to the 'Join a Peer Group' section and select the Peer Group that you are interested in.

Once you join a new Peer Group you will need to click again on the 'My Peer Group' tab to refresh your list of peer Groups displayed on the left menu bar.

Posted on: 06/20/01

v.4.4.5

FIG. 174

PATENT APPLICATION 9800

Site Map
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LOGOUT

eCg Subscriber Home | Library | Directories | My Cases | My Peer Groups | Mediator Calendars | My Account

Welcome Philip Poh : [Partner][USER] on AAA eCg !

My Peer Groups > Communications Test Group **[Peer Group User]**

My Peer Groups



About this Peer Group

No Topics Available.

Back to AAA Home

The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Peer Group Home | Peer Group Profile | Search Peer Group | My Preferences |

9802 9804 9806 9808

Messages from Peer Group Coordinator

None Available.

Peer Group Events

None Available.

Peer Group Members

Name	E-mail Address	Title	Company
Kersten Norlin	norlink@adr.org	Other	AAA
Philip Poh	PPoh@fishneave.com	Other	Partner

v.4.4.5

FIG. 175

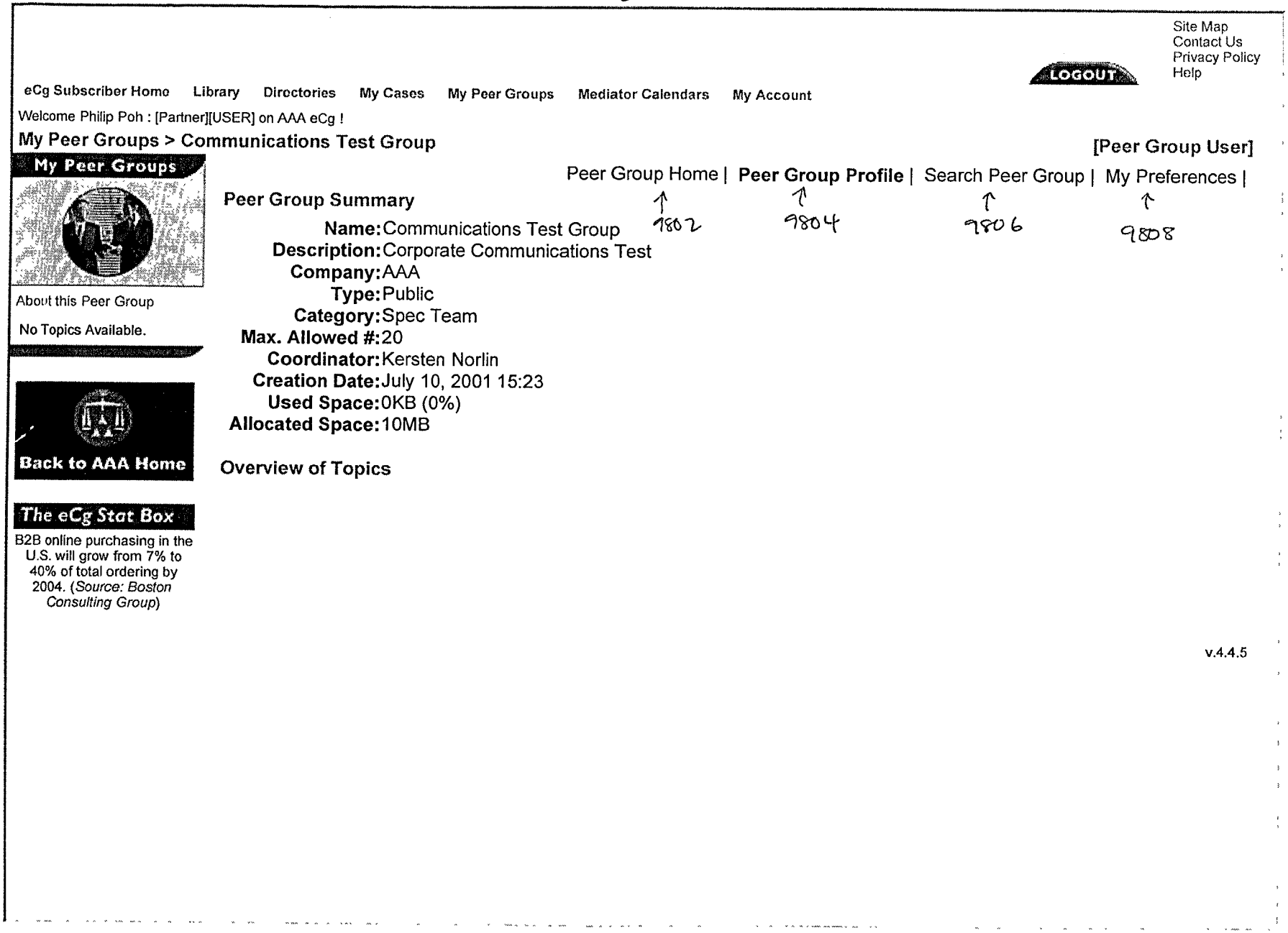

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Directories
My Cases
My Peer Groups
Mediator Calendars
My Account
Welcome Philip Poh : [Partner][USER] on AAA eCg !
My Peer Groups > Communications Test Group
[Peer Group User]
My Peer Groups
Peer Group Home | Peer Group Profile | Search Peer Group | My Preferences |
9802
9804
9806
9808
About this Peer Group
No Topics Available.
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
Peer Group Summary
Name: Communications Test Group
Description: Corporate Communications Test
Company: AAA
Type: Public
Category: Spec Team
Max. Allowed #: 20
Coordinator: Kersten Norlin
Creation Date: July 10, 2001 15:23
Used Space: 0KB (0%)
Allocated Space: 10MB
Overview of Topics
v.4.4.5

FIG. 176

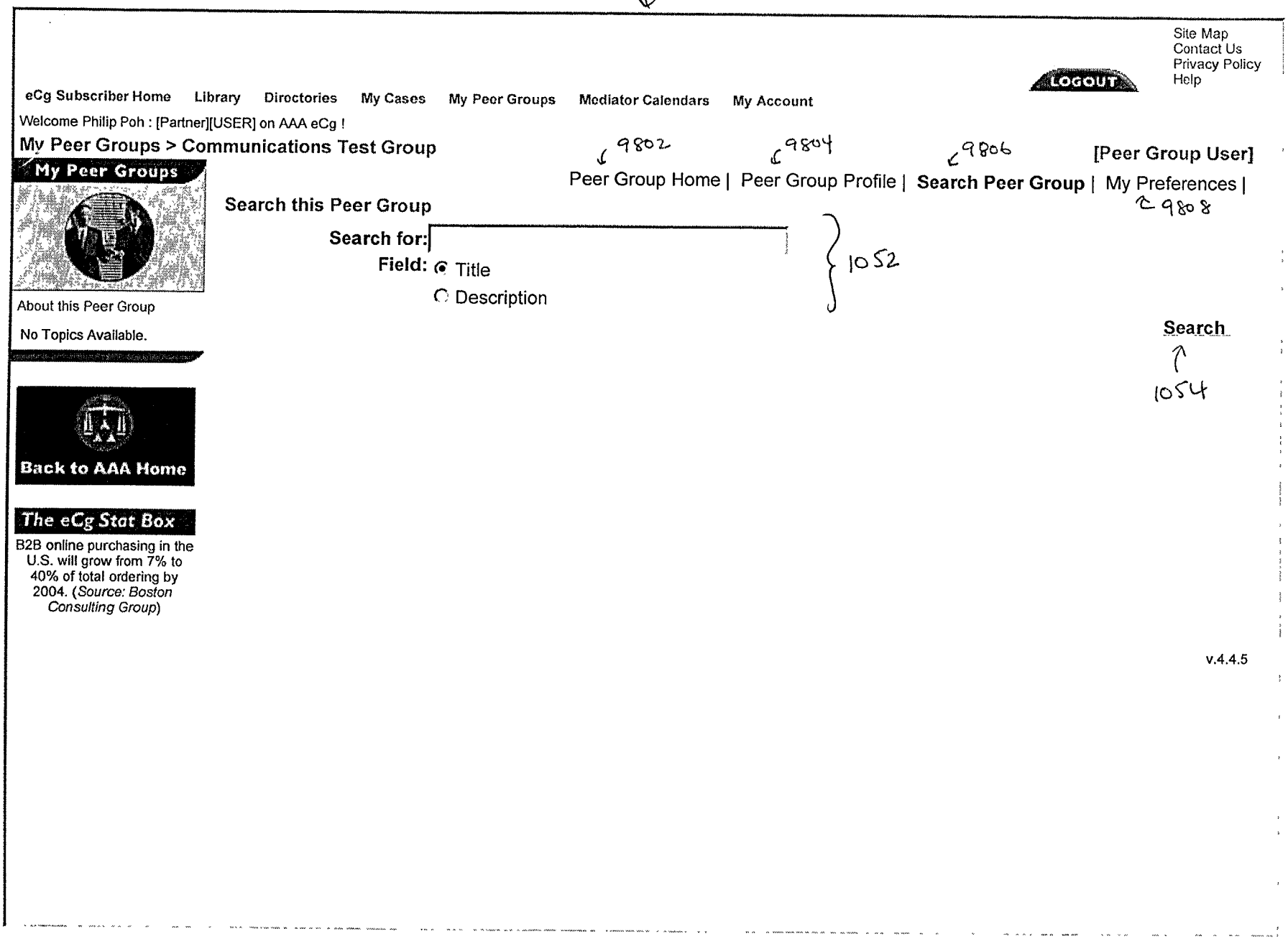

1050
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eCg Subscriber Home
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My Cases
My Peer Groups
Mediator Calendars
My Account
Welcome Philip Poh : [Partner][USER] on AAA eCg !
My Peer Groups > Communications Test Group
9802
9804
9806
[Peer Group User]
Peer Group Home | Peer Group Profile | Search Peer Group | My Preferences |
1008
My Peer Groups
Search this Peer Group
Search for:
Field: Title
Description
1052
About this Peer Group
No Topics Available.
Search
1054
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
v.4.4.5

FIG. 177

My Peer Groups > Communications Test Group

[Peer Group User]



About this Peer Group

No Topics Available.





B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Preferences for this Peer Group

Send Me Email Notification:

When New Messages are Posted:	Yes
When New Supporting Material is Posted:	No
When New Events are Added to Schedule:	No

1152

1154

Update

v.4.4.5

FIG. 178

PATENT PENDING

1250

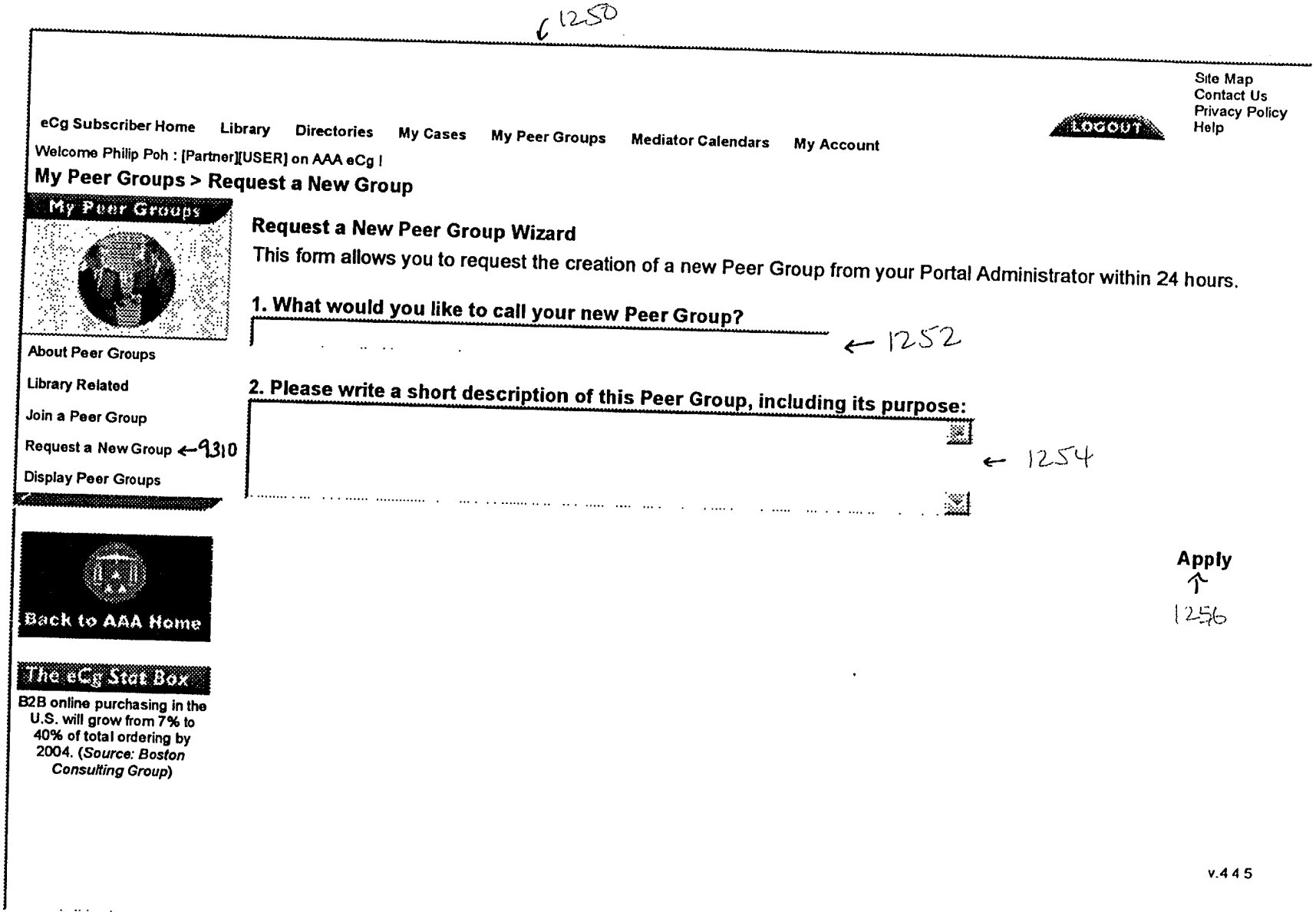


FIG. 179

1350



1352

Peer Groups Display

If for any reason you would like to hide a Peer Group title being displayed, you may de-select it below. You always have the ability to return and select it to be displayed again at a later time.

About Peer Groups
Join a Peer Group
Request a New Group
Display Peer Groups 1312

Apply



The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

v.4.4.5

FIG. 180

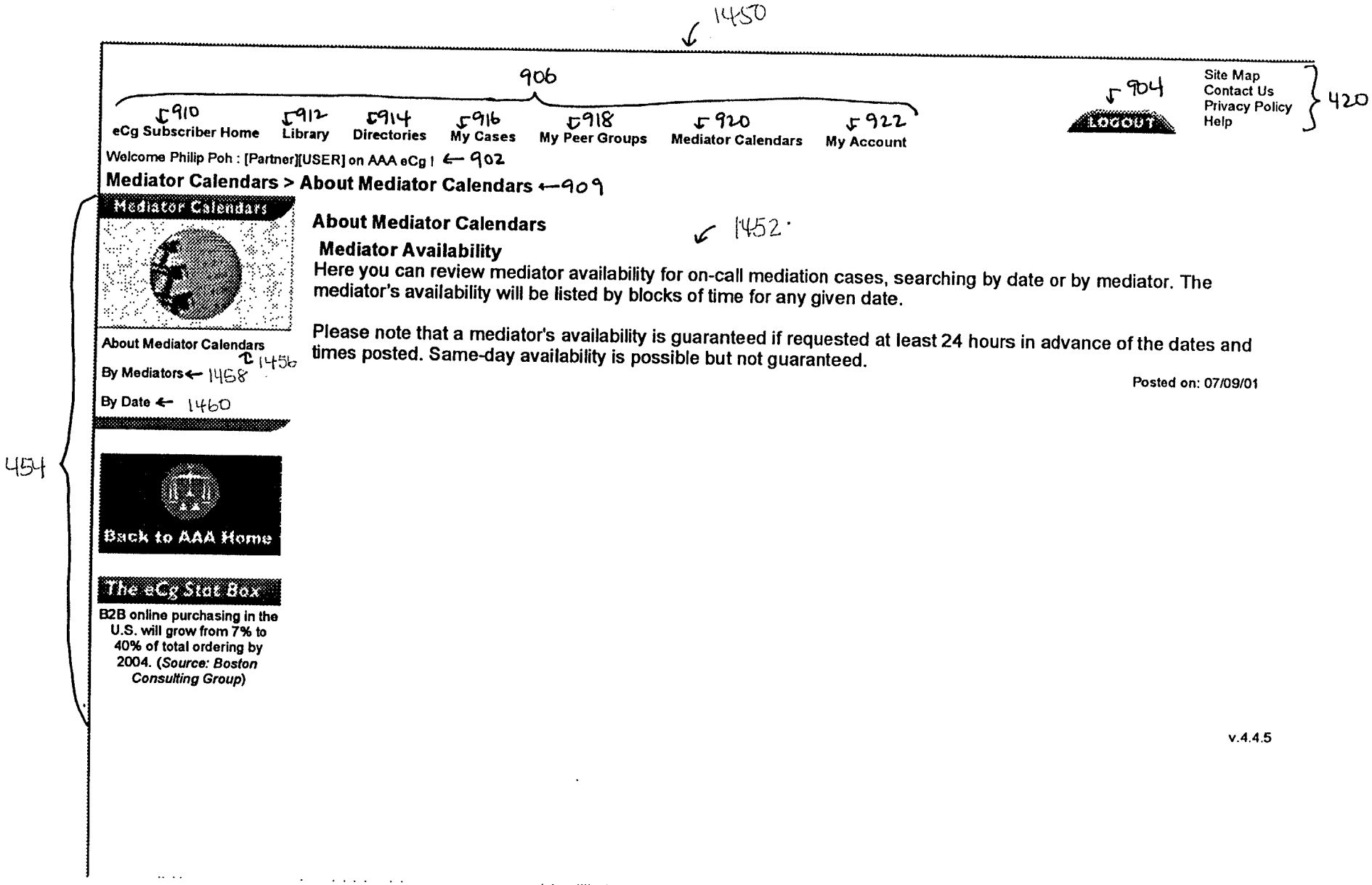

1450
906
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
920 Mediator Calendars
922 My Account
904 LOGOUT
Site Map
Contact Us
Privacy Policy
Help
420
Welcome Philip Poh : [Partner][USER] on AAA eCg ! ← 902
Mediator Calendars > About Mediator Calendars ←909
Mediator Calendars
About Mediator Calendars
1456
By Mediators ← 1458
By Date ← 1460
454
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
About Mediator Calendars
1452
Mediator Availability
Here you can review mediator availability for on-call mediation cases, searching by date or by mediator. The mediator's availability will be listed by blocks of time for any given date.
Please note that a mediator's availability is guaranteed if requested at least 24 hours in advance of the dates and times posted. Same-day availability is possible but not guaranteed.
Posted on: 07/09/01
v.4.4.5

FIG. 181

patent-pending

1550

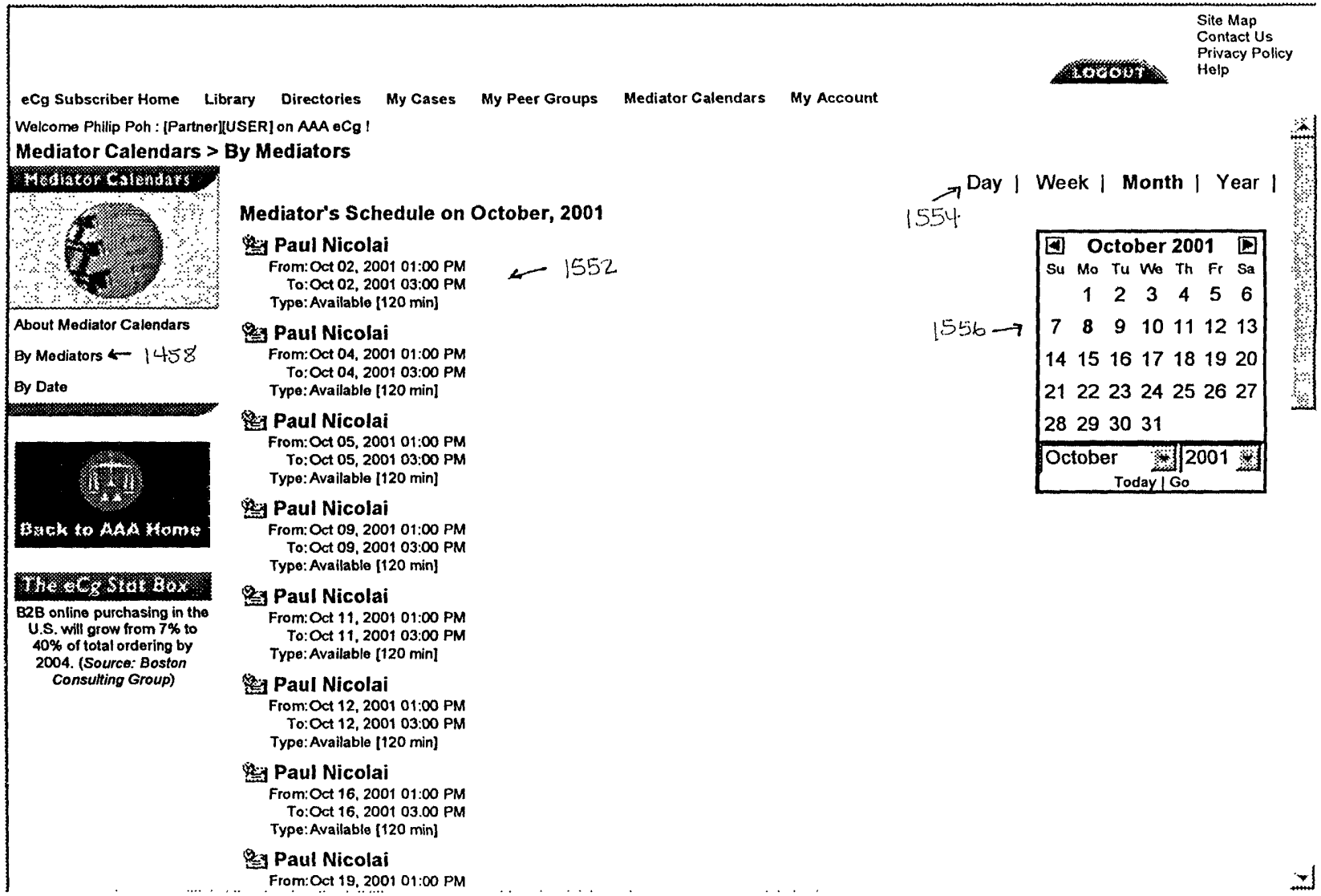


FIG. 182

patent-pending

1650

Site Map
Contact Us
Privacy Policy
Help

LOGOUT

eCg Subscriber Home | Library | Directories | My Cases | My Peer Groups | Mediator Calendars | My Account

Welcome Philip Poh : [Partner][USER] on AAA eCg !

Mediator Calendars > By Date

Mediator Calendars

About Mediator Calendars

By Mediators

By Date ← 1460

Back to AAA Home

The eCg Stat Box

B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Day | Week | Month | Year |

Mediator's Schedule on October, 2001

Paul Nicolai
From:Oct 02, 2001 01:00 PM
To:Oct 02, 2001 03:00 PM
Type:Available [120 min]

Paul Nicolai
From:Oct 04, 2001 01:00 PM
To:Oct 04, 2001 03:00 PM
Type:Available [120 min]

Paul Nicolai
From:Oct 05, 2001 01:00 PM
To:Oct 05, 2001 03:00 PM
Type:Available [120 min]

Paul Nicolai
From:Oct 09, 2001 01:00 PM
To:Oct 09, 2001 03:00 PM
Type:Available [120 min]

Paul Nicolai
From:Oct 11, 2001 01:00 PM
To:Oct 11, 2001 03:00 PM
Type:Available [120 min]

Michele Garrick Nave
From:Oct 12, 2001 10:00 AM
To:Oct 12, 2001 04:00 PM
Type:Available [360 min]

Paul Nicolai
From:Oct 12, 2001 01:00 PM
To:Oct 12, 2001 03.00 PM
Type:Available [120 min]

Michele Garrick Nave
From:Oct 15, 2001 10:00 AM

October 2001

Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

October 2001
Today | Go

FIG. 183

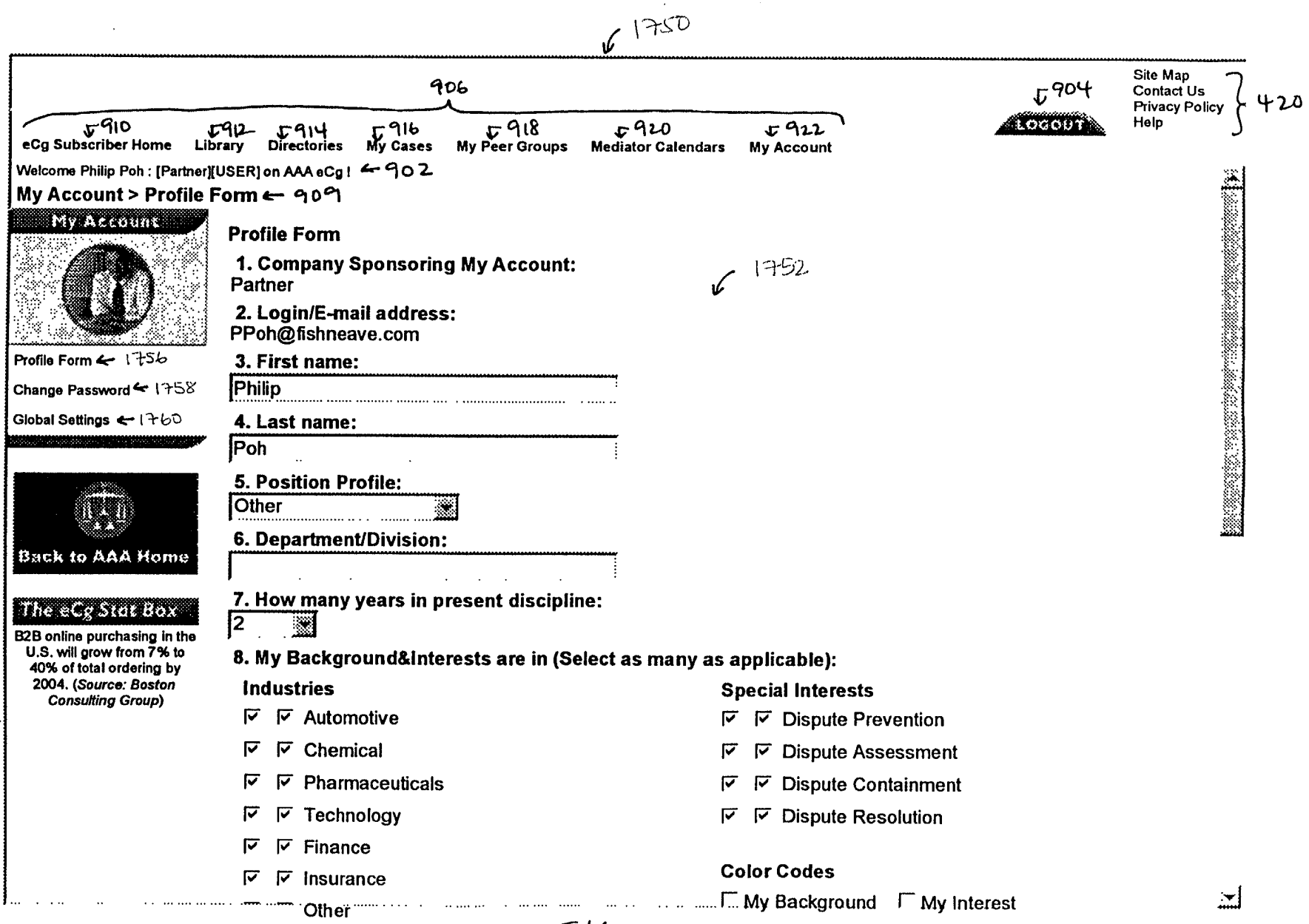

1750
906
910 eCg Subscriber Home
912 Library
914 Directories
916 My Cases
918 My Peer Groups
920 Mediator Calendars
922 My Account
904 LOGOUT
Site Map
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Privacy Policy
Help
420
Welcome Philip Poh : [Partner][USER] on AAA eCg ! 902
My Account > Profile Form 909
My Account
Profile Form 1756
Change Password 1758
Global Settings 1760
1754
Back to AAA Home
The eCg Stat Box
B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (Source: Boston Consulting Group)
Profile Form
1752
1. Company Sponsoring My Account:
Partner
2. Login/E-mail address:
PPoh@fishneave.com
3. First name:
Philip
4. Last name:
Poh
5. Position Profile:
Other
6. Department/Division:
7. How many years in present discipline:
2
8. My Background&Interests are in (Select as many as applicable):
Industries
Automotive
Chemical
Pharmaceuticals
Technology
Finance
Insurance
Other
Special Interests
Dispute Prevention
Dispute Assessment
Dispute Containment
Dispute Resolution
Color Codes
My Background
My Interest

FIG. 184

Patent Pending

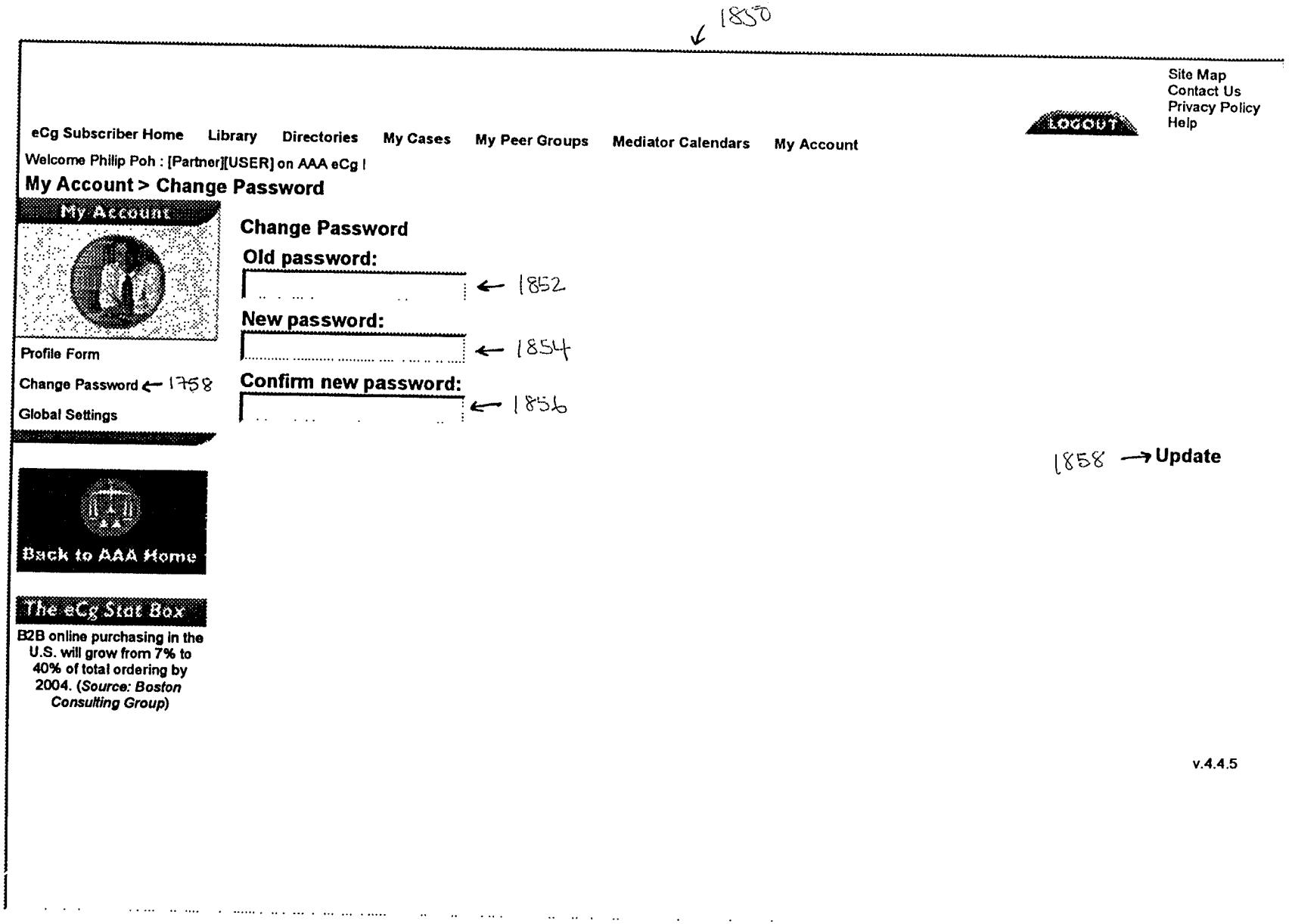
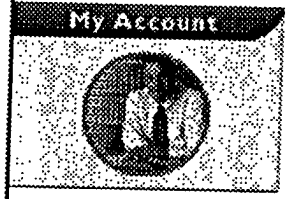


FIG. 185

PATENT PENDING

1950



Profile Form

Change Password

Global Settings ← 1760





B2B online purchasing in the U.S. will grow from 7% to 40% of total ordering by 2004. (*Source: Boston Consulting Group*)

Global Settings for my eCg Account

Send me Email Notification in following format:

HTML ← 1952

1954 → **Update**

v.4.4.5

FIG. 186

5000 Start Page

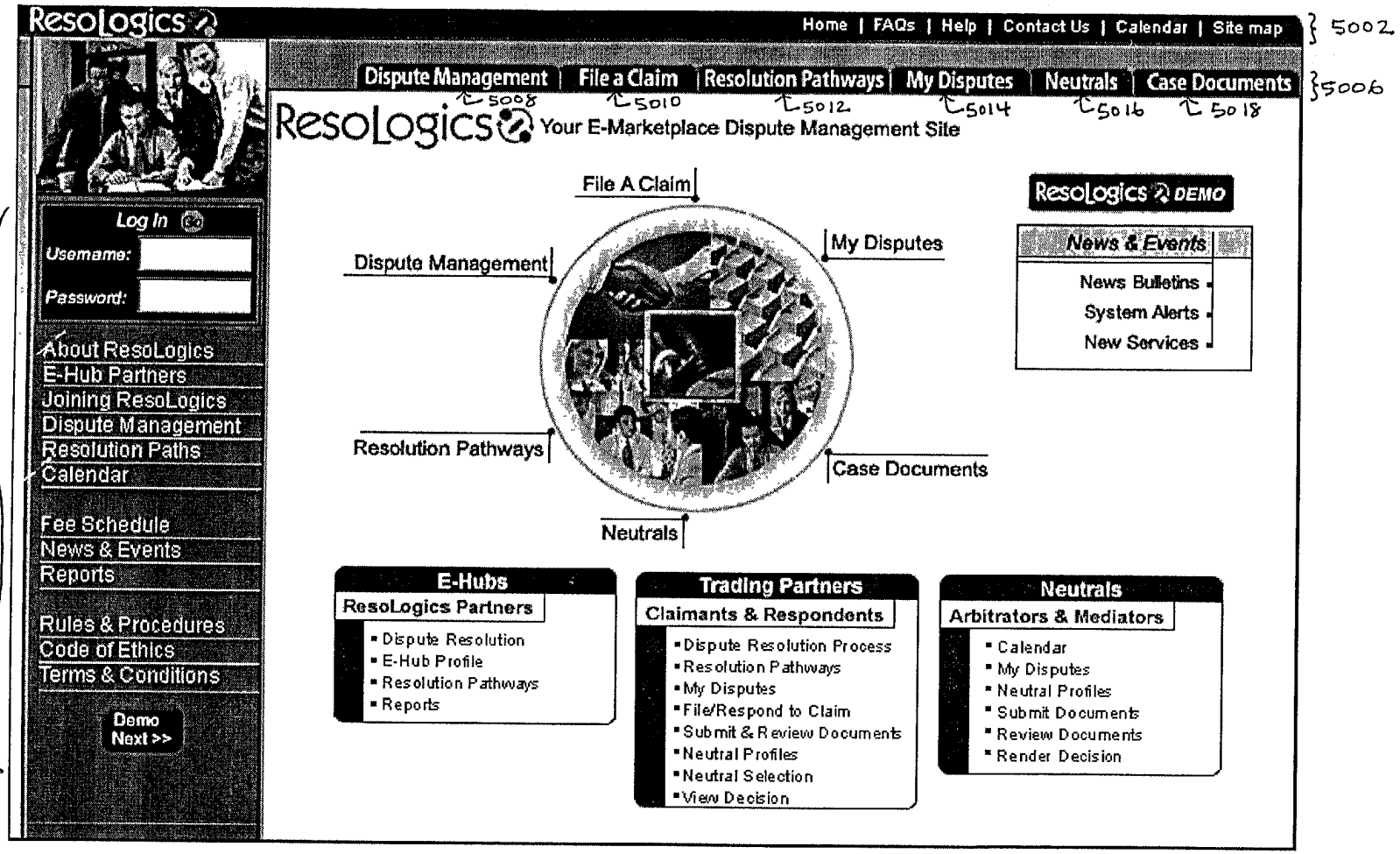
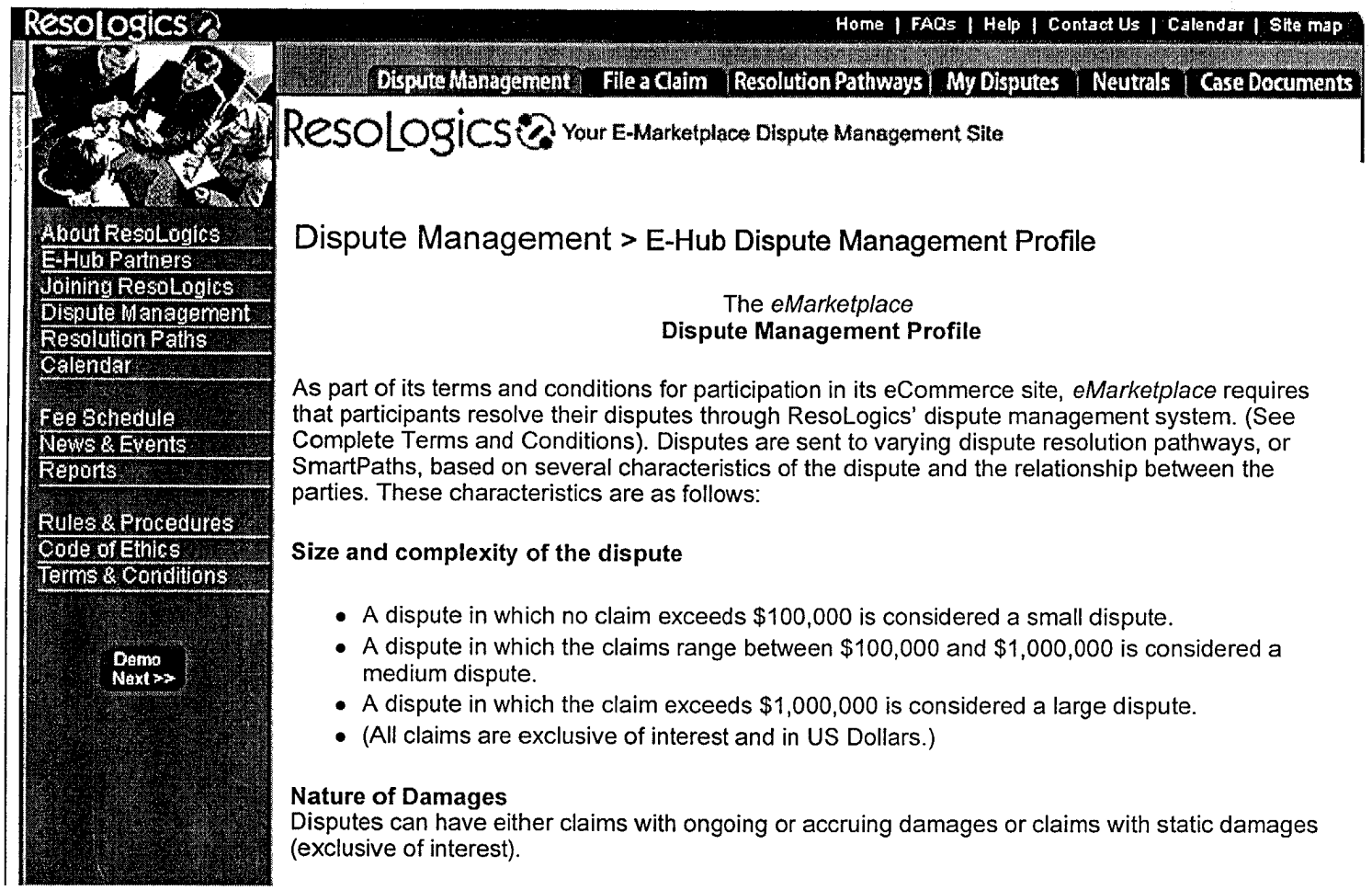


FIG. 18F



Dispute Management > E-Hub Dispute Management Profile

The *eMarketplace*
Dispute Management Profile

As part of its terms and conditions for participation in its eCommerce site, *eMarketplace* requires that participants resolve their disputes through ResoLogics' dispute management system. (See Complete Terms and Conditions). Disputes are sent to varying dispute resolution pathways, or SmartPaths, based on several characteristics of the dispute and the relationship between the parties. These characteristics are as follows:

Size and complexity of the dispute

- A dispute in which no claim exceeds $100,000 is considered a small dispute.
- A dispute in which the claims range between $100,000 and $1,000,000 is considered a medium dispute.
- A dispute in which the claim exceeds $1,000,000 is considered a large dispute.
- (All claims are exclusive of interest and in US Dollars.)

Nature of Damages
Disputes can have either claims with ongoing or accruing damages or claims with static damages (exclusive of interest).

Nature of the Dispute

Disputes can either be about business relationships (multiple transactions or a long-term contract) or a single transaction.

Using the above criteria and input provided by the parties once a dispute has arisen, different binding and/or non-binding processes are selected for inclusion in the SmartPath to resolution as follows:

FIG. 188

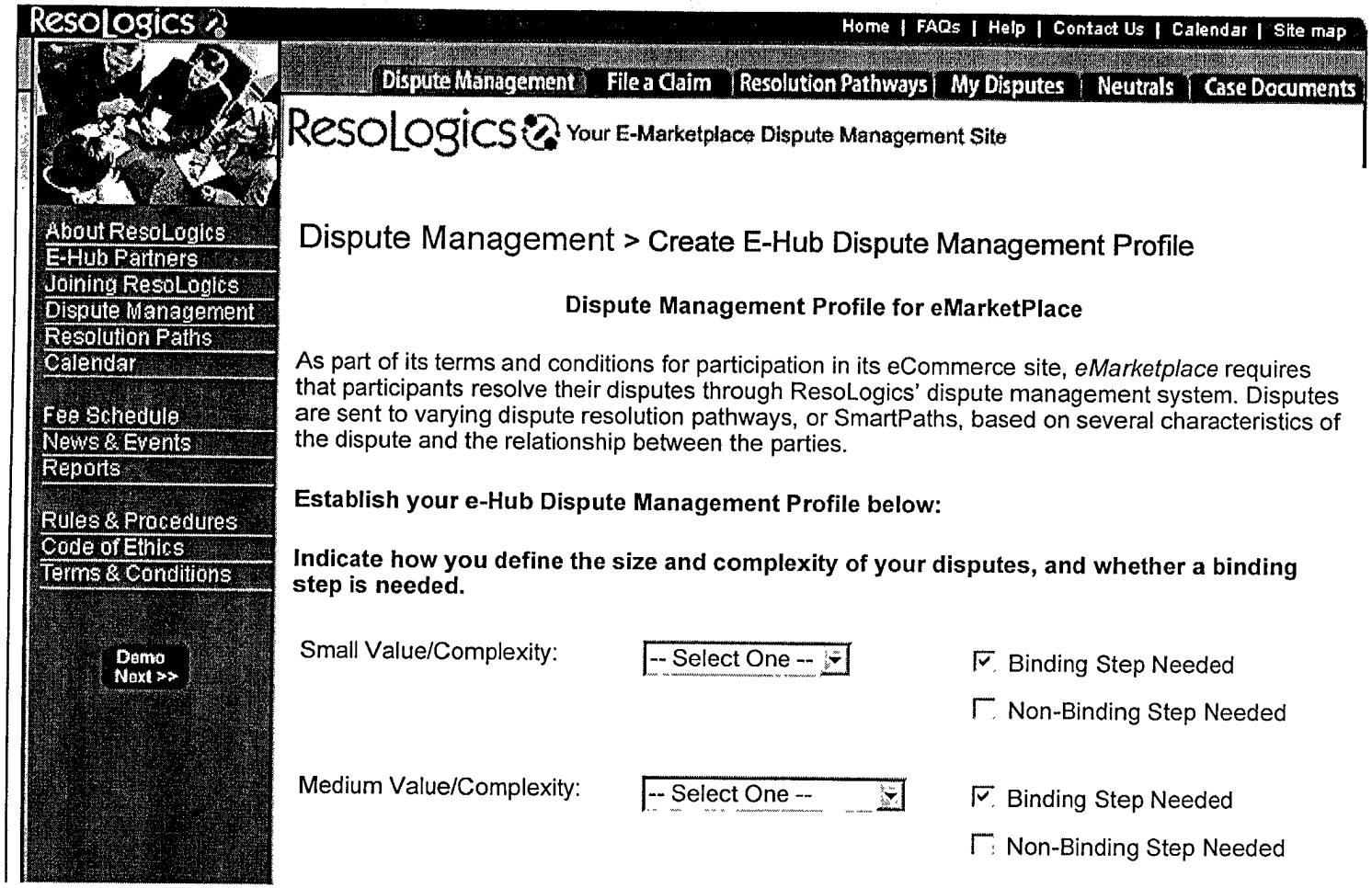

ResoLogics
Home | FAQs | Help | Contact Us | Calendar | Site map
Dispute Management
File a Claim
Resolution Pathways
My Disputes
Neutrals
Case Documents
ResoLogics Your E-Marketplace Dispute Management Site
About ResoLogics
E-Hub Partners
Joining ResoLogics
Dispute Management
Resolution Paths
Calendar
Fee Schedule
News & Events
Reports
Rules & Procedures
Code of Ethics
Terms & Conditions
Demo Next >>
Dispute Management > Create E-Hub Dispute Management Profile
Dispute Management Profile for eMarketPlace
As part of its terms and conditions for participation in its eCommerce site, eMarketplace requires that participants resolve their disputes through ResoLogics' dispute management system. Disputes are sent to varying dispute resolution pathways, or SmartPaths, based on several characteristics of the dispute and the relationship between the parties.
Establish your e-Hub Dispute Management Profile below:
Indicate how you define the size and complexity of your disputes, and whether a binding step is needed.
Small Value/Complexity:
-- Select One --
Binding Step Needed
Non-Binding Step Needed
Medium Value/Complexity:
-- Select One --
Binding Step Needed
Non-Binding Step Needed
High Value/Complexity:
-- Select One --
Binding Step Needed
Non-Binding Step Needed
Cancel
Submit

FIG. 189

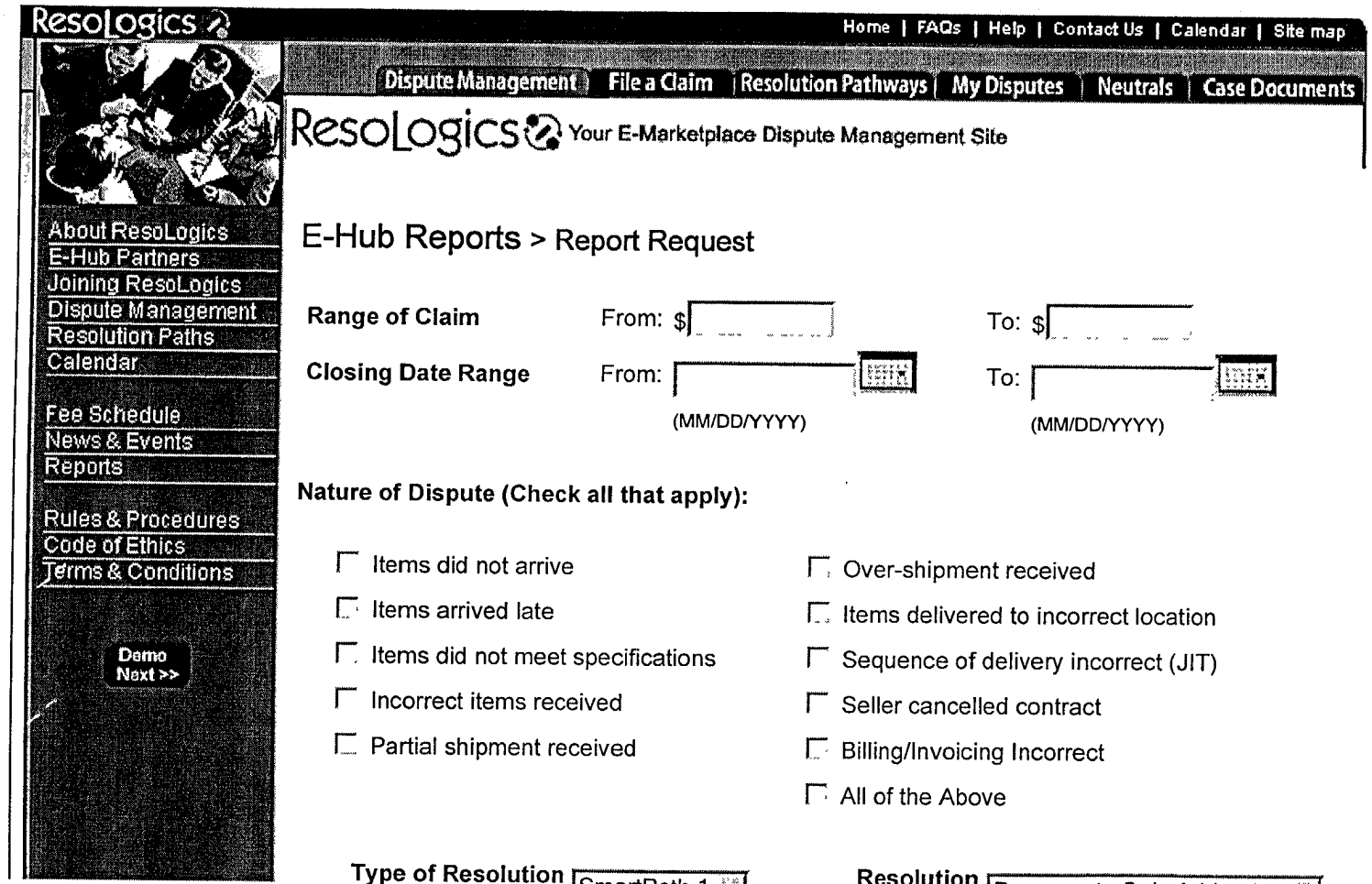

ResoLogics
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ResoLogics Your E-Marketplace Dispute Management Site
About ResoLogics
E-Hub Partners
Joining ResoLogics
Dispute Management
Resolution Paths
Calendar
Fee Schedule
News & Events
Reports
Rules & Procedures
Code of Ethics
Terms & Conditions
Demo Next >>
E-Hub Reports > Report Request
Range of Claim
From: $
To: $
Closing Date Range
From:
To:
(MM/DD/YYYY)
(MM/DD/YYYY)
Nature of Dispute (Check all that apply):
Items did not arrive
Items arrived late
Items did not meet specifications
Incorrect items received
Partial shipment received
Over-shipment received
Items delivered to incorrect location
Sequence of delivery incorrect (JIT)
Seller cancelled contract
Billing/Invoicing Incorrect
All of the Above
Type of Resolution Path:
SmartPath 1
Resolution Process:
Documents Only Arbitration
Case Closed as:
Settled
Sort By:
Claim Size
Cancel
Submit

FIG. 190

DRAFT - PRIVILEGED

E-Hub Reports - Report Request

Closed Case Report: eMarketplace - April 2001

Date Closed	Claim Size	Nature of Dispute	Resolution Path	Resolution Process	Closing Type	Time to Conclusion
04/01/2001	$40,578	Incomplete Delivery	RTP Med, DOA	DOA	Awarded	68 days
04/04/2001	$508,789	Other	RTP Med, RTP Arb	RTP Med	Settled	44 days
04/04/2001	$9,678	Late Delivery	DOA	DOA	Awarded	44 days
04/05/2001	$1,009,800	Refused Shipment	OCM, RTP Arb	OCM	Settled	8 days
04/12/2001	$104,998	Items Damaged	RTP Med	RTP Med	Impasse	36 days
04/14/2001	$29,688	Late Delivery	DOA	DOA	Awarded	22 days
04/17/2001	$19,811	Late Delivery	DOA	DOA	Withdrawn	2 days
04/17/2001	$442,791	Overshipment	RTP Med, RTP Arb	RTP Arb	Awarded	197 days
04/24/2001	$18,789	Other	DOA	DOA	Awarded	24 days
04/26/2001	$334,991	Incorrect Del Seq (JIT)	OCM, RTP Arb	OCM	Settled	16 days
04/27/2001	$1,998	Late Payment	DOA	DOA	Awarded	27 days
04/29/2001	$84,208	Incorrect Items Rec'd	RTP Med, DOA	RTP Med	Settled	39 days

Total Cases Closed: 12

Print Close

Demo Next >>

FIG. 191

PATENT PENDING

5050

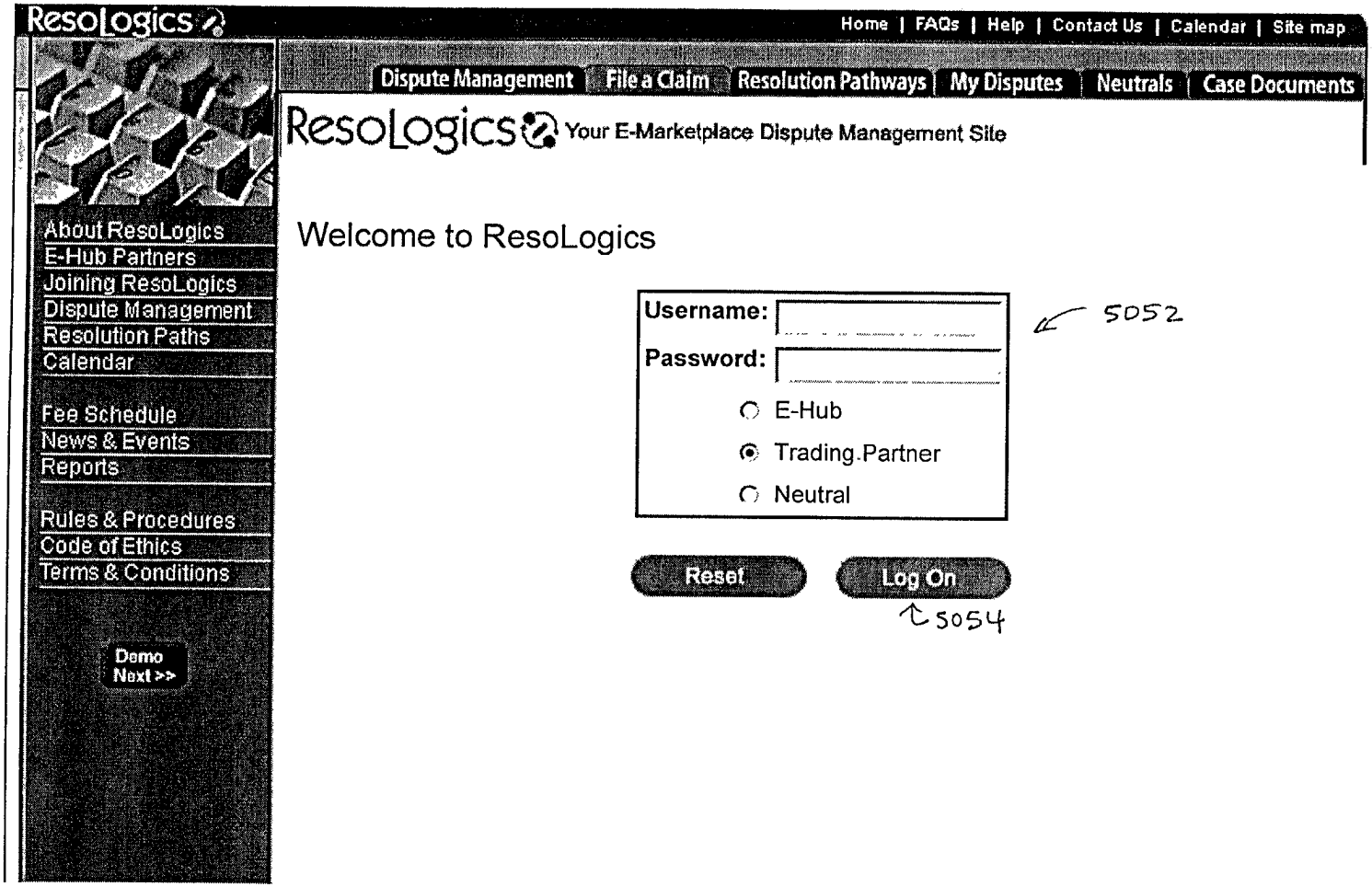


FIG. 192

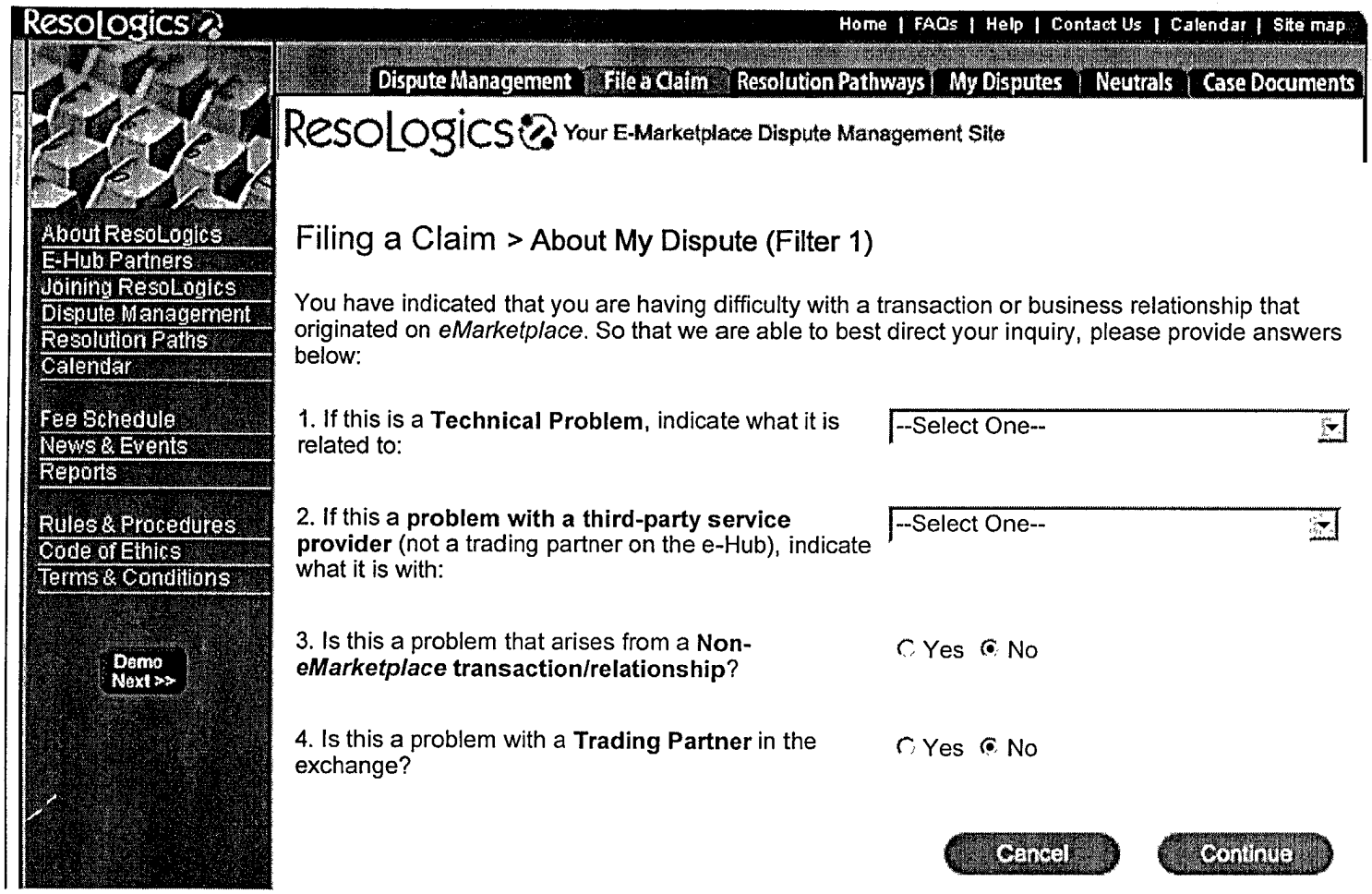
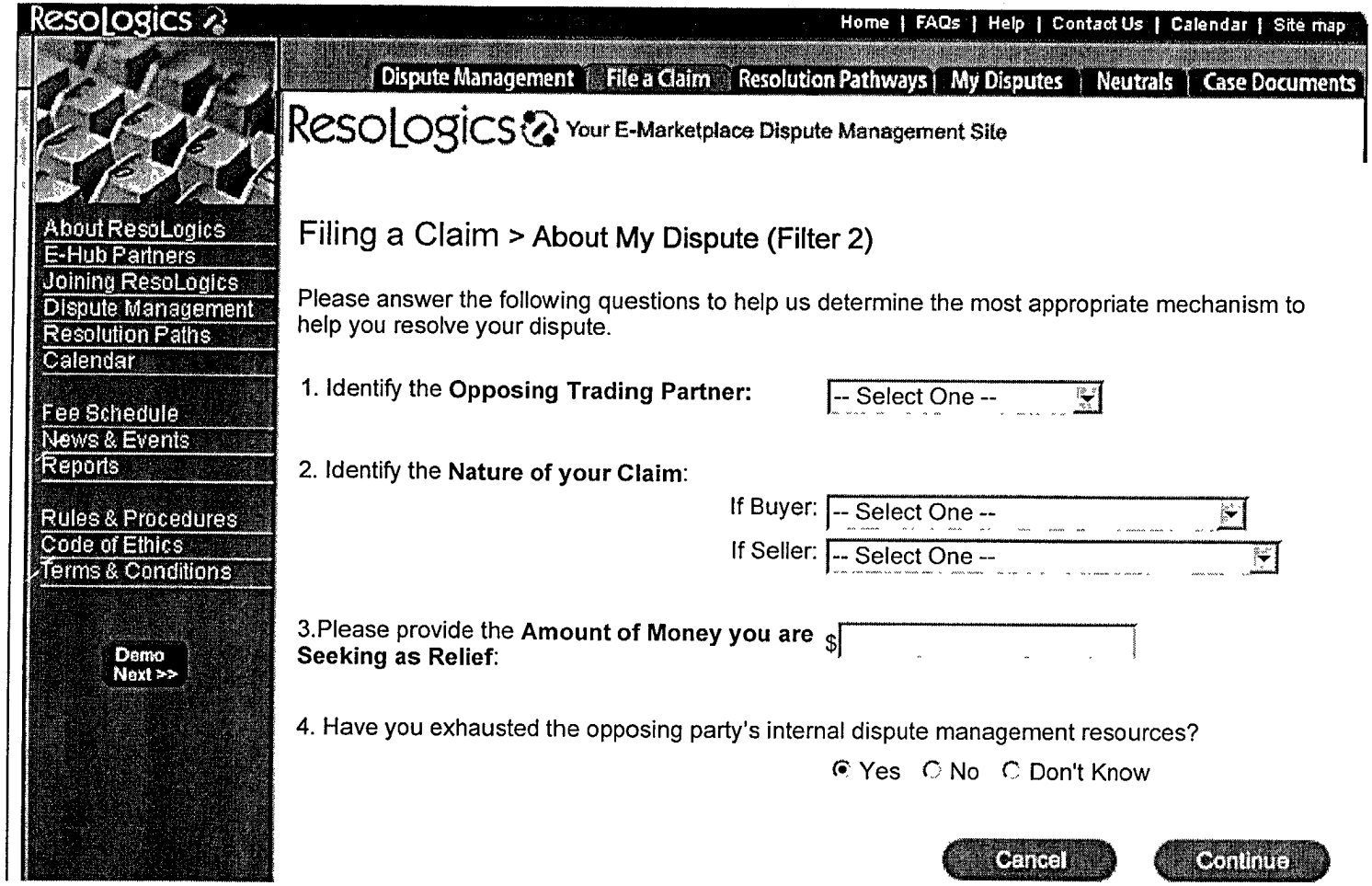

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Joining ResoLogics
Dispute Management
Resolution Paths
Calendar
Fee Schedule
News & Events
Reports
Rules & Procedures
Code of Ethics
Terms & Conditions
Demo Next >>
Filing a Claim > About My Dispute (Filter 1)
You have indicated that you are having difficulty with a transaction or business relationship that originated on eMarketplace. So that we are able to best direct your inquiry, please provide answers below:
1. If this is a Technical Problem, indicate what it is related to:
--Select One--
2. If this a problem with a third-party service provider (not a trading partner on the e-Hub), indicate what it is with:
--Select One--
3. Is this a problem that arises from a Non-eMarketplace transaction/relationship?
Yes No
4. Is this a problem with a Trading Partner in the exchange?
Yes No
Cancel
Continue
Please feel free to contact a ResoLogics representative at cra@resologics.com or 1-800-987-6543 if you need any of these questions clarified or if your problem is not covered by these questions.

FIG. 193

PAPER PORTAL



Note: Complete the information carefully and accurately, for your answers will possibly limit the scope of this dispute and any recovery to which you may be entitled.

FIG. 194

Patent Pending

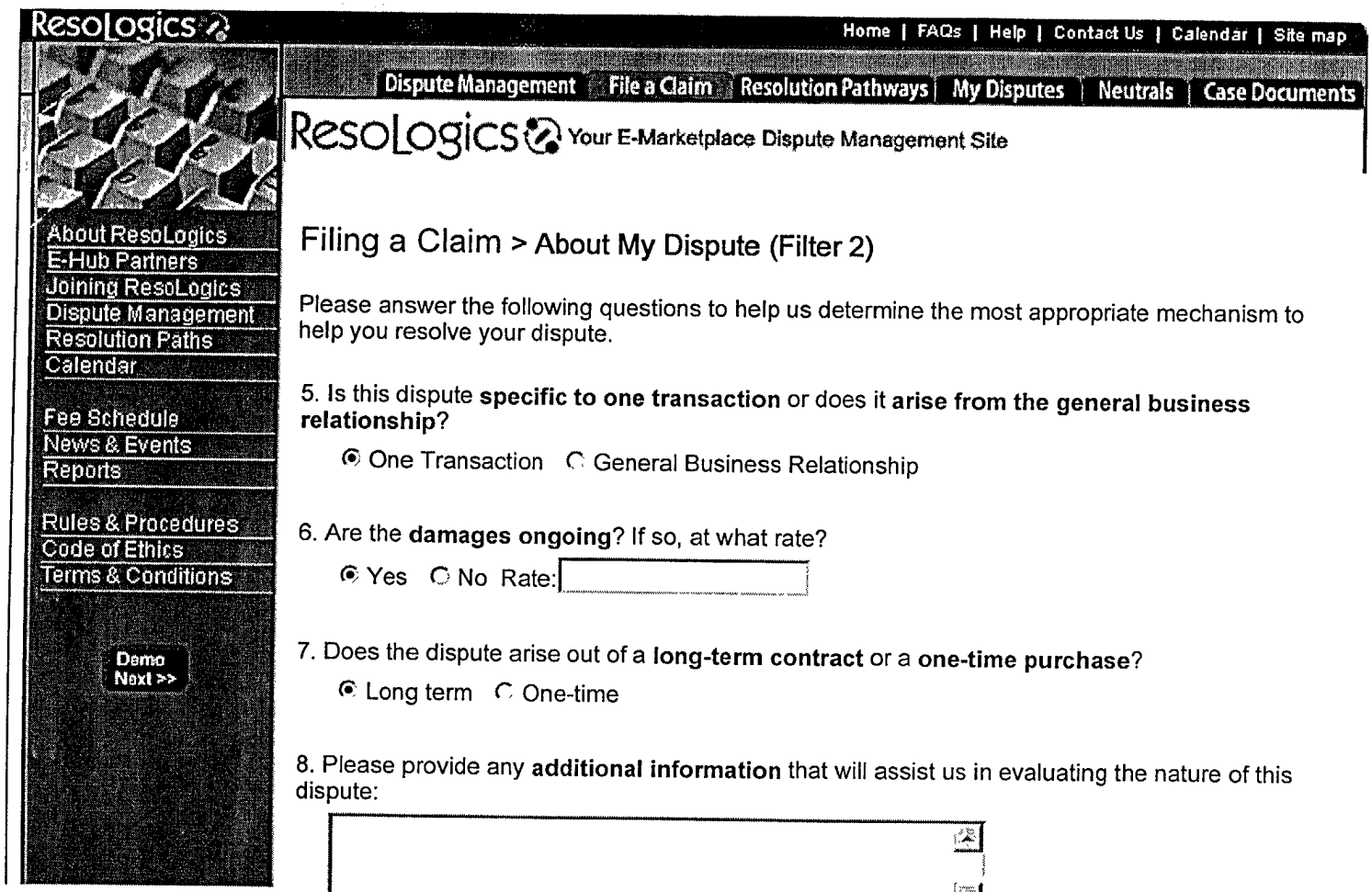


FIG. 195

PATENT PENDING

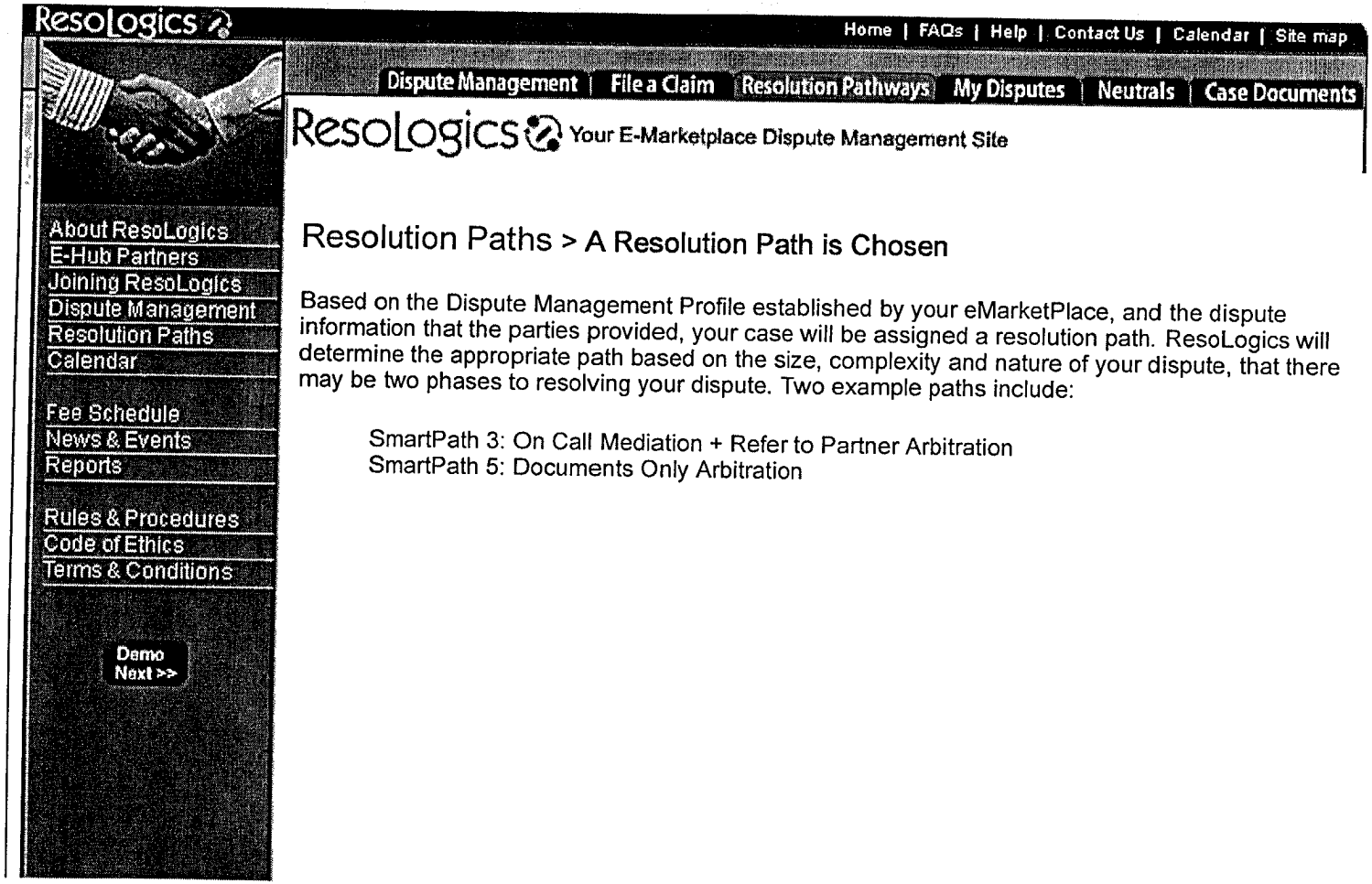


FIG. 196



ResoLogics Your E-Marketplace Dispute Management Site

About ResoLogics
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Resolution Path > SmartPath 3: On Call Mediation + Refer to Partner

Based on the Dispute Management Profile established by your eMarketPlace, and the dispute information that the parties provided, your case **(#20040)** has been assigned to **SmartPath 3: On Call Mediation (OCM) + Refer to Partner Arbitration (RTP)**.

Why SmartPath 3? Resologics has determined, based on the size, complexity and nature of your dispute, that there may be two phases to resolving your dispute. During Phase I, the parties will attempt to resolve the dispute through On Call Mediation. If after Phase I a resolution cannot be reached through OCM, the case will move to Phase II, and will be Referred to a ResoLogics Partner (RTP) for resolution.

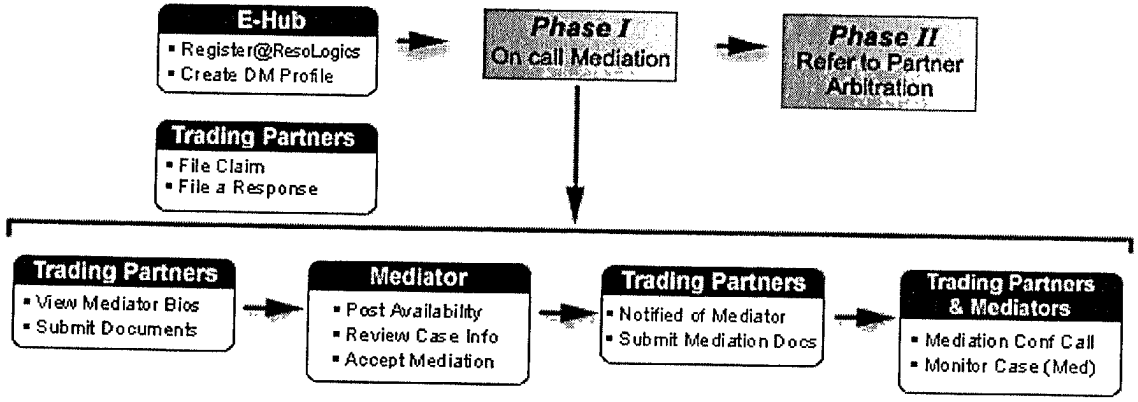
You may view the events and tasks associated with phases that comprise SmartPath3 below:

SmartPath 3: Phase I - On Call Mediation

Case Resolution Advocates					
Accept Case	Monitor Case	Assign Mediator	Notify Parties	Schedule Mediation	Follow Up

FIG. 197

PATENT PENDING



SmartPath 3: Phase II - Refer to Partner Arbitration

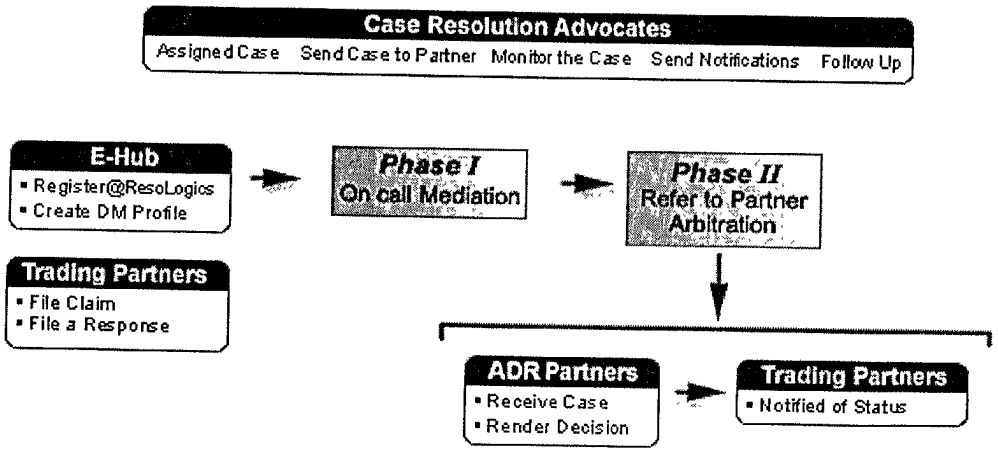


FIG. 198

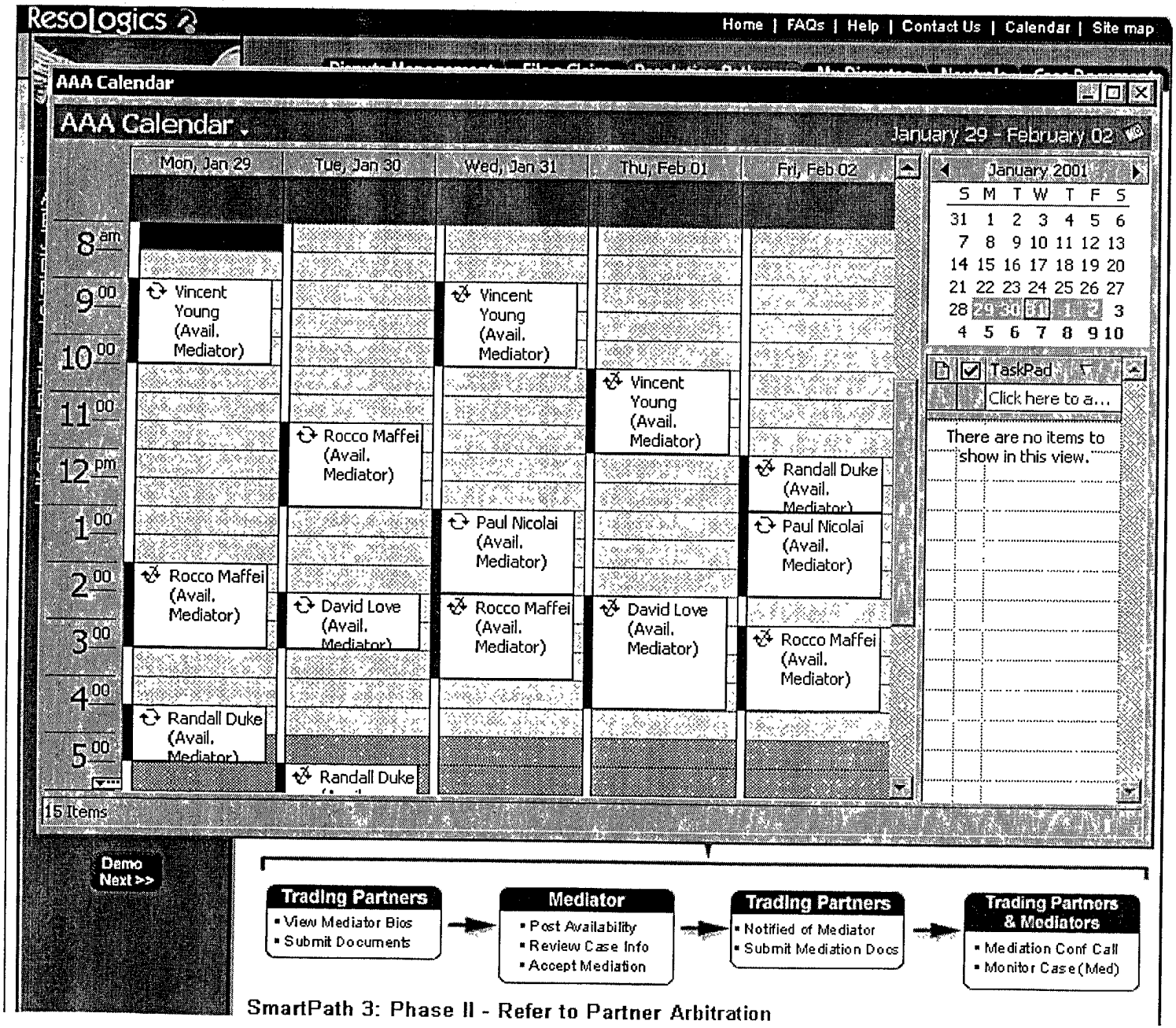

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AAA Calendar
AAA Calendar
January 29 - February 02
Mon, Jan 29
Tue, Jan 30
Wed, Jan 31
Thu, Feb 01
Fri, Feb 02
January 2001
S M T W T F S
31 1 2 3 4 5 6
7 8 9 10 11 12 13
14 15 16 17 18 19 20
21 22 23 24 25 26 27
28 29 30 31 1 2 3
4 5 6 7 8 9 10
TaskPad
Click here to a...
There are no items to show in this view.
Vincent Young (Avail. Mediator)
Rocco Maffei (Avail. Mediator)
Paul Nicolai (Avail. Mediator)
David Love (Avail. Mediator)
Randall Duke (Avail. Mediator)
15 Items
Demo Next >>
Trading Partners
View Mediator Bios
Submit Documents
Mediator
Post Availability
Review Case Info
Accept Mediation
Trading Partners
Notified of Mediator
Submit Mediation Docs
Trading Partners & Mediators
Mediation Conf Call
Monitor Case (Med)
SmartPath 3: Phase II - Refer to Partner Arbitration

FIG. 199

Paper Marked

MEDIATOR BIO

DAVID LOVE

David Love, C.P.A., J.D.

Profession
Attorney, Certified Public Account, Business Consultant, Arbitrator, Mediator

Work History
Independent Attorney/CPA/Business Consultant/Arbitrator, 1997-present; Principal, Tofias Fleishman Shapiro & Co., P.C. and self-employed Attorney/Certified Public Accountant, 1990-97; Managing Partner/Partner, Boston Office, Laventhol & Horwath (and predecessor firms), 1960-90; Tax Department, Ernst & Ernst, 1959-60.

Experience
As a part-time neutral, equally experienced in arbitration and mediation. Primarily handles commercial cases involving business freeze-outs, dissolutions and break-ups, business valuations, contract disputes, real estate disputes, employment disputes, accounting and auditing matters, insurance disputes and many other commercial matters. As a business and financial advisor, managed a large accounting firm office and negotiated mergers and buy-outs.

Education
University of Pennsylvania (BS-1956); Harvard University (JD-1959).

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FIG. 200

PRINT ENHANCED

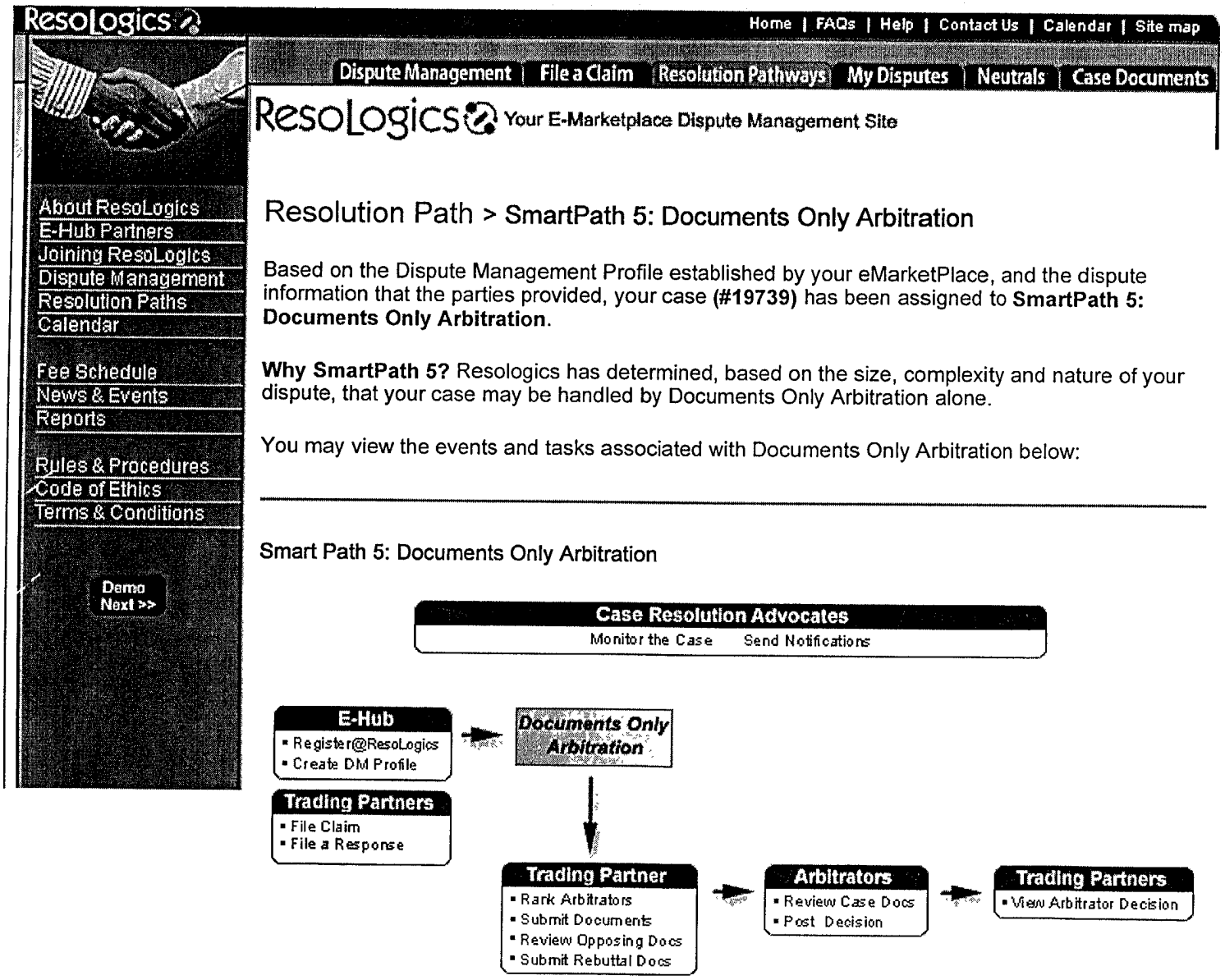


FIG. 20|



ResoLogics Your E-Marketplace Dispute Management Site

Documents Only Arbitration > Ranking Arbitrators

To begin **Documents Only Arbitration**, both Claimant and Respondent first need to rank order their desired Arbitrator. The arbitrator that is ranked the highest by both Claimant and Respondent will then be assigned to the case. You will be notified by email of the Arbitrator assigned to your case.

Rank Order Your Desired Arbitrator
View Arbitrator Biographies by clicking on their name below. Then assign a ranking (from 1 to 10) to each Arbitrator – with 1 being the most desirable and 10 being the least desirable. Once you have assigned rankings, click on the Submit Ranking button.

Ranking	Arbitrator Name
	William E. Beringer, Attorney Vice President and General Counsel of Siemens Energy & Automation
	Richard E. Cherin, Attorney Partner, Gibbons, Del Deo, Dolan, Griffinger & Vecchione
	Robert A. Hudson, Attorney Berry Moorman, P.C.
	Edward V. Lahey Jr., General Counsel Essex Boat Works, Inc.
	Elizabeth Lang-Miers, Attorney - Corporate Litigation Partner, Locke Liddell & Sapp LLP
	Alan R. Miller, Attorney President of Alan R. Miller, P.C.
	Lawrence V. Robertson Jr., Attorney, Arbitrator, Mediator President, ADR Resources, Inc.
	A. Bruce Schimberg, Attorney, Arbitrator, Mediator Sidley & Austin - Retired Partner

FIG. 202

EXHIBIT 203

BIO

EDWARD V. LAHEY, JR.

Current Employer-Title
Essex Boat Works, Inc., General Counsel

Profession
Attorney - Corporate, International, Antitrust, Securities

Work History
General Counsel, Essex Boat Works, 1998-present; Senior Vice President/General Counsel/Secretary/Vice President/Assistant General Counsel/Attorney, PepsiCo, Inc., 1965-1998; Attorney, Hester, Owen & Crowder, 1964-65.

Experience
Previously engaged in Corporation, International, Antitrust and Securities Law for a major beverage corporation. As General Counsel for 25 years, responsible for overall management of legal department comprised of over 150 lawyers and support professionals worldwide. Legal work encompassed full array of matters including acquisitions/divestitures, joint ventures, international transactions, and litigations. Securities (30%): all on behalf of issuers (PepsiCo, Inc.). As Secretary of PepsiCo, Inc. for 27 years, responsible for participation in all Board meetings, recording of minutes and corporate governance.

Alternative Dispute Resolution Experience
Arbitrator for securities arbitration involving Prudential-Bache, 1991; co-chair, AAA National Mediation Committee, 1995-96; Member, Large Complex Case Panel and Mass Torts Panel, AAA

Education
Holy Cross College (BA-1960); Georgetown University (JD-1964).

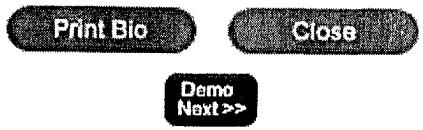


FIG. 203

ResoLogics

ResoLogics Case # 19739: Notification of Arbitrator - Message (Rich Text)

File Edit View Insert Format Tools Actions Help

Send | Options...

Arial | 10 | B I U

To... rjones@companyX; ksmith@CompanyY

Cc...

Subject: ResoLogics Case # 19739: Notification of Arbitrator

Case 2 19739 - Notification of Arbitrator

Please be advised that **Edward V. Lahey** has been appointed to decide the above-captioned dispute. You may review the arbitrator's biography at the ResoLogics web site by clicking on **Edward V. Lahey Biography**.

The document submission schedule for this dispute is as follows:

Initial briefs:	**(July 16, 2001 by 5pm EST)**
Reply Briefs:	**(July 23, 2001 by 5pm EST)**
Award due from arbitrator:	**(July 30, 2001 by 5pm EST)**

The initital briefs may be no longer than 6000 words (not including attachments); reply briefs should be no longer than 3000 words (not including attachments). All documents should be submitted in either Miscosoft Word or Adobe Acrobat format to **Case Documents)** on the ResoLogics site.

You may also review the opposing party's filings at this site, within Case Documents.

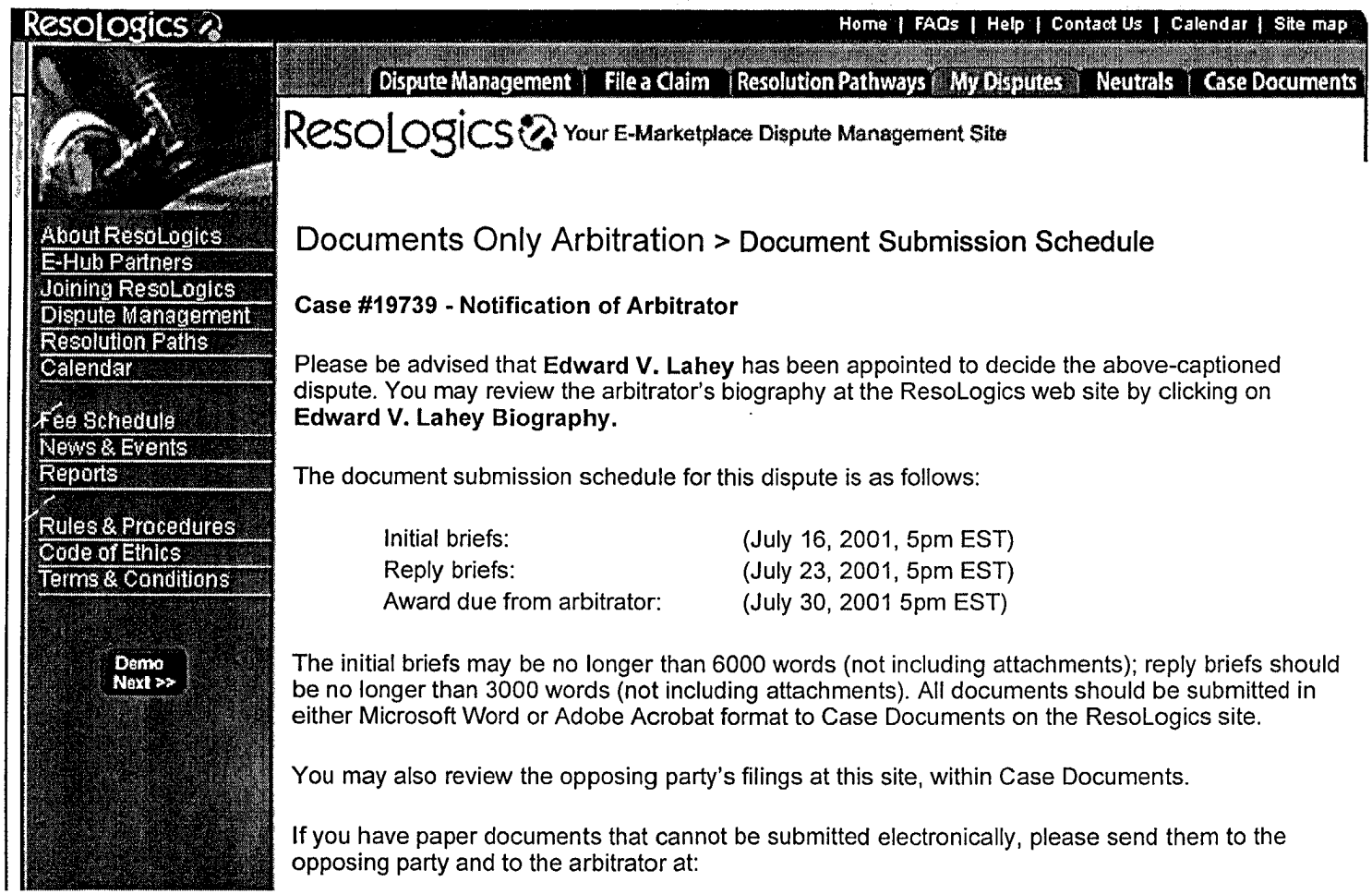
If you have paper documents that cannot be submitte electronically, please send them to the opposing party and to the arbitrator at:

Edward V. Lahey
Case # 19739
2 Callaway Pond Road
Richmond, NY 12345

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FIG. 204

PAPER DOCUMENTS 5070



Documents Only Arbitration > Document Submission Schedule

Case #19739 - Notification of Arbitrator

Please be advised that **Edward V. Lahey** has been appointed to decide the above-captioned dispute. You may review the arbitrator's biography at the ResoLogics web site by clicking on **Edward V. Lahey Biography.**

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Edward V. Lahey
Case # 19739
3 Callaway Pond Road
Richmond, NY 12345

Note that any paper documentation must be received by the deadline for the submission of materials.

FIG. 205

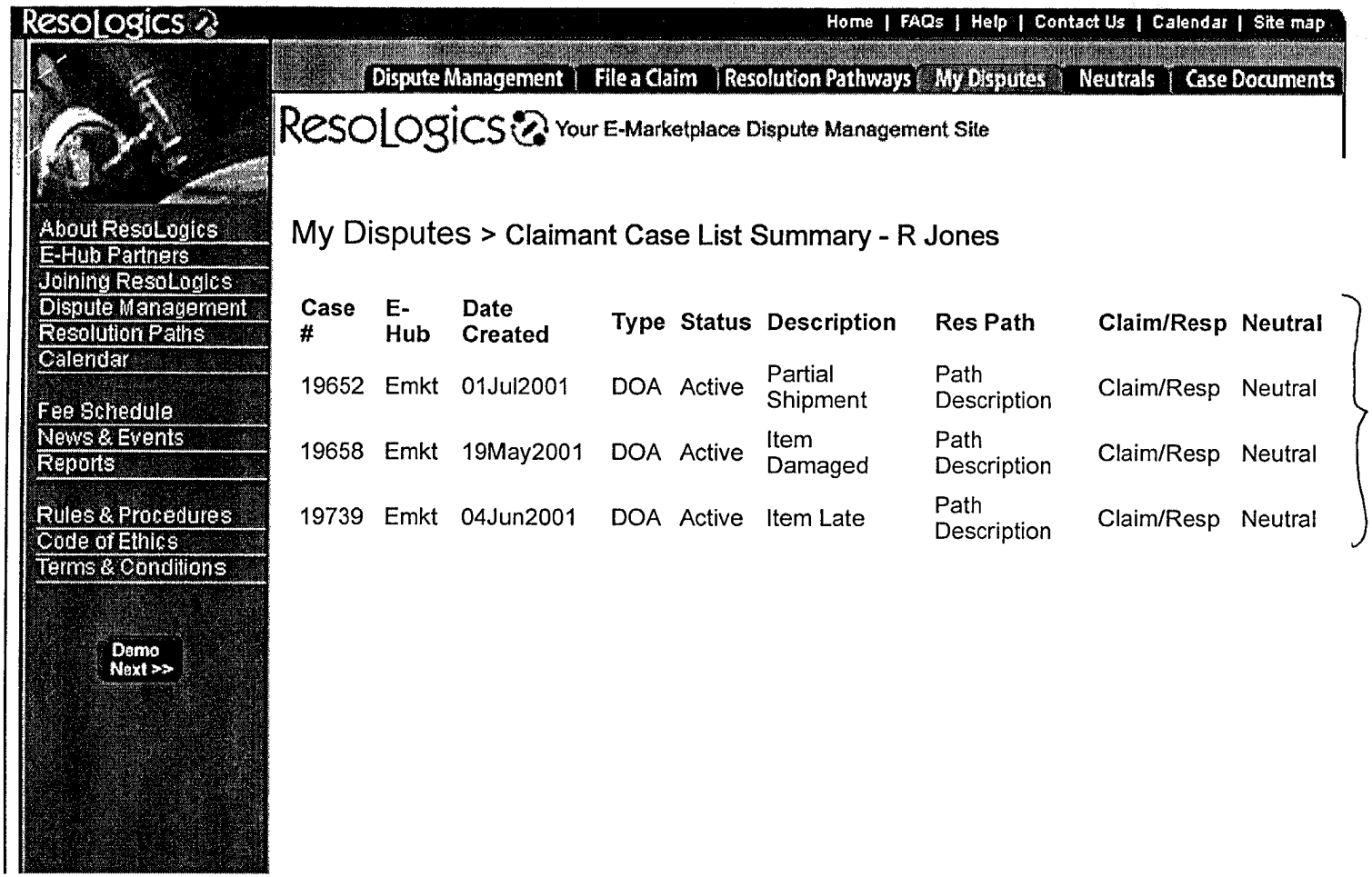

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My Disputes > Claimant Case List Summary - R Jones
Case # | E-Hub | Date Created | Type | Status | Description | Res Path | Claim/Resp | Neutral
19652 | Emkt | 01Jul2001 | DOA | Active | Partial Shipment | Path Description | Claim/Resp | Neutral
19658 | Emkt | 19May2001 | DOA | Active | Item Damaged | Path Description | Claim/Resp | Neutral
19739 | Emkt | 04Jun2001 | DOA | Active | Item Late | Path Description | Claim/Resp | Neutral
50 76

FIG. 206

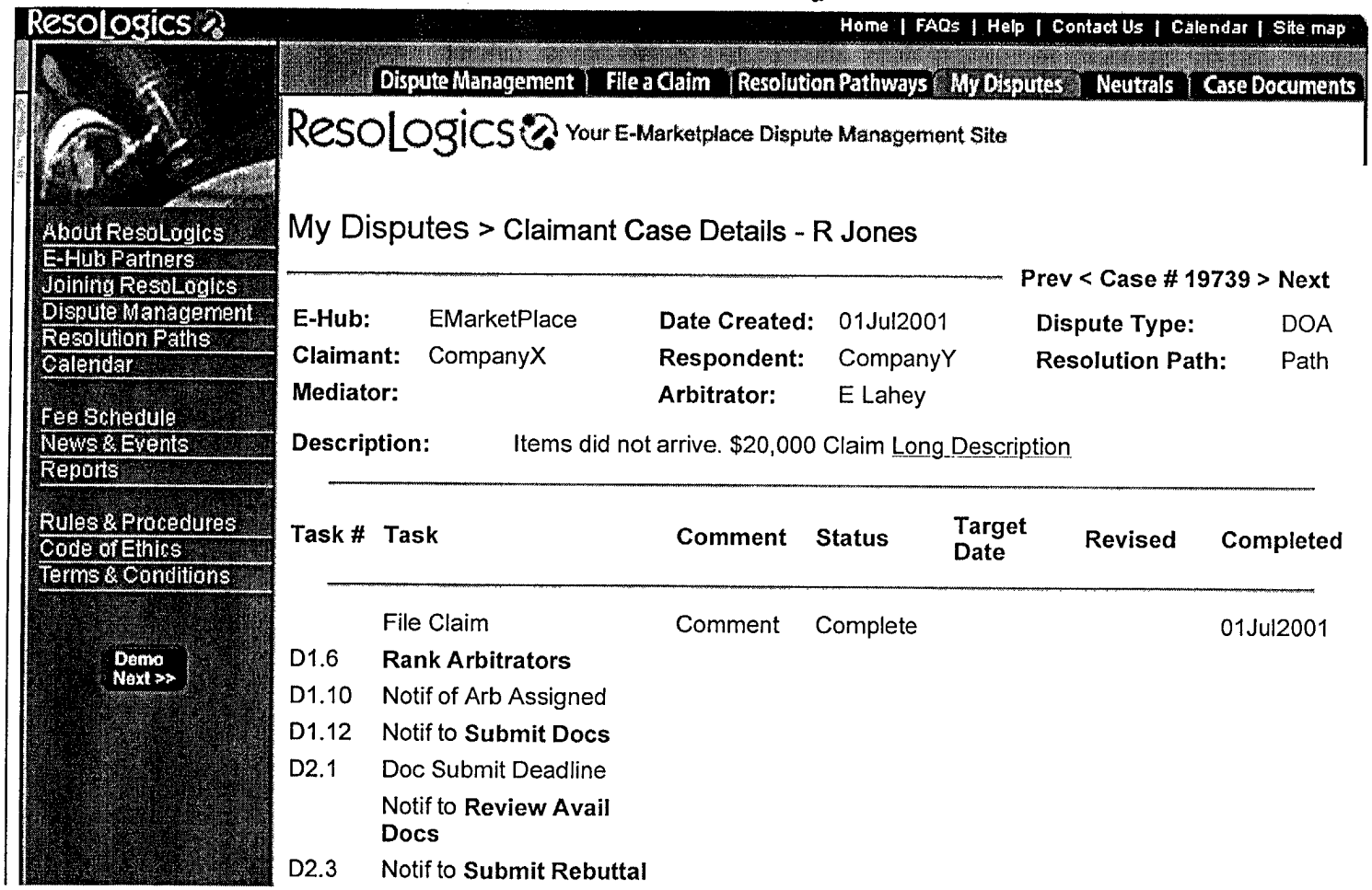

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My Disputes > Claimant Case Details - R Jones
Prev < Case # 19739 > Next
E-Hub: EMarketPlace
Date Created: 01Jul2001
Dispute Type: DOA
Claimant: CompanyX
Respondent: CompanyY
Resolution Path: Path
Mediator:
Arbitrator: E Lahey
Description: Items did not arrive. $20,000 Claim Long Description
Task # Task Comment Status Target Date Revised Completed
File Claim Comment Complete 01Jul2001
D1.6 Rank Arbitrators
D1.10 Notif of Arb Assigned
D1.12 Notif to Submit Docs
D2.1 Doc Submit Deadline
Notif to Review Avail Docs
D2.3 Notif to Submit Rebuttal
D2.4 Rebuttal Submit Deadline
D2.7 Arb Decision Deadline
D2.10 Notif to View Decision
D2.12 Case Closed

FIG. 207

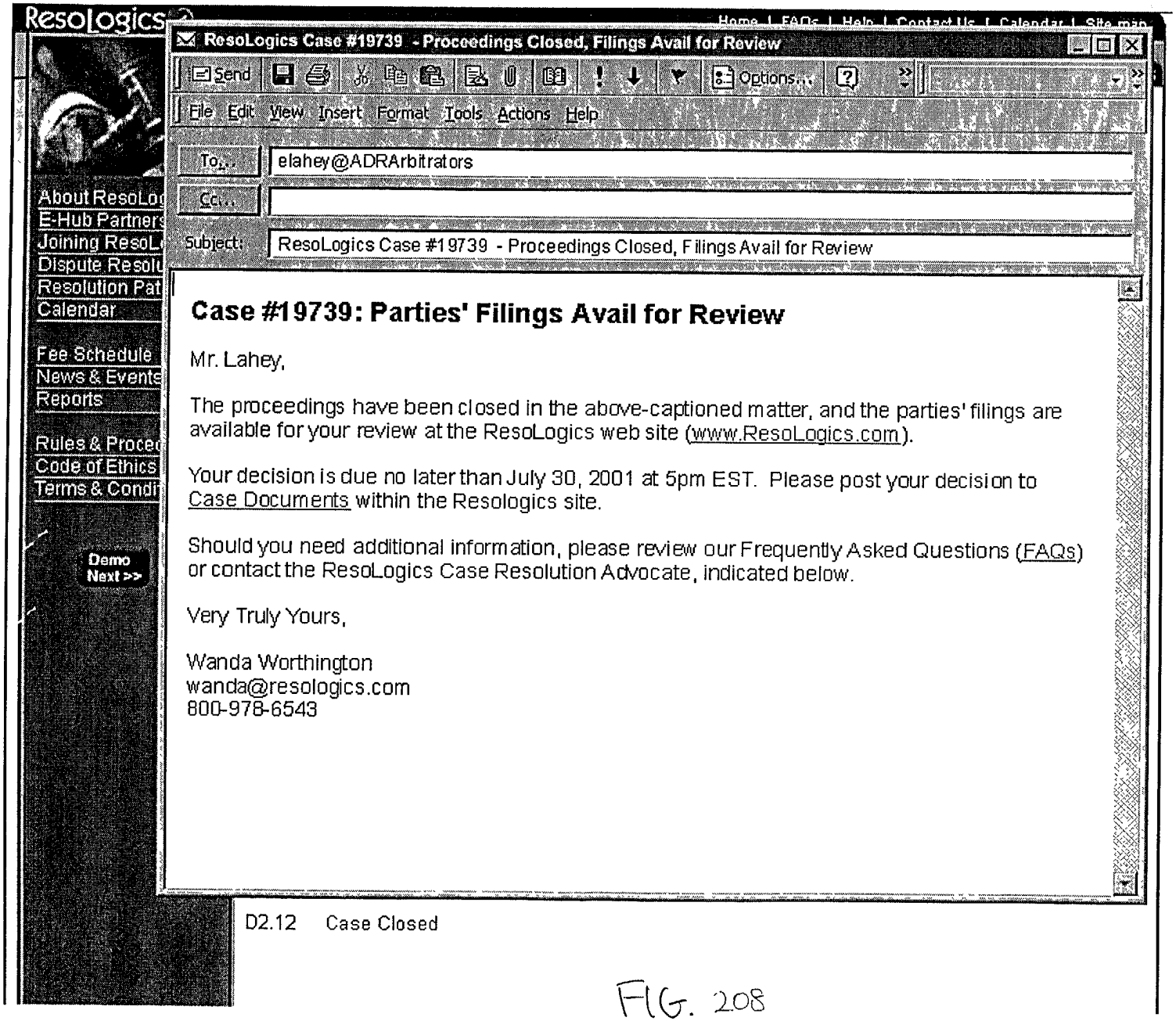
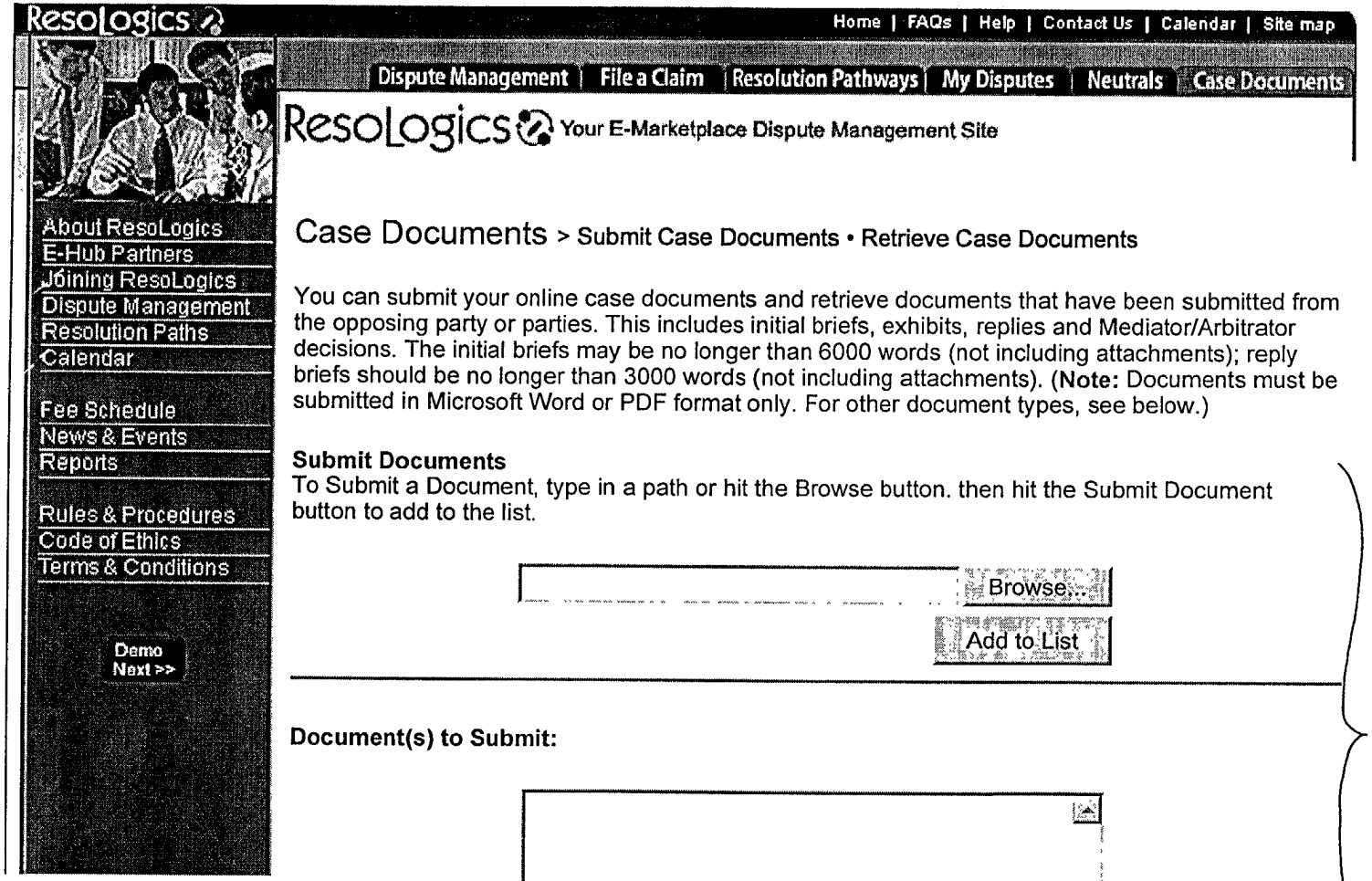

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ResoLogics Case #19739 - Proceedings Closed, Filings Avail for Review
Send
Options...
File Edit View Insert Format Tools Actions Help
To...
elahey@ADRArbitrators
Cc...
Subject:
ResoLogics Case #19739 - Proceedings Closed, Filings Avail for Review
Case #19739: Parties' Filings Avail for Review
Mr. Lahey,
The proceedings have been closed in the above-captioned matter, and the parties' filings are available for your review at the ResoLogics web site (www.ResoLogics.com).
Your decision is due no later than July 30, 2001 at 5pm EST. Please post your decision to Case Documents within the Resologics site.
Should you need additional information, please review our Frequently Asked Questions (FAQs) or contact the ResoLogics Case Resolution Advocate, indicated below.
Very Truly Yours,
Wanda Worthington
wanda@resologics.com
800-978-6543
D2.12 Case Closed

FIG. 208


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Case Documents > Submit Case Documents • Retrieve Case Documents
You can submit your online case documents and retrieve documents that have been submitted from the opposing party or parties. This includes initial briefs, exhibits, replies and Mediator/Arbitrator decisions. The initial briefs may be no longer than 6000 words (not including attachments); reply briefs should be no longer than 3000 words (not including attachments). (Note: Documents must be submitted in Microsoft Word or PDF format only. For other document types, see below.)
Submit Documents
To Submit a Document, type in a path or hit the Browse button. then hit the Submit Document button to add to the list.
Browse...
Add to List
Document(s) to Submit:
Submit
Note: Documents must be submitted in Microsoft Word or PDF format only. If you have paper documents that cannot be submitted electronically in this format, please send them to opposing party and to ResoLogics at:

5092

FIG. 209

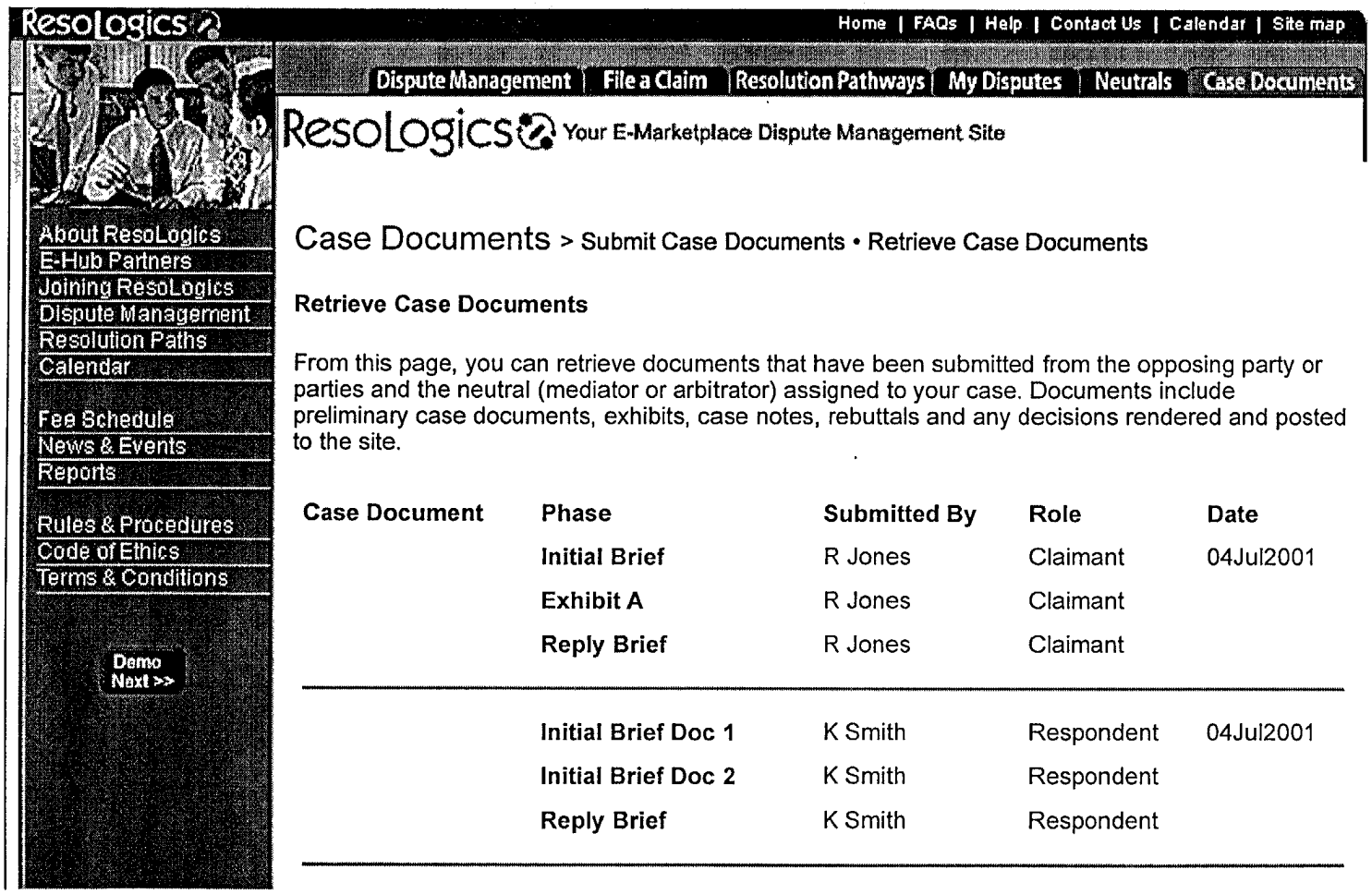

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Case Documents > Submit Case Documents • Retrieve Case Documents
Retrieve Case Documents
From this page, you can retrieve documents that have been submitted from the opposing party or parties and the neutral (mediator or arbitrator) assigned to your case. Documents include preliminary case documents, exhibits, case notes, rebuttals and any decisions rendered and posted to the site.
Case Document | Phase | Submitted By | Role | Date
Initial Brief | R Jones | Claimant | 04Jul2001
Exhibit A | R Jones | Claimant
Reply Brief | R Jones | Claimant
Initial Brief Doc 1 | K Smith | Respondent | 04Jul2001
Initial Brief Doc 2 | K Smith | Respondent
Reply Brief | K Smith | Respondent
Arbitrator Decision | E Lahey | Arbitrator
510 2

FIG. 210

ResoLogics

Documents Only Arbitration

In the Matter of the Arbitration between
Intercon MediaSystems International - and - NuSteel Wire and Cable, Inc. (Case #17939)

Award of the Arbitrator

I, the undersigned arbitrator, having reviewed the parties' filings in the above captioned dispute, such dispute having arisen from transaction #56-7888-01 on the *eMarketplace* eCommerce site and being governed by the dispute management provisions of that site, do hereby award as follows:

400 spools of grade 3 media cable on or before June 1, 2001;
400 spools of grade 3 media cable on or before July 1, 2001; and
800 spools of grade 3 media cable on or before August 1, 2001.

The Respondent at its sole option may accelerate this delivery schedule.

The Claimant shall pay to the Respondent $1,450 (US Dollars) per spool of cable, such payment to be made no later than ten calendar days after each actual delivery.

This award is in full and final settlement of all claims and/or counterclaims submitted to this arbitration.

Edward Lahey
Arbitrator
February 3, 2001

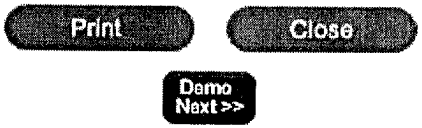


FIG. 211